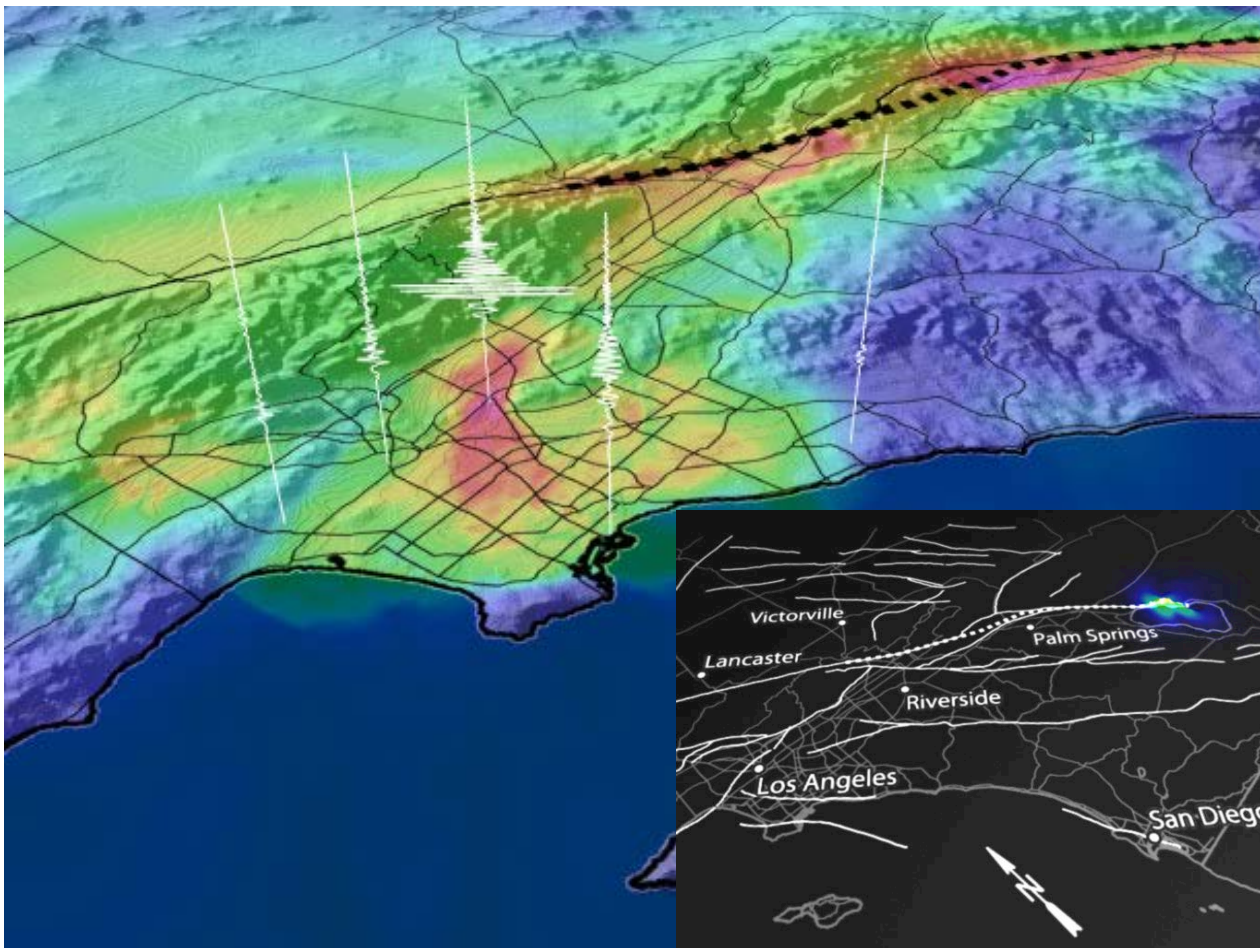


# Advances and Challenges in understanding the amplitude in noise correlations

Germán A. Prieto

Earth, Atmospheric, and Planetary Sciences, MIT  
Physics Department - Universidad de los Andes



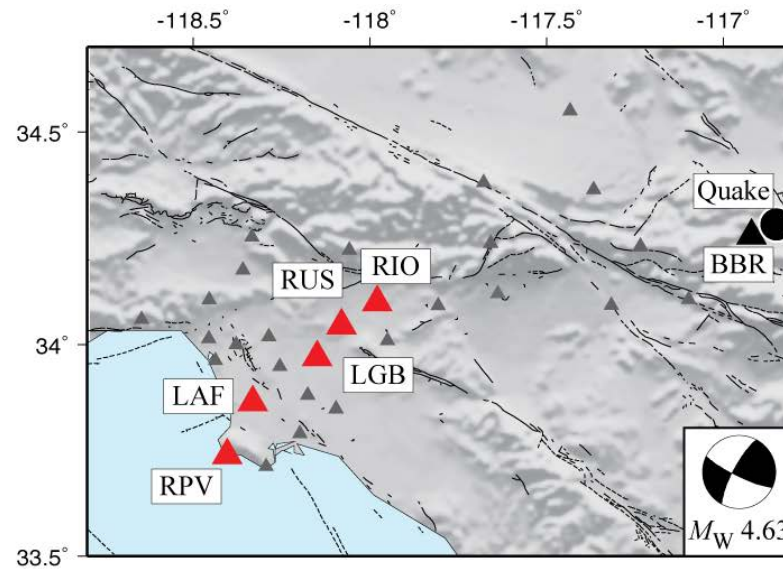
April 22, 2013  
Cargese Summer School  
Corsica, France



# Scale of our problem

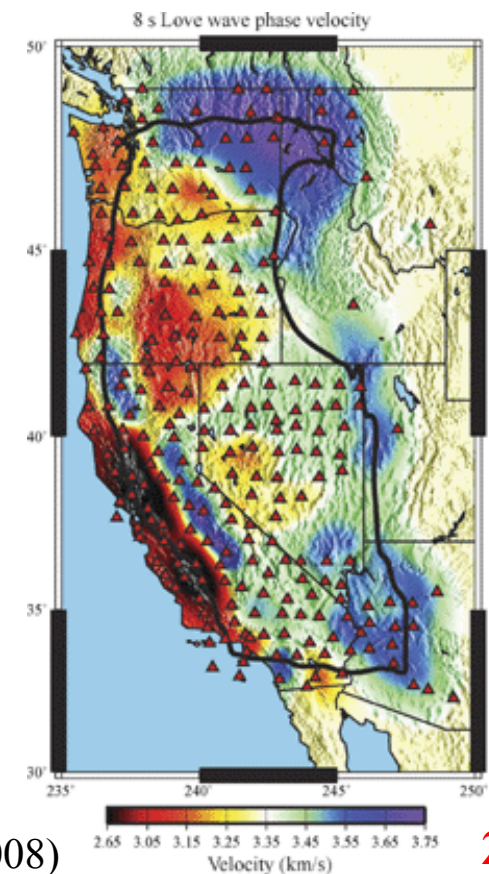


**Local Scale**  
**Building**  
**10's meters**



**Local - Regional**  
**Sedimentary Basins**  
**10-100's km**

**Regional - Global**  
**Western US**  
**100-1000's km**



Lin et al. GJI (2008)

