



OCEAN NOISE and SIGNAL PROCESSING

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MOTIVATION: ONE MAN'S NOISE IS ANOTHER MAN'S SIGNAL

•WHITE NOISE: "UNCORRELATED" sensor to sensor -NON-PROPAGATING •ISOTROPIC NOISE: PROPAGATING IN ALL DIRECTIONS WITH EQUAL AMPLITUDF CONFUSED WITH WHITE NOISE BECAUSE WHEN SENSED AT HALF WAVE LENGTH--same a white noise •SEA SURFACE NOISE: PROPAGATING PARTIALLY CORRELATED-HAS DIRECTIONAL ITY. •SHIPPING NOISE: PROPAGATING WHEN FAR AWAY-APPEARS TO HAVE SAME PROPERTIES AS SURFACE NOISE BIOLOGICAL NOISE SEISMIC NOISE THERMAL NOISE

•USUALLY TRY TO DETECT SIGNAL IN NOISE
•USE DIRECTIONALITY/CORRELATION OF NOISE FOR INVERSION
•ACOUSTIC DAYLIGHT, INVERSION
•THIS TALK WILL END EMPHASIZING UTILITY OF NOISE:

TREATING NOISE AS THE SIGNAL

OUTLINE

- OVERVIEW: OCEAN ACOUSTICS & NOISE
 - OCEAN ENVIRONMENT
 - PROPAGATION
 - DIFFERENT TYPES OF NOISE
 - SIGNAL PROCESSING: NOISE AS A NUISANCE
- EXTRACTING COHERENT INFORMATION FROM NOISE
 - SENSOR-SENSOR CORRELATION
 - BEAMFORMING → BEAM-BEAM CORRELATION
- EXTRACTING SCATTERING/STRUCTURE PROPERTIES FROM NOISE 3

ACOUSTICS IN THE OCEAN



GENERIC SOUND SPEED STRUCTURE



GLOBAL SOUND SPEED STRUCTURE



- OCEAN ENVIRONMENT

- PROPAGATION
- DIFFERENT TYPES OF NOISE
- SIGNAL PROCESSING: NOISE AS A NUISANCE

SNELL'S LAW:

SOUND LIKES LOW SPEEDS

SCHEMATIC OF SOUND PROPAGATION PATHS



ATTENUATION OF SOUND IN SEAWATER (URICK)



Historical Underwater Acoustics



Range ~1000's of km

Historical Underwater Acoustics



- OCEAN ENVIRONMENT

- PROPAGATION
- DIFFERENT TYPES OF NOISE
- SIGNAL PROCESSING: NOISE AS A NUISANCE

AMBIENT NOISE SPECTRA (WENZ)



AMBIENT NOISE SPECTRA (WENZ)