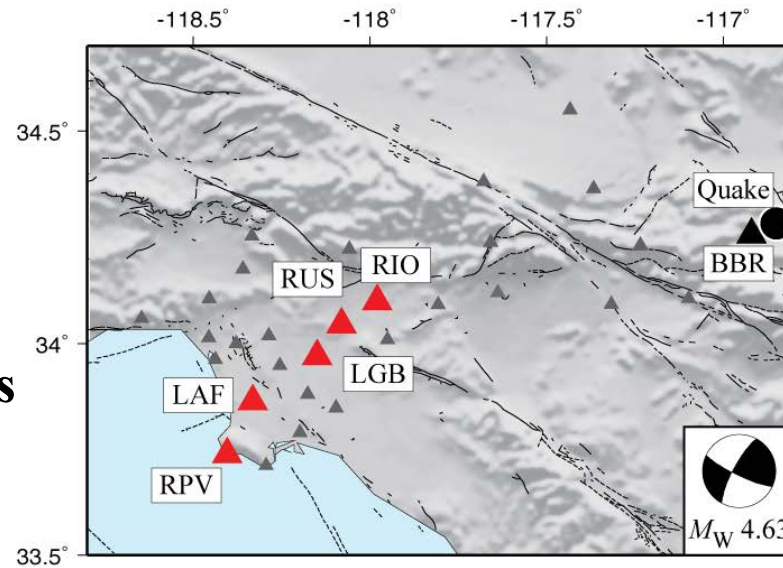




# Scale of our problem

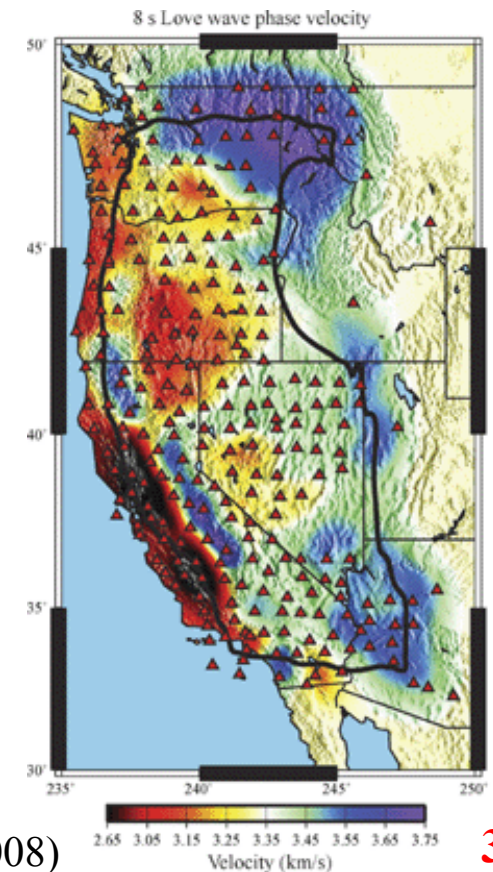


**Local Scale**  
Freq: 0.5–5 Hz  
Prop Vel 100 – 200 m/s



**Local – Regional**  
Freq: 0.1 – 0.5 Hz  
T: 2 – 10 sec  
Prop Vel: ~ 3 km/s

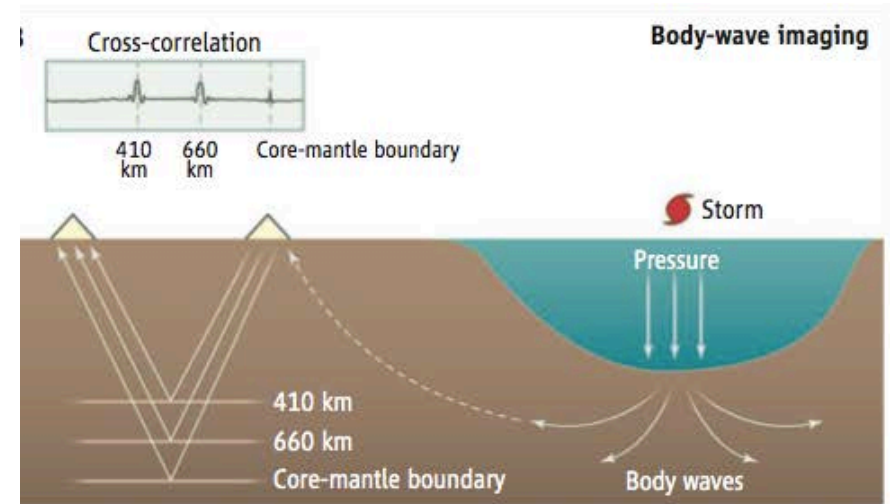
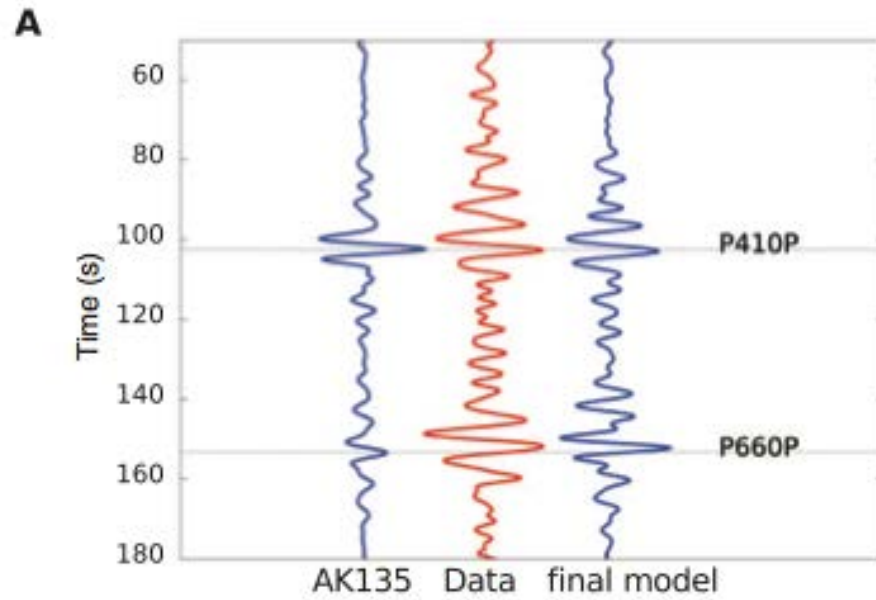
**Regional - Global**  
Freq: 0.125–0.025 Hz  
T: 8 – 40 sec  
Prop Vel: 3 – 4 km/s



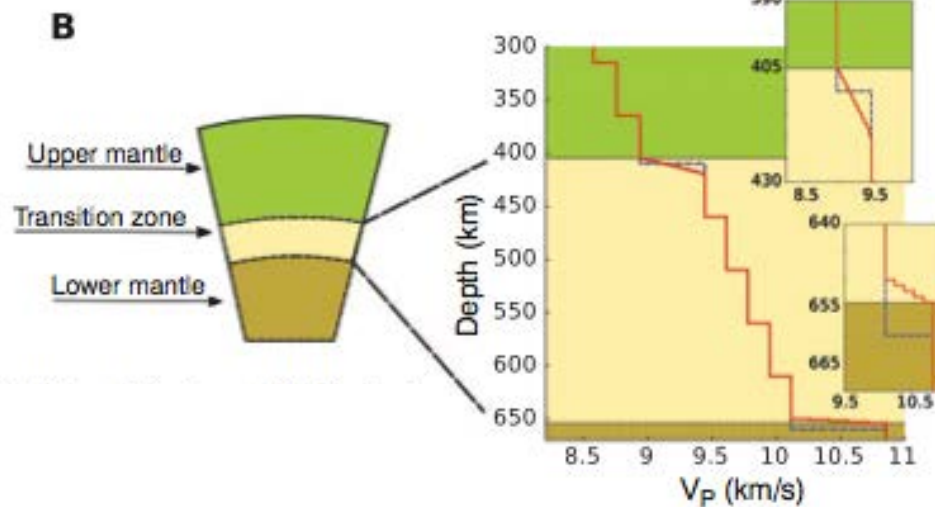
Lin et al. GJI (2008)



# Of course, noise has gone global



Prieto, Science (2012)



Poli, et al., Science (2012)



---

## Amplification and Attenuation

### **Tomographic Imaging of Elastic Structure**

Higher resolution with increase data coverage

### **Seismic attenuation tomography has lagged behind**

More difficult.

Amplitude of seismic waves affected by 3D velocity, multi-pathing, scattering, and source.



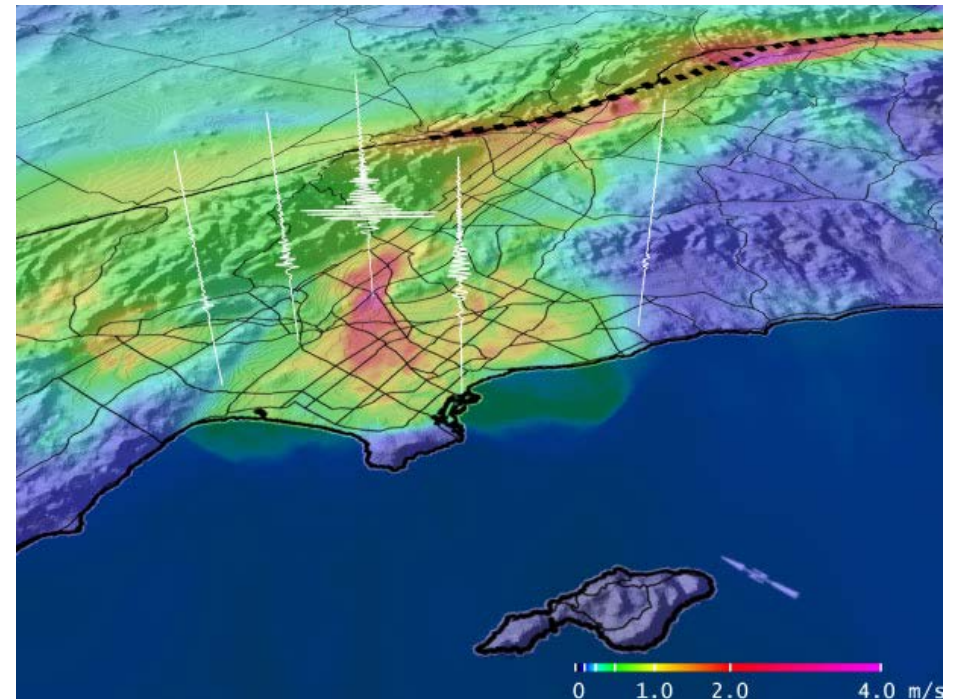
## Why is this important?



### Amplification and Attenuation

Recent ground motion simulations suggest wave guide by sedimentary basins and large amplitudes in the LA Basin.

Basin for major cities (Tokyo, LA, Mexico City, Bogotá, Colombia)



**How can we validate these simulations?**



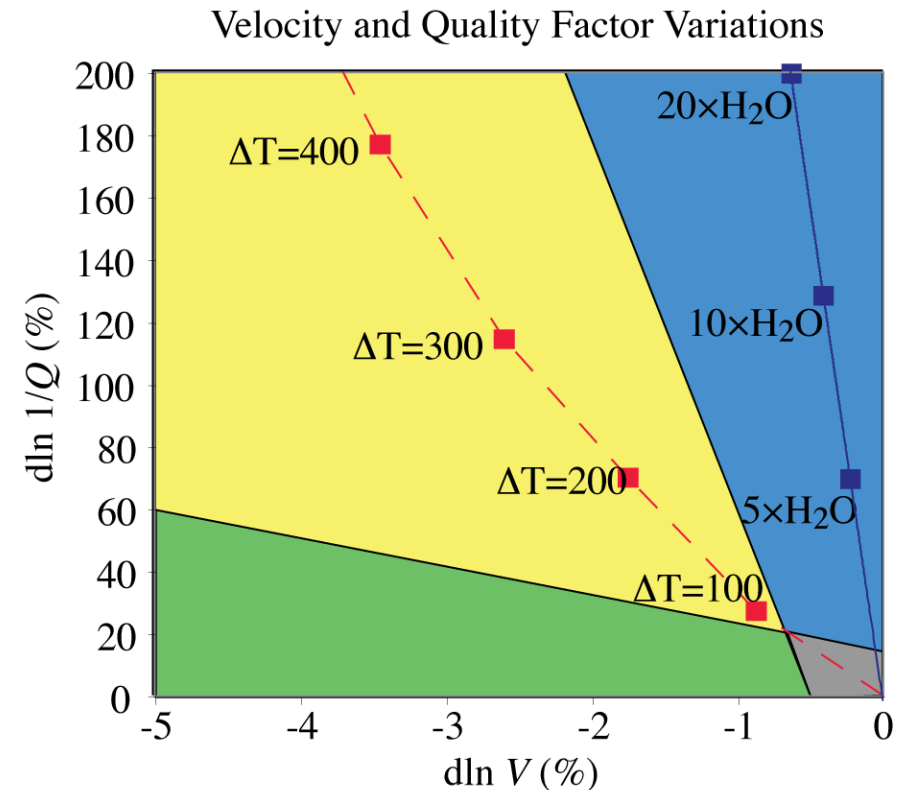
## Why is this important?



### Amplification and Attenuation

Attenuation provides relevant physical properties of Earth.

- Very sensitive to temperature
- Presence of fluids (melt or water)
- Tectonic activity (E,W US)



[Lawrence & Wysession., 2005: AGU Monograph; Karato, 2003: AGU Monograph]

How can we validate these simulations?

Can we use amplitudes to monitor changes in the media?



## Amplitude information



---

**Is there reliable amplitude information in noise correlations?**

Geometrical Spreading  
Basin Amplification  
Attenuation  
...

**YES**

(under some conditions)  
STILL DEBATED HOW

---

... substantial and competing amplitude anomalies due to elastic and anelastic variations ... (Savage et al., 2010)





**For today ...**



---

**Is there reliable amplitude information in noise correlations?**

1. First suggestions on amplitude information
2. Some theoretical questions
3. A numerical example
4. Two recent success stories
5. Always improving, how can we do better?

---

... substantial and competing amplitude anomalies due to elastic and anelastic variations ... (Savage et al., 2010)



# Ambient noise Amplitudes and Attenuation



results of previous studies are encouraging

*Weaver and Lobkis, 2001*

*Larose et al., 2007*

*Prieto and Beroza, 2008*

*Matzel, 2008*

*Prieto et al., 2009*

*Taylor et al., 2009*

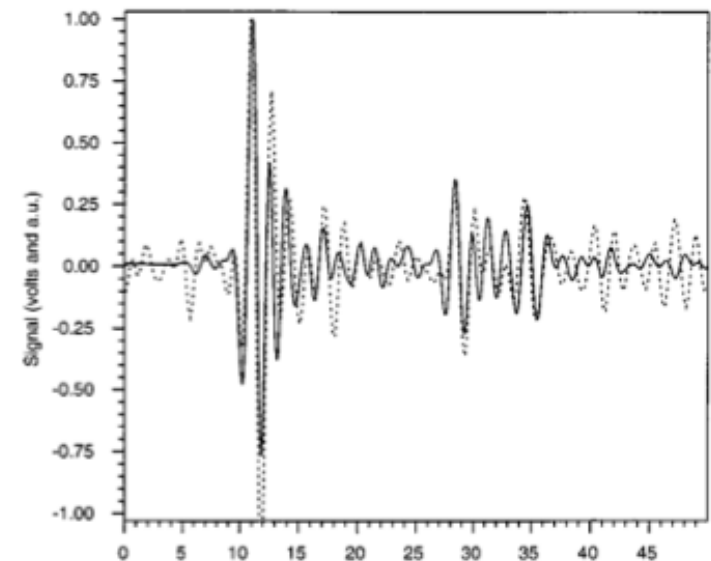
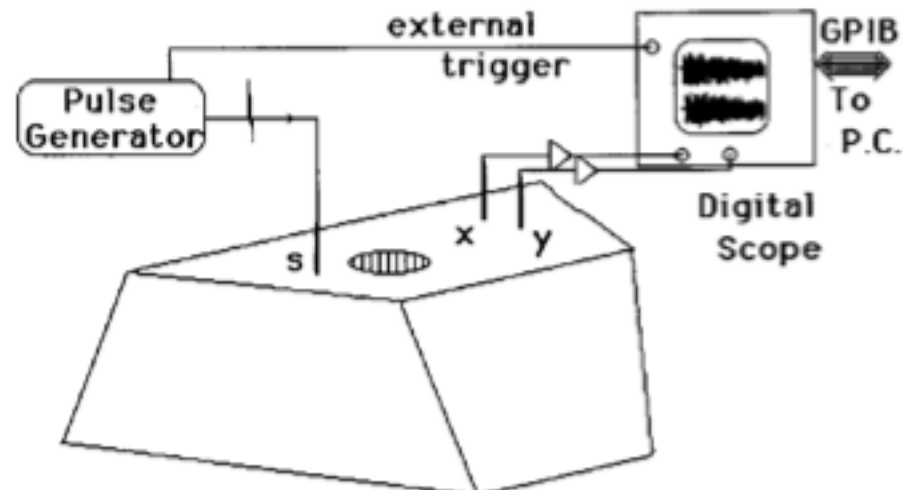
*Cupillard and Capdeville, 2010*

*Lawrence and Prieto, 2011*

*Lin et al., 2011; 2012*

*Weaver, 2011a; 2011b; 2013*

*Prieto et al., 2011*





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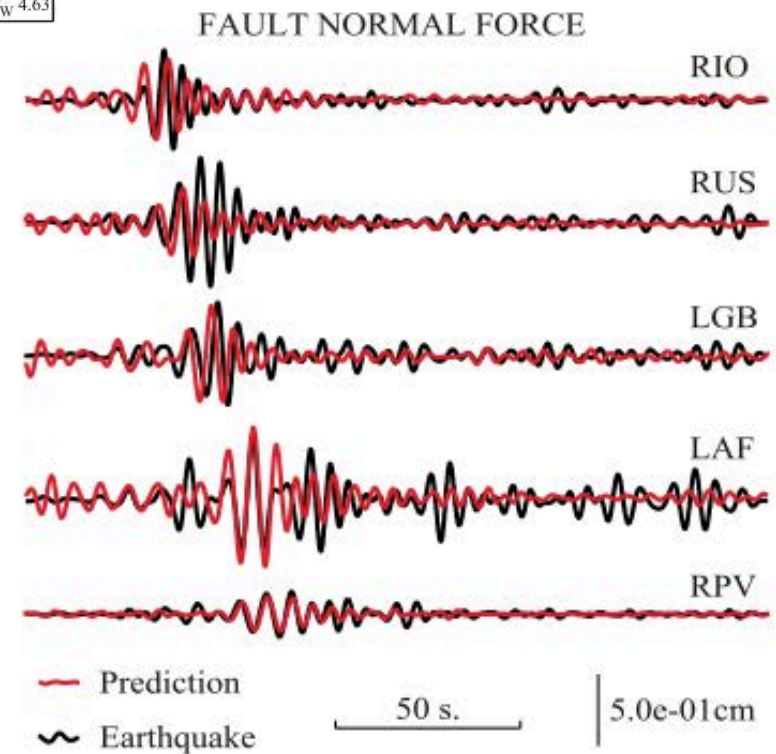
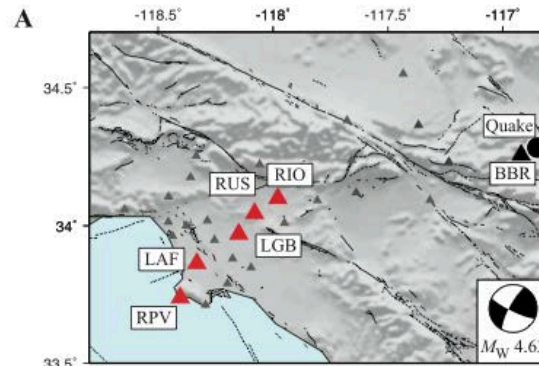
*Cupillard and Capdeville, 2010*