BIOLOGICS



NOISE LEVELS AND SOURCE LEVELS



BRADLEY,STERN NRC 2008

Ships Underway	Broa	dband Source Level		
(d		B re 1 μPa at 1 m)		
Tug and Barge (18 km/hour)	171			
Supply Ship (example: Kigoriak)	181			
Large Tanker	186			
Icebreaking	193			
Seismic Survey	Broa	dband Source Level		
	(dB re 1 µPa at 1 m)			
Air gun array (32 guns)	259 (peak)			_
Military Sonars	Broadband Source Level		Man Made Sounds	
	(dB re 1 µPa at 1 m)			C OOUNUS
AN/SQS-53C (U. S. Navy tactical mid-frequency sonar, center frequencies 2.6 and 3.3 kHz)		235		
AN/SQS-56 (U. S. Navy tactical mid-frequency sonar, center frequencies 6.8 to 8.2 kHz)	223			
SURTASS-LFA (100-500 Hz)	215 dB per projector, with up to 18 projectors in a vertical array operating			
	simultaneously		-	
Ocean Acoustic Studies	$\frac{dP}{dP} = 1 + P_0 = t + 1 m$			
	$(ub re 1 \mu ra at 1 m)$			
Heard Island Feasibility Test (HIFT)	206 dB for a single projector, with up to 5			
(Center frequency 57 Hz	projectors in a vertical array operating			
Acoustic Thermometry of Ocean Climate			-	
(ATOC)/North Pacific Acoustic Laboratory (NPAL) (Center frequency 75 Hz)	195			
	Sou		irce	Broadband Source Level
Animal Sounds				(dB re 1 µPa at 1 m)
		Sperm Whale Clicks		163-223
		Beluga Whale Echolocation Click		206,225 (neals to neals)
		White healed Dalphin Eshala action Clicks		200-223 (peak-to-peak)
		white-beaked Dolphin Echolocation Clicks		194-219 (peak-to-peak)
		Spinner Dolphin Pulse Bursts		108-115
		Bottlenose Dolphin Whistles		125-173
		Fin Whale Moans		155-186
		Blue Whate Moans		155-188
		Gray Whale Means		142 185
		Diay Whate Woals Dowbood Whate Topola Macros and Sana		172-103
		Downeau what rollars, woalls and Song		120-189
		Humpback Whale Song		144-174
		Humpback Whale Fluke and Flipper Slap		183-192
		Southern Right Whale Pulsive Call		172-187
		Snapping Shrimp		183-189 (peak-to peak)

- OCEAN ENVIRONMENT

- PROPAGATION
- DIFFERENT TYPES OF NOISE
- SIGNAL PROCESSING: From NOISE AS A NUISANCE

TYPICAL SONAR VIEW OF NOISE: NUISANCE





ARRAY GAIN: Signal adds up faster than noise



→ AG = 10 *log* m

EXTRACTING COHERENT INFORMATION FROM NOISE



First experimental demonstration in ultrasonics (0.1 - 0.9 MHz)

R.L. Weaver & O.I. Lobkis, Phys. Rev. Lett., 2001



NOISE CORRELATIONS IN OCEAN ACOUSTICS VIA

- FREE SPACE
- WAVEGUIDE
- BEAM FORMING

Noise cross-correlation: Free space

$$C_{12}(\tau) = \int_{-\infty}^{\infty} P(\mathbf{r}_1, t) P(\mathbf{r}_2, t + \tau) \mathrm{d} t.$$



Noise sources yielding constant time-delay τ , lay on same Hyperbola



Isotropic distribution of uncorrelated random noise sources

C, dC/dt, band-limited signal



 With cross-correlation process the phase of the source signal is removed, →Arrival time is given by the center of the pulse (envelope maximum)
 Isotropic noise distribution → Symmetric Correlation function.







Roux & Kuperman (JASA, 2004), Sabra et al. (JASA, 2005 & IEEE. J. Ocean. Eng. 2005), Snieder (Phys.Rev 2









Uncorrelated noise at each sensor

Experimental estimation of covariance function

Experimental goal is to estimate covariance function from realization(s)

$$C_{ab}(\tau) = \frac{1}{\Delta t} \int_0^{\Delta t} dt \ P_a(t) P_b(t+\tau) \quad \begin{array}{l} \mbox{We measure the sample} \\ \mbox{correlation function (SCF)} \end{array}$$

Ensemble average of SCF realizations converges to covariance function

$$W_{ab}(\tau) = \lim_{M \to \infty} \frac{1}{M} \sum_{m=1}^{M} \left\{ C_{ab}(\tau) \right\}_m$$

Likewise with the infinite time average of a single realization of the SCF

$$W_{ab}(\tau) = \lim_{\Delta t \to \infty} C_{ab}(\tau)$$



SNR



Waveguide:Arrival times



Correlation times with noise sources



Correlation times with noise sources



Correlation times with noise sources


Correlation times-Noise Source Images



Underwater Acoustics

(non-free space)





Noise events propagating through receivers 1 and 2 average-up coherently over the long-time in the cross-correlation function.

Coherent wavefronts yield an estimate of the Green's function between 1 and 2.

Roux & Kuperman (JASA, 2004), Sabra et al. (JASA, 2005), (IEEE. J. Ocean. Eng. 2005)



Experimental results (70 – 130 Hz)

NPAL experiment



Roux, Kuperman-2004 (using NPAL data)

Experimental results (70 – 130 Hz)





Fish Noise in Very Shallow Water

D'Spain et al

Horizontal Coherent Wavefronts

ARINE PHYSICA





0.02

0.01

0.03

0.04

time

0.05

0.06

0.07

0.08

0.09

processing to isolate bottom path/information. Fried et al 2008

ISSUE: CORRELATION TIME *vs* OCEAN TIME SCALES⁴⁴

TIME OF ARRIVAL PEAKS FROM SENSOR-SENSOR CORRELATION PROCESSING TAKES TOO LONG TO BUILD UP

THE PROBLEM

NOISE CORRELATION PROCESSING USING SPATIAL ARRAYS

- TAKE ADVANTAGE OF PHYSICS
- RESULT: ENHANCES EXTRACTION OF TIME OF ARRIVAL STRUCTURE OF TDGF
- VERTICAL ARRAYS vs HORIZONTAL ARRAYS?





Geometry of correlated noise

Noise that contributes to the correlation comes from 'endfire' to the sensors



Snieder (Phys.Rev 2004), etc...





Moving on from correlation between sensors to correlation between arrays

- Our Previous work has shown:
 - Time-of-arrival information of noise correlation function along line array agree with physics of noise propagation between sensors correlated
 - Can retrieve multiple arrivals direct, and surface
 - Relative strength of surface reflection path points to critical angle at water/bottom interface
 - <u>ISSUE:</u> PROCESSING TIME SCALES vs OCEAN TIMES SCALES
 - Potential Solution: BEAMFORMING





UNCORRELATED NOISE AT EACH SENSOR Even a Vertical Array w/ Azimuthal Symmetry does not discriminate in horizontal





Horizontal Array can reduce incoherent noise

GOAL OF ARRAYS OR ANTENNAS:

- 1. ADD UP MORE "SIGNAL" THAN "NOISE"
- 2. LOOK IN A CERTAIN DIRECTION
 - TOWARD A SIGNAL OF INTEREST
 - LOW SIDELOBES
- 3. ADAPTIVE PROCESSING: USE DATA FOR HIGH RESOLUTION AND MINIMIZE SIDELOBES

PLANE WAVE BEAMFORMING



s=Signal vector; d=s + noise
w="replica" vector (usually from a model)

If w=s (or d), then w⁺d is maximum \rightarrow At correct angle, each element w_i^{*} is cc of s_i



Noise correlation between two Horizontal arrays

- Diffuse surface noise (1-2 kHz), shallow water
- Incorporating beams in correlation 323
- Analysis of SNR to see buildup time and array size, shape and noise directionality effects





Distance between Anchors 323.7 m

Noise10 Experiment



1.0 m

1.0 m

2.0 m

17

1.5

3 3 3 3

Element Number

0.5

Ξ

 Location of arrays mounted on the ocean bottom of 20m depth

Beach one side, ocean on the

expect arrival at ~.2





Compare beampatterns



Use elements 1-16 of each array (sensors in line between arrays) to look north and south.

Average over all frequencies 1-2kHz.

Get a very rough measure of noise field – large side lobe effects can't be





Compare beampatterns

But what about the difference between looking North





1-2 kHz Noise

Single Sensor Correlations

Beam Correlations between L-Shaped Arrrays

Green is noise from south

SUMMARY OF ARRAY RESULTS



Correspondence of Noise Directionality with SNR of North/South Correlation Peaks



Selective Or "a priori" Correlation processing



LESSONS LEARNED: Noise correlation between two Horizontal arrays

•Beam-Beam Correlation Processing enhances extraction of arrival times

- The processing is based on
 - Beams along endfire
 - •Reduction of uncorrelated noise (vs sensors that
 - receive all noise) since
 - the correlation peaks must ("overcome") emerge from this noise
- The emergence time is (still) less than \sqrt{T} because ocean is not stationary over correlation time interval
- •Selective ('a priori") correlation processing reduces the total build up of uncorrelated noise so that emergence time <u>AND</u> SNR of correlation peaks are enhanced.