*Lawrence and Prieto, 2011*

*Lin et al., 2011; 2012*

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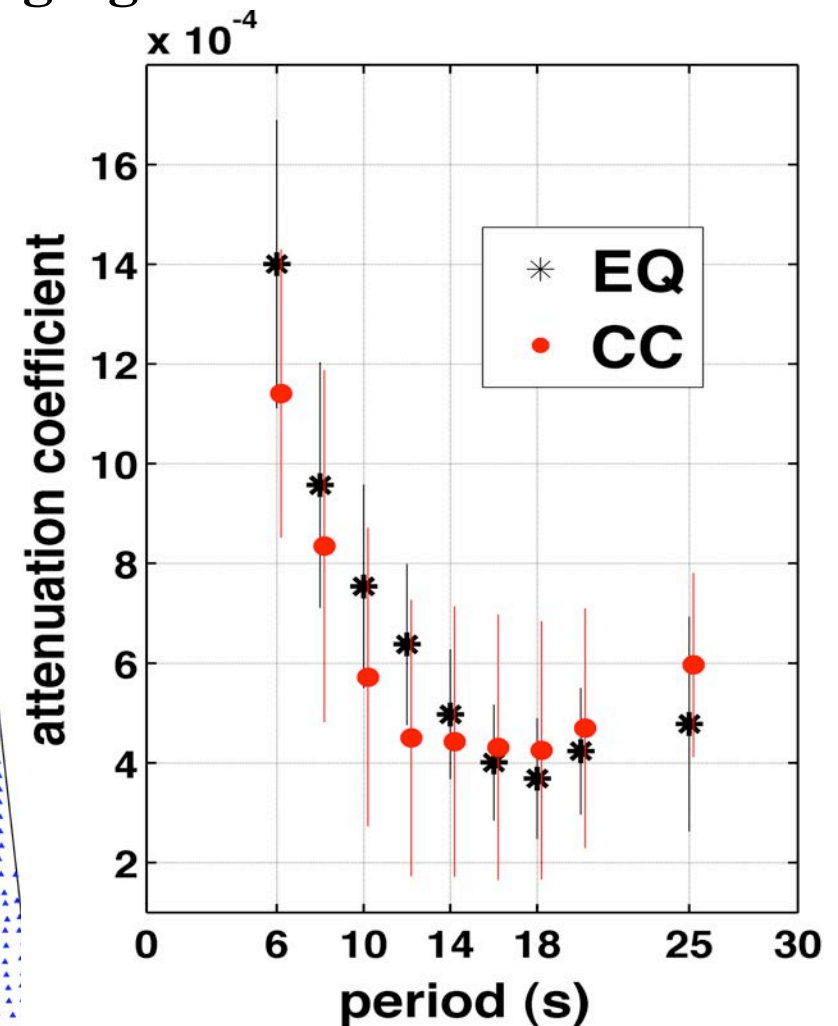
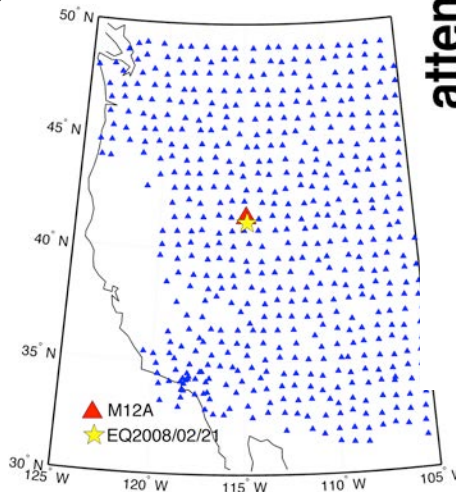
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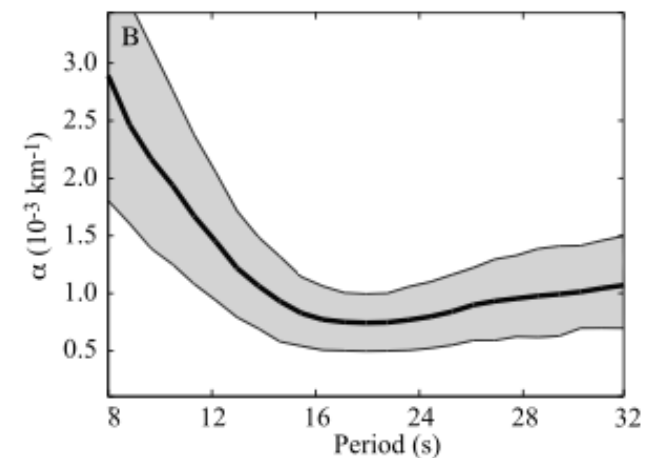
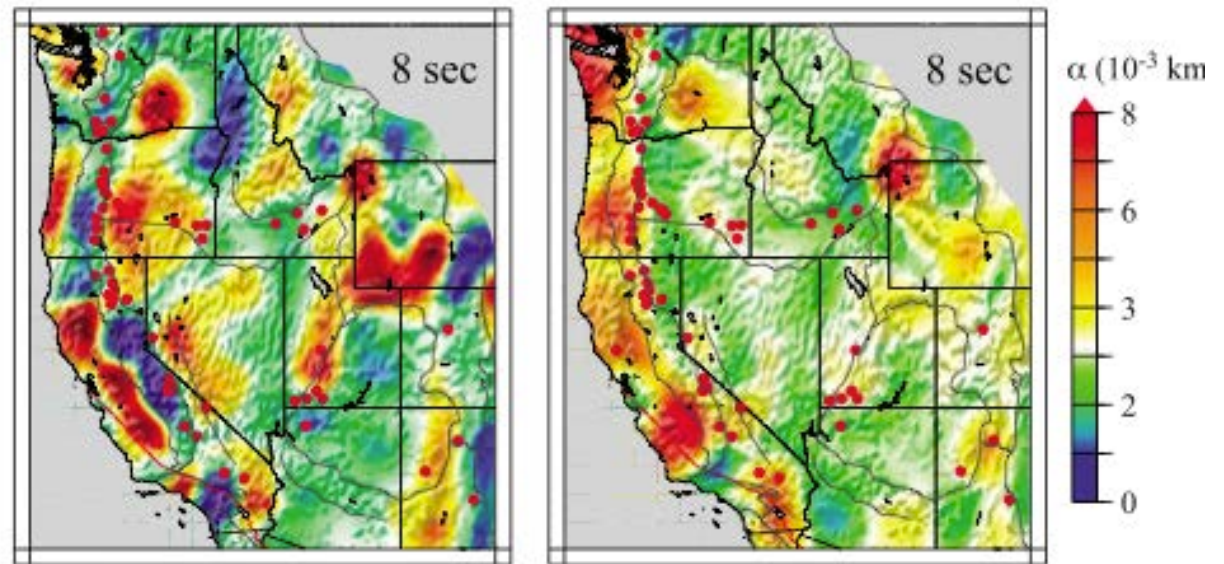
*Cupillard and Capdeville, 2010*

*Lawrence and Prieto, 2011*

*Lin et al., 2011; 2012*

*Weaver, 2011a; 2011b; 2013*

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**For today ...**



---

## **Is there reliable amplitude information in noise correlations?**

1. First suggestions on amplitude information
2. Some theoretical questions
3. A numerical example
4. Two recent success stories
5. Always improving, how can we do better?

---

... substantial and competing amplitude anomalies due to elastic and anelastic variations ... (Savage et al., 2010)



# Correlations - $e^{\alpha r}$



Based on seismic observations, we suggested

Aki (1957) SPAC Method

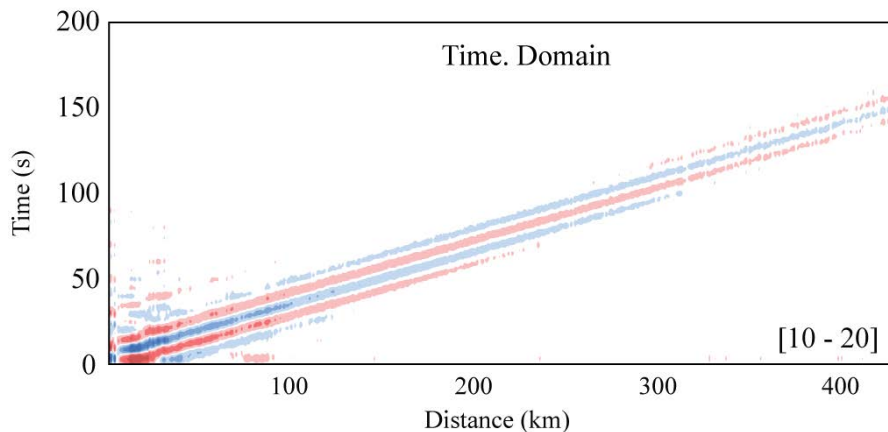
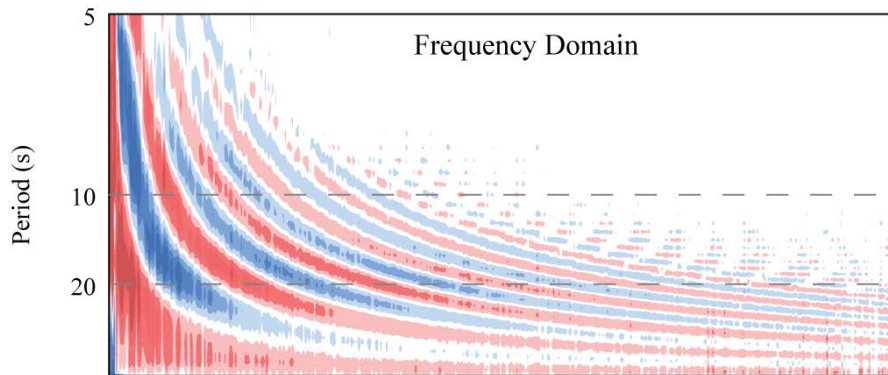
$$\text{Freq: } \text{Re}[\gamma_{AB}(\omega, r)] = \left\langle \frac{u_A u_B^*}{\langle |u_A| \rangle \langle |u_B| \rangle} \right\rangle = J_0\left(\frac{\omega r}{C}\right)$$

$C = \text{Phase Velocity}$

Colin de Verdière (2006)

$$\text{Time: } \frac{\partial}{\partial \tau} \langle C_{AB}(\tau) \rangle = \frac{-\sigma^2}{4a} (G_{AB}(\tau) - G_{AB}(-\tau))$$

$$\text{Re}[\gamma_{AB}(\omega, r)] = J_0\left(\frac{\omega r}{C}\right) \exp(-\alpha r)$$