Passive fathometer:

(Horizontal Array used as Vertical Array)

Using ambient noise on a drifting array we can map the bottom properties



Siderius et al., JASA 2006, Gerstoft et al., JASA 2008, Harrison, JASA 2009, Traer et al., JASA 2009, Siderius et al., JASA 2010

Endfire beamforming

Wind and waves make sound coming from all directions

Beamforming with a vertical array allows the sound coming from directions other than endfire to be greatly reduced.

This makes short time-averaging possible- an important component for practical application. Vertical array

Passive fathometer (drifting array) Ambient noise 50-4000 Hz Boomer



Adaptive processing gives better resolution of reflections

Siderius, Gerstoft et al., 2009 NURC data

A Conclusion: Noise Correlation Processing Appears Promising for Geophysical Inversion BUT NOT YET for "Ocean Tomography" [why?->

Tomography



Conclusions: Noise Correlation Processing has become a tool for Geophysical Inversion BUT NOT YET for "Ocean Tomography"

BASIC ISSUE TO STILL OVERCOME:

Extracting time of arrival structure (or equivalent) in short enough time interval to within time scale of ocean phenomenon under study

Underwater Acoustics Motivated Problem: Object Scattering

- Examples: Submarines, Mines...
- Issue: Determining Sattering Properties of Object is Difficult
 - Experimentally {Huge Effort/Facility}
 - Computationally {e.g., gazillion degrees of freedom(DOF) finite element calculation}
- BUT: Scatterer is ultimately observed by system with limited DOF
- SOLUTION: NOISE!!!

OBJECTIVES

- Measure the structural Green's function of an elastic object (structural impedance matrix) excited by an external random noise field, by using measurements of surface velocity & pressure.
- With this information predict the scattered field for any coherent incident field condition, in any medium.



Rakotonarivo, Kuperman, Williams (2013), Prediction of a body's structural impedance and scattering properties using correlation of random noise , JASA

INTRODUCTION

We need three *surface* impedances to characterize the scattering from an elastic body given the incident pressure

field:



$$\mathbf{p} = \mathbf{p_i} + \mathbf{p_s} \text{ and } \mathbf{v} = \mathbf{v_i} + \mathbf{v_s}$$

$$\Rightarrow \mathbf{p_i} = -\mathbf{Z_i v_i} \text{ Incident Fields}$$
(i.e. Interior Neumann Green fcn.)
$$\Rightarrow \mathbf{p} = -\mathbf{Z_s v} \quad \text{Total Fields}$$

$$\mathbf{Z_s} \text{ is the Structural Impedance}$$

$$\Rightarrow \mathbf{p_s} = \mathbf{Z_a v_s} \text{ Scattered Fields}$$
(i.e. Exterior Neumann Green fcn.)

INTRODUCTION

Simple manipulation of the impedances yields¹,



where p_s is the scattered field on the surface:

$$\mathbf{p}_{s} = \underbrace{\left(\frac{1}{\mathbf{Z}_{a}} + \frac{1}{\mathbf{Z}_{s}}\right)^{-1} \left(\frac{1}{\mathbf{Z}_{i}} - \frac{1}{\mathbf{Z}_{s}}\right)}_{Q = \text{Scattering Matrix}} \mathbf{p}_{i}$$

Z_s contains the physics of the elastic body when placed in a vacuum



¹Bobrovntiskii (2006), A new impedance-based approach to analysis and control of sound scattering (JSV)

Borgiotti (1990); Gaumond et Yoder (1995); Lucifredi and Schmidt (2004); Bobrovnitskii (2006)

Scattered field at the receivers

FIRST OBJECTIVE

Measure Z_s: the elastic object's structural impedance matrix by placing it in a random noise field and measuring surface normal velocity and pressure.



WHAT is the STRUCTURAL IMPEDANCE?