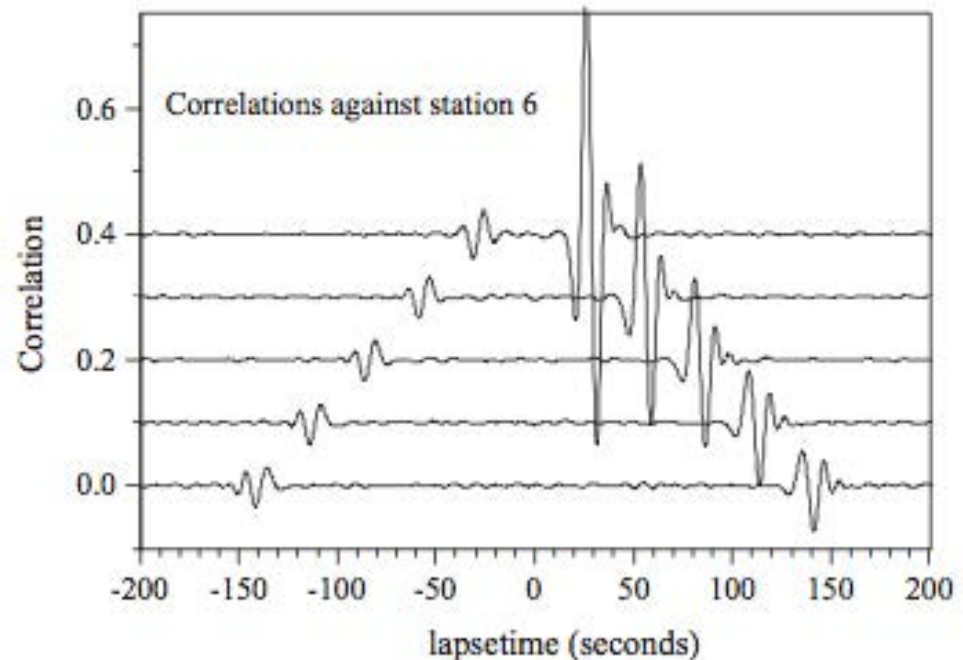
Prieto et al. CRG (2011)



## Amplitude information present, but not simple $e^{\alpha r}$

*Inference of attenuation from comparison of empirical coherencies to  $J_0(\omega r/c) \exp(-\alpha r)$  is problematic (Weaver, CRG).*

Site factors, noise intensity (directivity) and attenuation all have an effect on amplitude measurements



$$X_{i \rightarrow j} = s_i s_j B_i(\hat{\mathbf{n}}_{i \rightarrow j}) \sqrt{2\pi c / \omega} |\vec{r}_i - \vec{r}_j| \exp\left(- \left| \int_{\vec{r}_j}^{\vec{r}_i} \alpha dx \right| \right)$$





### Behavior of $e^{-\alpha r}$ only in special cases

$$\hat{C}_{xy}^E = \frac{1}{I_0(\alpha r_{xy})} J_0\left(\frac{\omega r_{xy}}{c}\right).$$

Uniform distribution of far-field sources

$$\hat{C}_{xy}^E = \frac{A_\theta^2}{A^2} e^{-\alpha r_{xy}} J_0\left(\frac{\omega r_{xy}}{c}\right).$$

Including far and near-field source



### SPAC method in dispersive media (Nakahara, GJI, 2013)

Analysis of the SPAC expressions for 2-D cases shows that the conjecture of *Prieto et al.* (2009) is not strict but approximately good for small attenuation.

$$C_{1,2}(\mathbf{r}, \omega) = J_0(k_0 r) \quad \text{for 2-D,}$$

Non-dissipative media

$$C_{1,2}(\mathbf{r}, \omega) = \frac{\sin(k_0 r)}{k_0 r} \quad \text{for 3-D.}$$

$$C_{1,2}(\mathbf{r}, \omega) \approx \exp(-\kappa r) \left[ J_0(k_0 r) \left( 1 + \frac{2\kappa}{\pi k_0} \right) + \frac{\kappa}{2k_0} Y_0(k_0 r) \right].$$

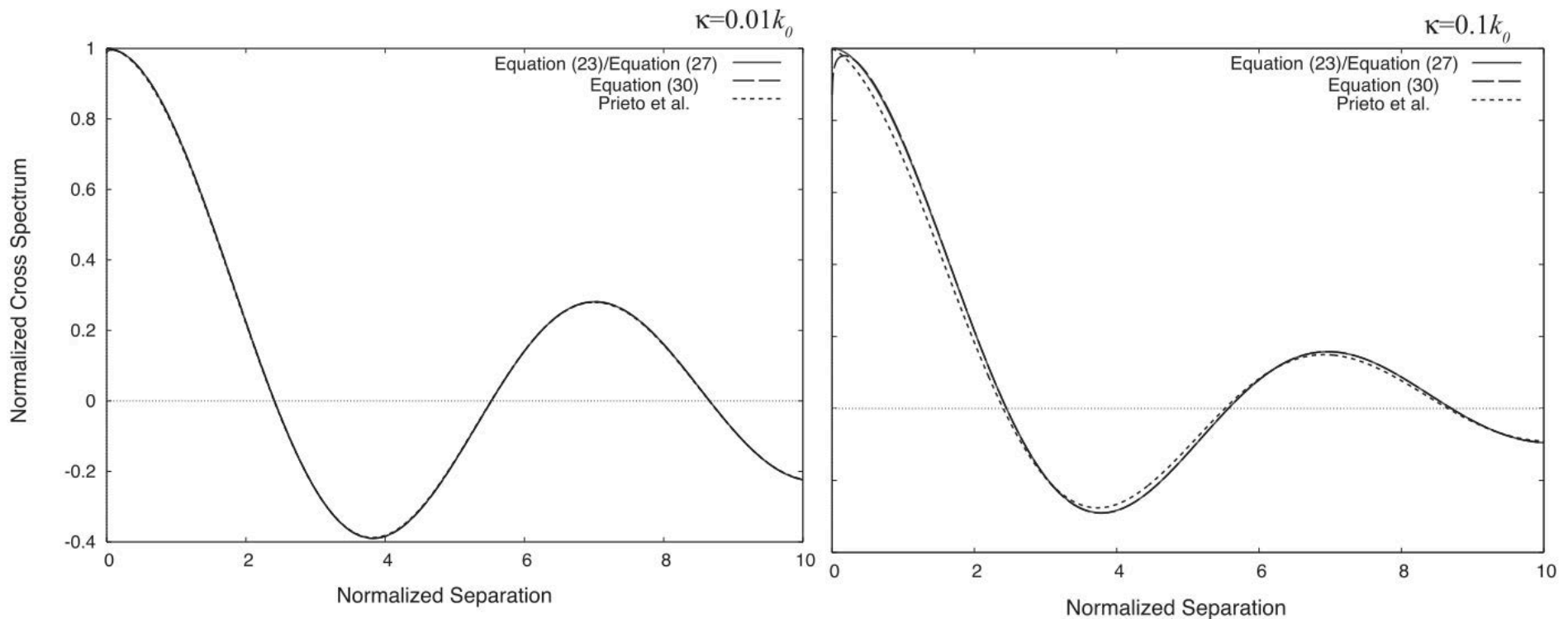
$$C_{1,2}(\mathbf{r}, \omega) = \frac{\sin k_0 r}{k_0 r} \exp(-\kappa r).$$

Dissipative, assuming large separations and small attenuation



## SPAC method in dispersive media (Nakahara, GJI, 2013)

Analysis of the SPAC expressions for 2-D cases shows that the conjecture of *Prieto et al.* (2009) is not strict but approximately good for small attenuation.





**For today ...**



---

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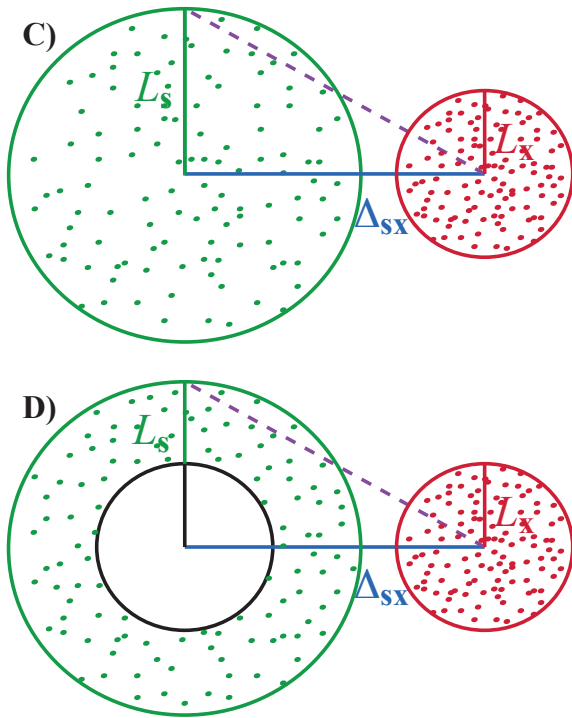




# Numerical Simulation

---

Lawrence et al. In prep.



## Do simulations support our claim?

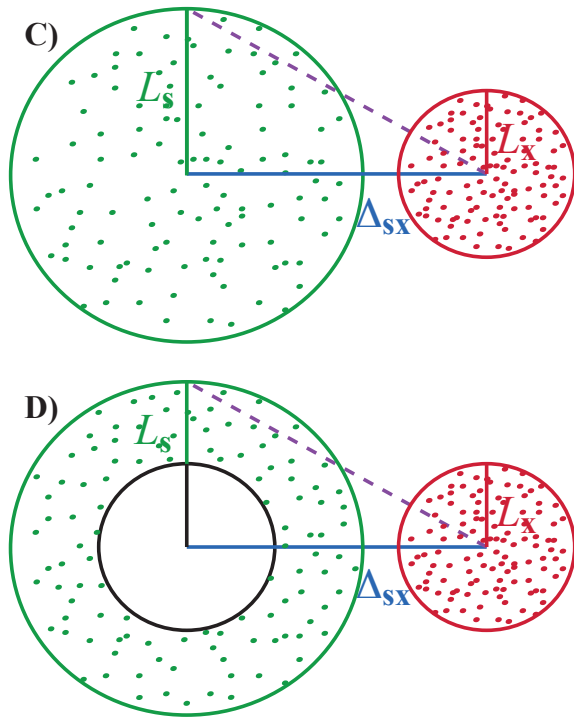
We generate

- random array of sensors (red)
- random location of “noise” sources
- 3 months of synthetic data



# Numerical Simulation

Lawrence et al. In prep.