Structural admittance Green's function definition:

Discretize & Invert G:
$$\mathbf{f} = \mathbf{Z}_{s} \mathbf{v}$$

Structural impedance matrix

$$\mathbf{p} = -\mathbf{Z}_{s} \mathbf{v} \begin{pmatrix} p_{1} \\ p_{2} \\ \vdots \\ p_{N} \end{pmatrix} = -\begin{pmatrix} Z_{11} & Z_{12} & \cdots & Z_{1N} \\ Z_{21} & Z_{22} & \cdots & Z_{2N} \\ \vdots & \vdots & \ddots & \vdots \\ Z_{N1} & Z_{N2} & \cdots & Z_{NN} \end{pmatrix} \begin{pmatrix} v_{1} \\ v_{2} \\ \vdots \\ v_{N} \end{pmatrix}$$
Pressure field at the object surface
Normal velocity at the object surface
MEASUREMENT of the STRUCTURAL IMPEDANCE



MEASUREMENT of the STRUCTURAL IMPEDANCE

After ensemble averaging:

 $\langle \mathbf{p}\mathbf{p}^H \rangle = -\mathbf{Z}_{\mathbf{s}} \langle \mathbf{v}\mathbf{p}^H \rangle$

All are $N \ge N$ matrices

If sufficient number of spatially random realizations, we can invert : $\langle \mathbf{vp}^H \rangle$

$$\mathbf{Z}_{\mathbf{s}} = -\langle \mathbf{p}\mathbf{p}^{H}\rangle\langle \mathbf{v}\mathbf{p}^{H}\rangle^{-1}$$



MEASURED \mathbf{Z}_s **COMPARED** with **EXACT RESULT** (in vacuo)



Rakotonarivo, Kuperman, Williams (2013), Prediction of a body's structural impedance and scattering properties using correlation of random noise, JASA

SECOND OBJECTIVE

Given Z_s predict the scattered field for any coherent incident field condition, in any medium.



Lucifredi and Schmidt (2006), Subcritical scattering from buried elastic shells, JASA



How do we compute \mathbf{Z}_a and $\mathbf{Z}_{\mathbf{f}}$ or a general surface?

CALCULATION of RADIATION IMPEDANCE Z_a for GENERAL SURFACE

 \blacktriangleright Use Equivalent Source Method (ESM) to obtai ${f Z}_a$



CALCULATION of INTERNAL FIELD IMPEDANCE Z_i for GENERAL SURFACE

\succ Again use ESM to obtain \mathbf{Z}_i



SUMMARY

Given Incident field we have Scattered field at surface



$$\mathbf{p}_s = \mathbf{Q}(\mathbf{Z}_i, \mathbf{Z}_s, \mathbf{Z}_a) \, \mathbf{p}$$
 $\mathbf{Z}_i = \mathbf{G}_i \mathbf{G}_{iv}^{-1}$ $\mathbf{Z}_\mathbf{s} = -\langle \mathbf{p} \mathbf{p}^H
angle \langle \mathbf{v} \mathbf{p}^H
angle^{-1}$ $\mathbf{Z}_a = \mathbf{G} \mathbf{G}_v^{-1}$

SCATTERED FIELD NUMERICAL EXPERIMENT

$$\mathbf{p}_r = \mathbf{G}_r \mathbf{G}^{-1} \mathbf{Q} \mathbf{p}_i$$

Back to cylindrical shell example, compute for a point source at 3 m, 100 redeivers at r = 0.4 m



RESULTING SCATTERED FIELD (*p_r***) at RECEIVERS COMPARED with EXACT SOLUTION**



82

CONCLUSIONS AND PERSPECTIVES

- Correlation method to predict the structural impedance using random noise sources, ESM to yield radiation impedance and internal impedance
- Scattered field prediction for a given incident field
- Coming Next: Experimental investigation spherical shell





Preliminary Results

(Back to a point Force, not incident field j_n and j_n' set to zero in A_1 and A_2 terms, c=343 but \rho=.001 instead of 1.2).

Really good agreement. Note fluid loading is very small effect.

Driving point admittance: $Y_s = - \langle v v^H \rangle \langle v p^H \rangle^{-1}$



Thank You