## Do simulations support our claim?

We generate

- random array of sensors (red)
- random location of “noise” sources
- 3 months of synthetic data

$$u(\mathbf{x}, \mathbf{s}) = \int_A F(\mathbf{s}, \omega) e^{i\omega t} e^{-ikr_{sx}} e^{-\alpha(\omega)r_{sx}} r^{-0.5} dA$$

$F(\mathbf{s}, \omega)$  – source at location  $\mathbf{s}$

$r_{sx}$  – source receiver distance

$\alpha$  - attenuation coefficient

$A$  – integration over sources



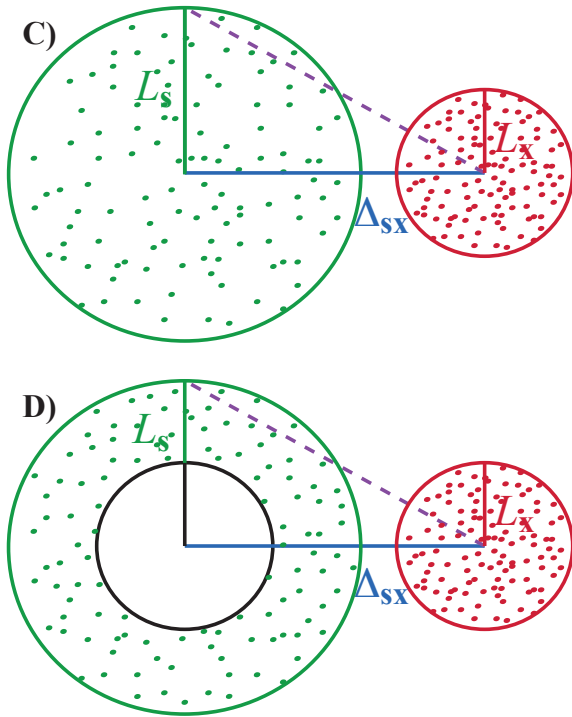
# Numerical Simulation

Lawrence et al. In prep.

## Do simulations support our claim?

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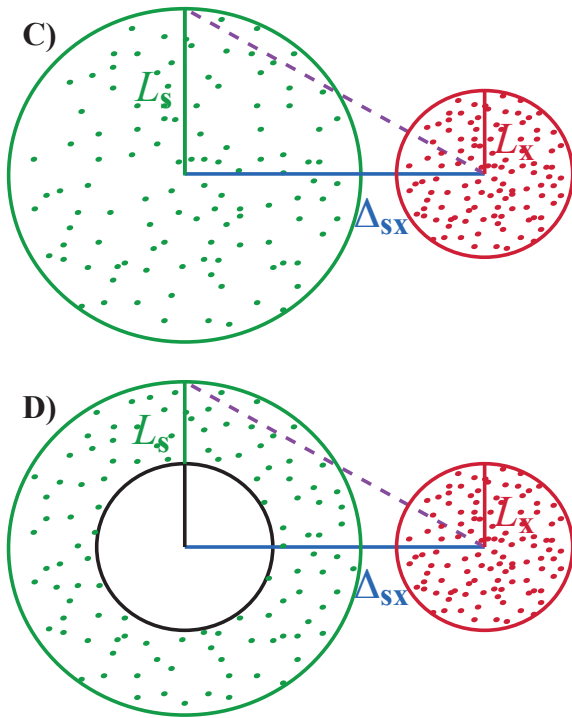
$$u(\mathbf{x}, \mathbf{s}) = \int_A F(\mathbf{s}, \omega) e^{i\omega t} e^{-ikr_{\mathbf{s}\mathbf{x}}} e^{-\alpha(\omega)r_{\mathbf{s}\mathbf{x}}} r^{-0.5} dA$$

$$\bar{\gamma}_{\mathbf{xy}}(\omega) = \left\langle \frac{\sum_{j=1}^{N_s} F(\mathbf{s}_j, \omega) e^{i\omega t} e^{-ikr_{\mathbf{s}_j\mathbf{x}}} e^{-\alpha(\omega)r_{\mathbf{s}_j\mathbf{x}}} r^{-0.5} \sum_{j=1}^{N_s} F(\mathbf{s}_j, \omega) e^{i\omega t} e^{-ikr_{\mathbf{s}_j\mathbf{y}}} e^{-\alpha(\omega)r_{\mathbf{s}_j\mathbf{y}}} r^{-0.5}}{\left\{ \left| \sum_{j=1}^{N_s} F(\mathbf{s}_j, \omega) e^{i\omega t} e^{-ikr_{\mathbf{s}_j\mathbf{x}}} e^{-\alpha(\omega)r_{\mathbf{s}_j\mathbf{x}}} r^{-0.5} \right|^2 \right\}} \right\rangle$$

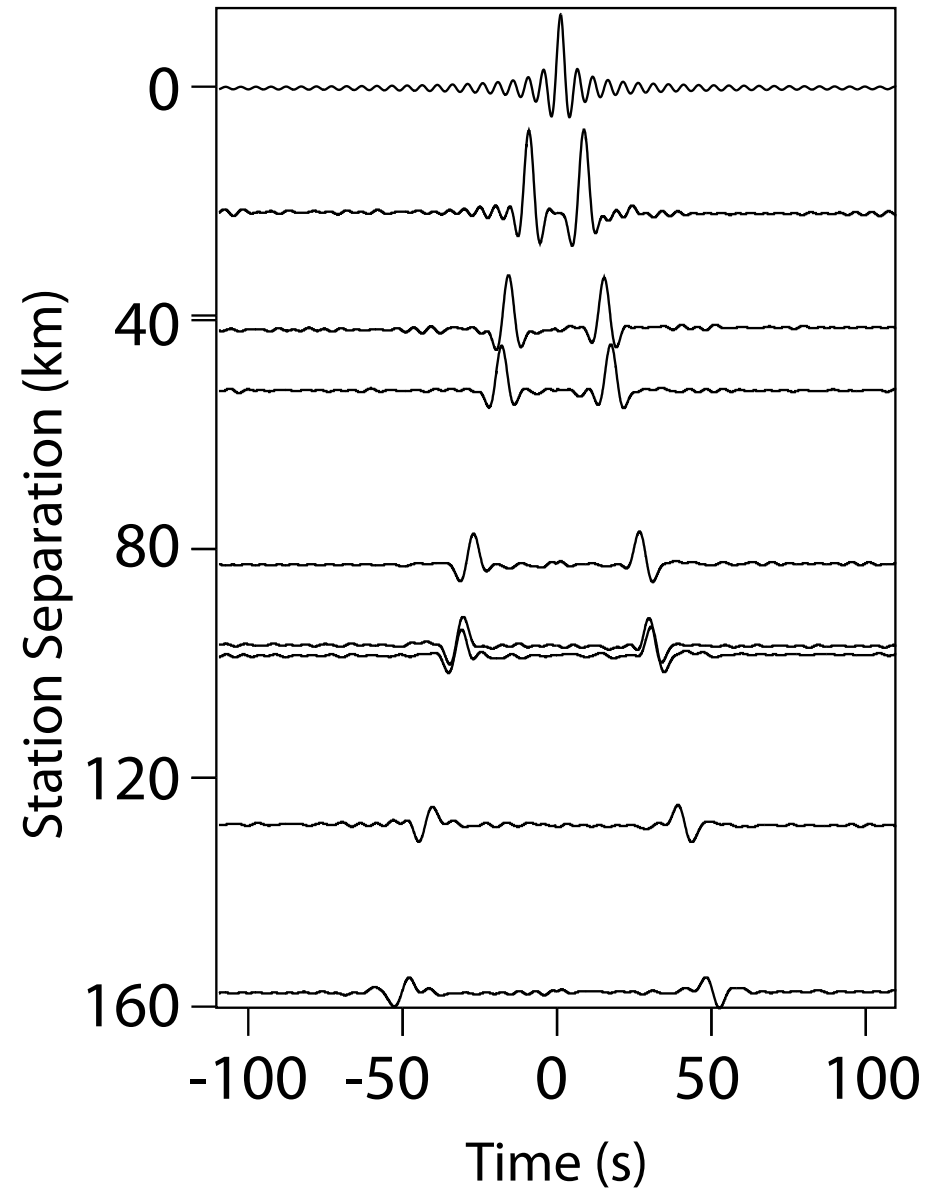


# Numerical Simulation

Lawrence et al. In prep.

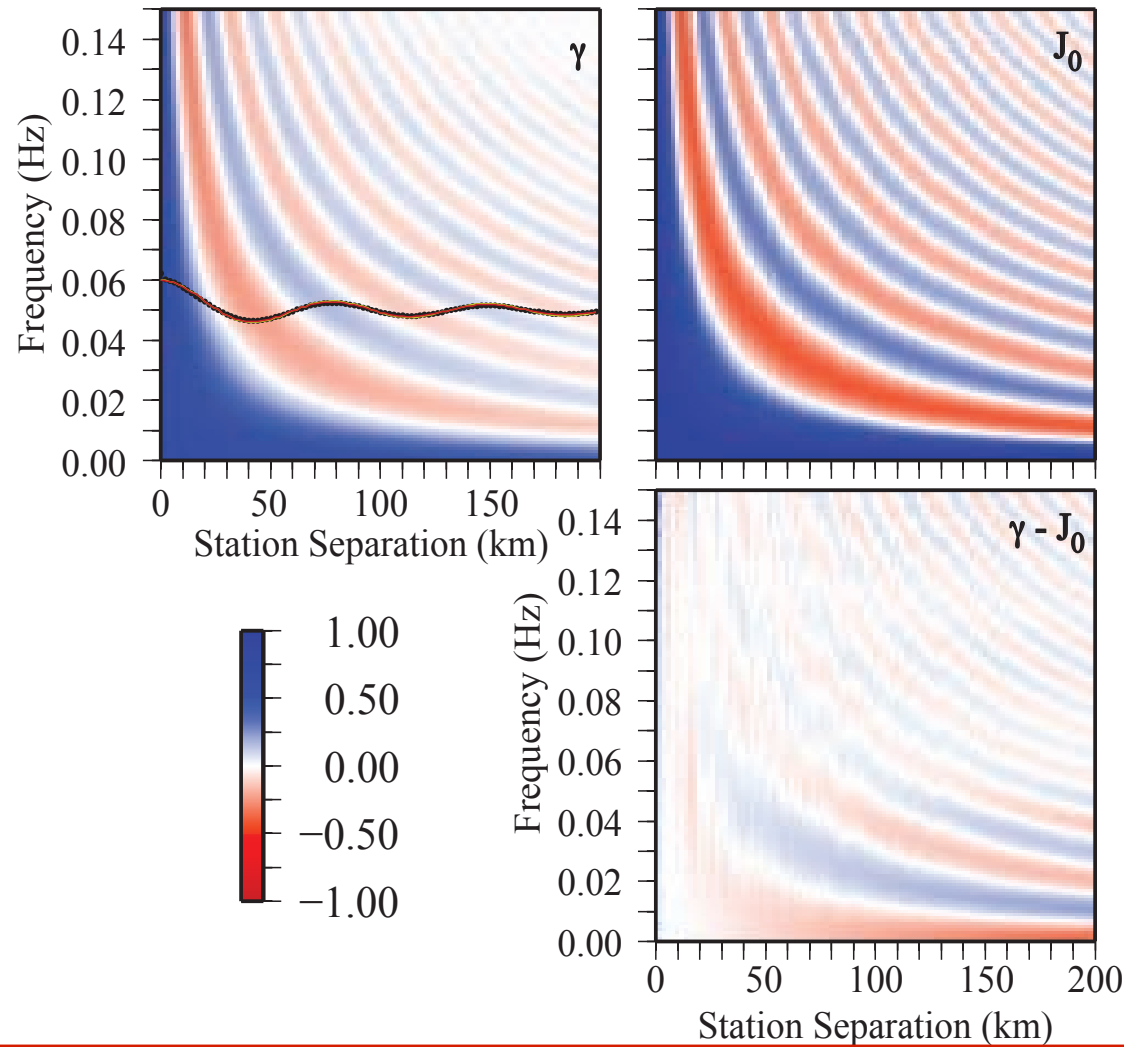


**Accurate phase velocity and amplitude decay observed in simulated cross-correlations.**





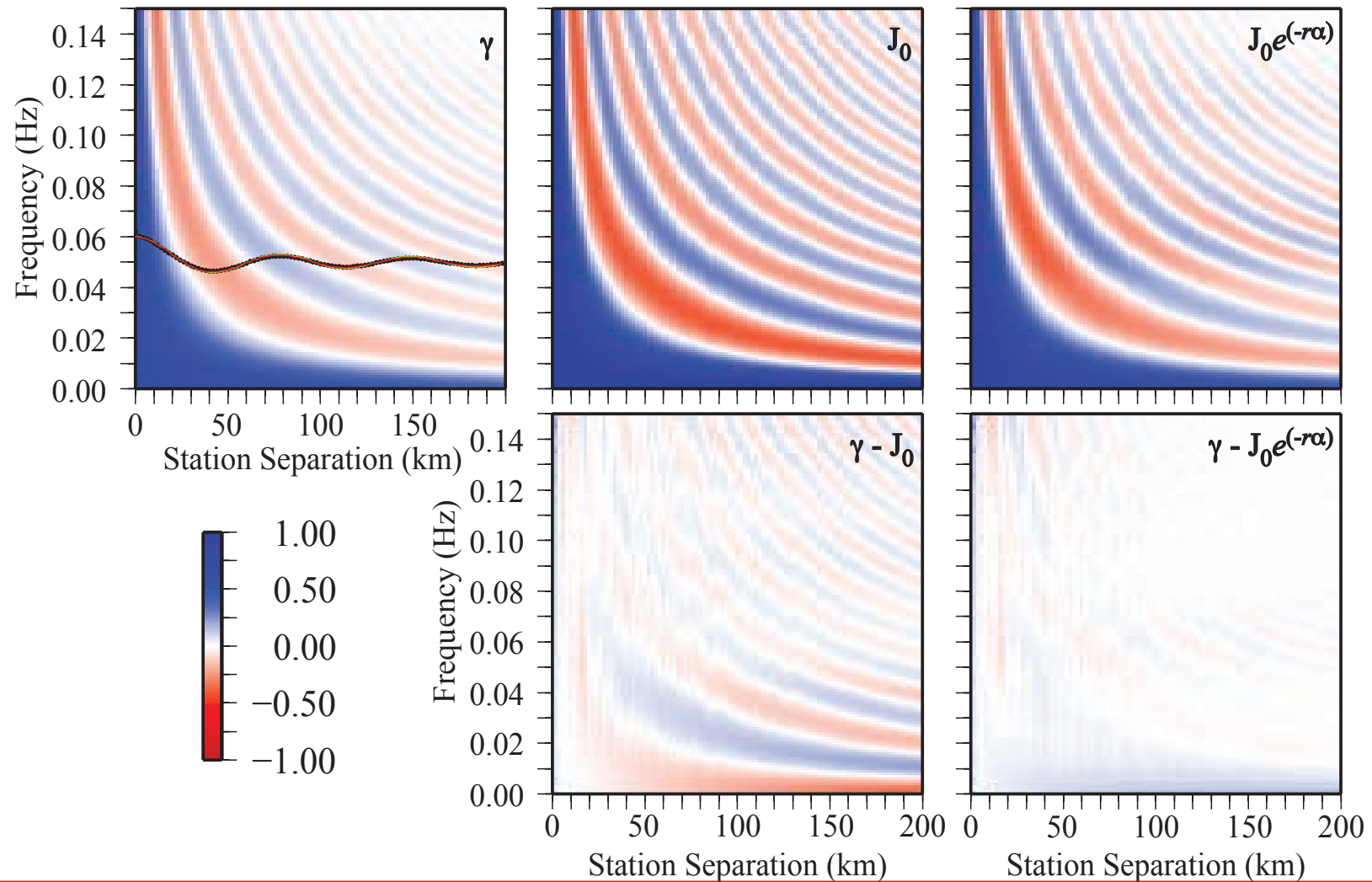
## Numerical Simulation



**Simulated coherencies match Bessel function for elastic case**



## Numerical Simulation



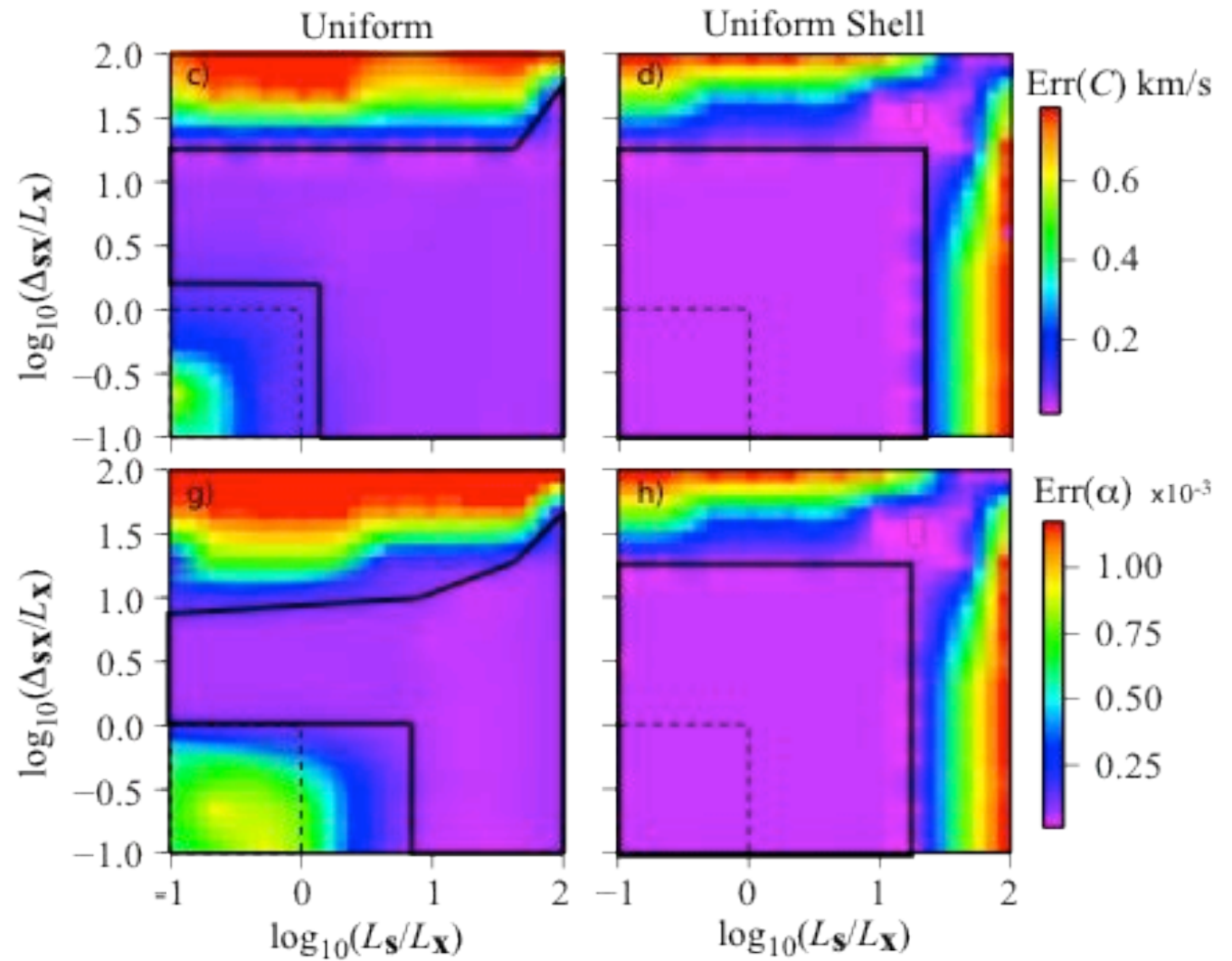
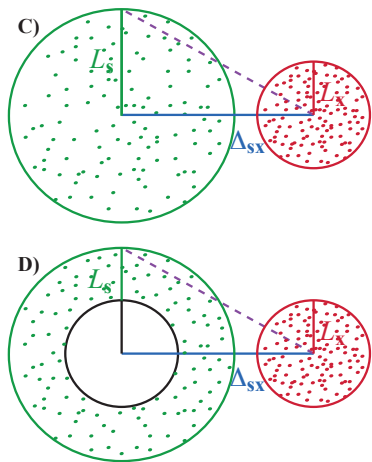
**Simulated coherencies match attenuated Bessel function better**





# Numerical Simulation

Lawrence et al. In prep.

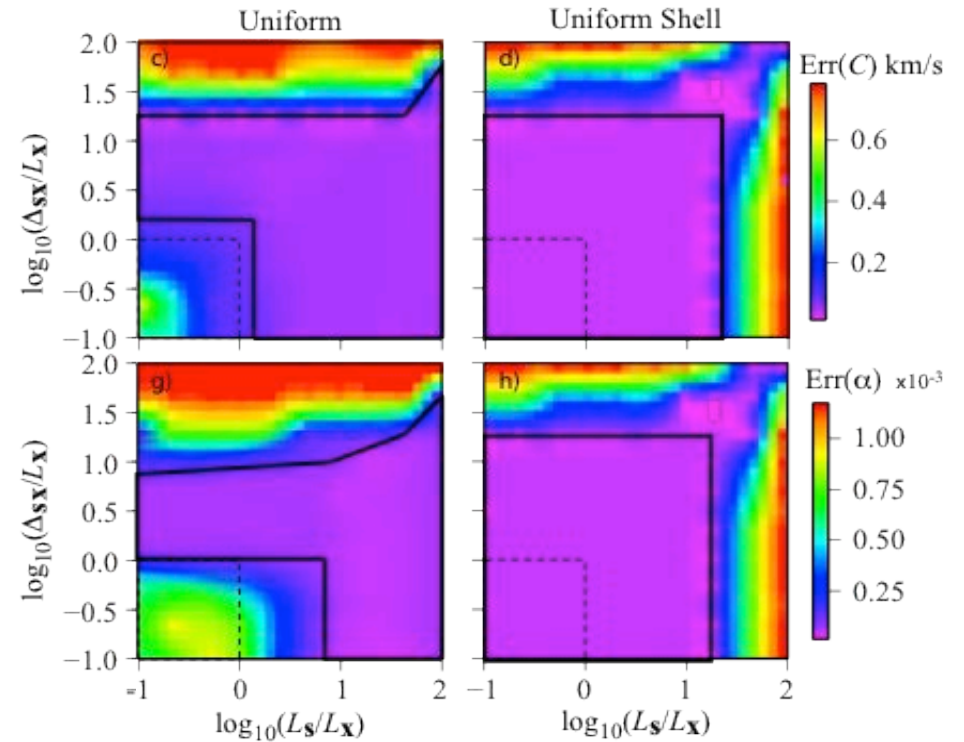
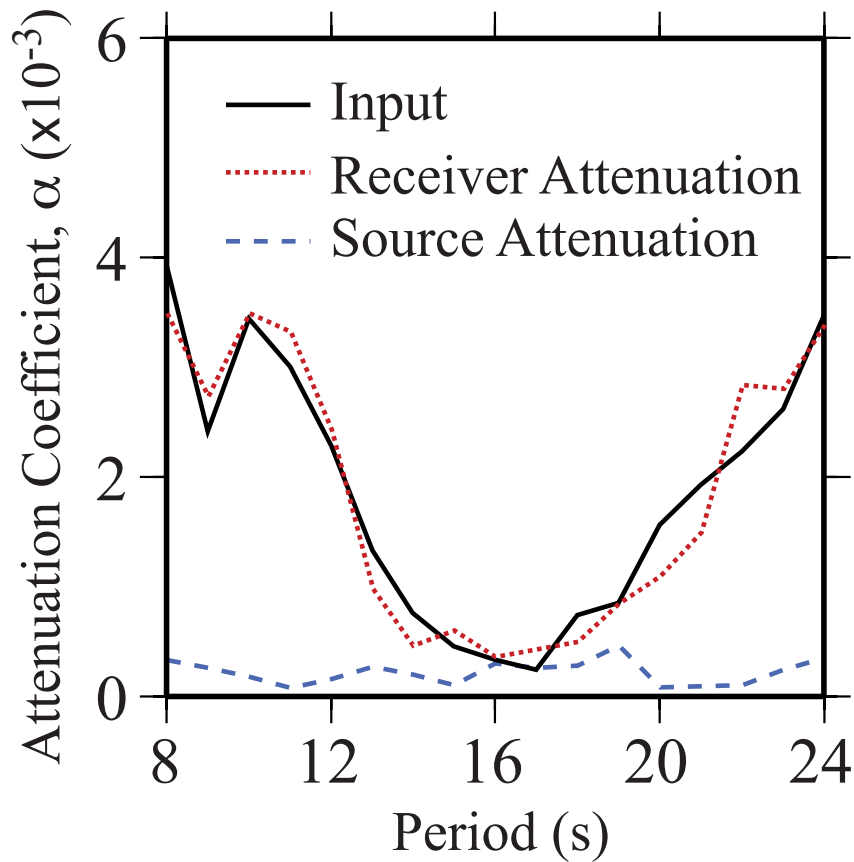


**Does not always work, but does on realistic source distributions**



# Numerical Simulation

Lawrence et al. In prep.



**Attenuation measured, is the interstation attenuation**



**For today ...**



---

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... substantial and competing amplitude anomalies due to elastic and anelastic variations ... (Savage et al., 2010)



# Attenuation from noise – $C^3$



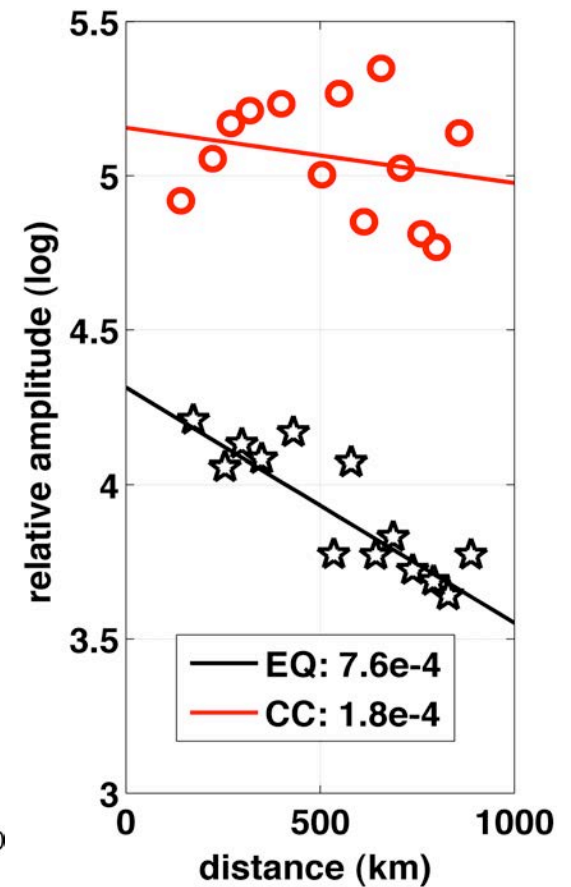
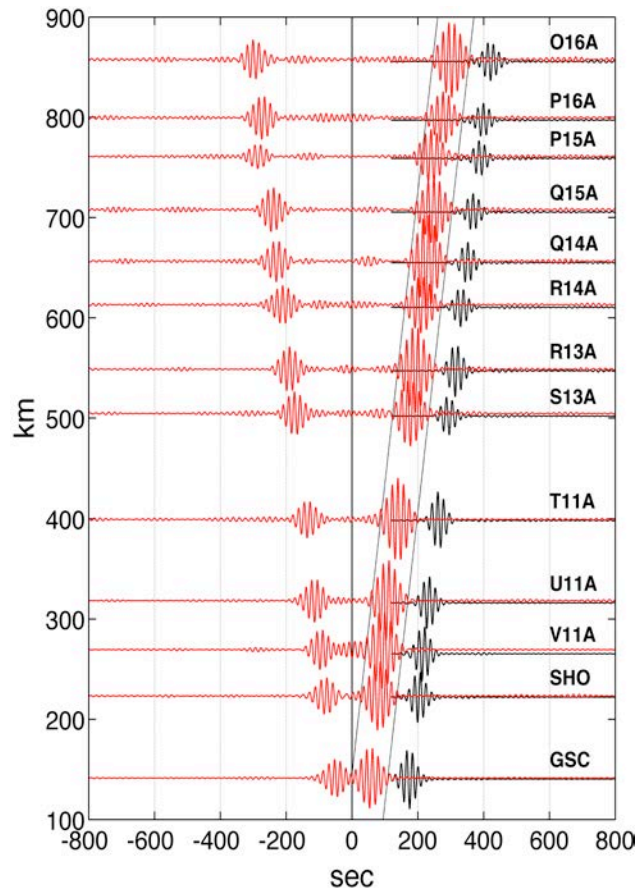
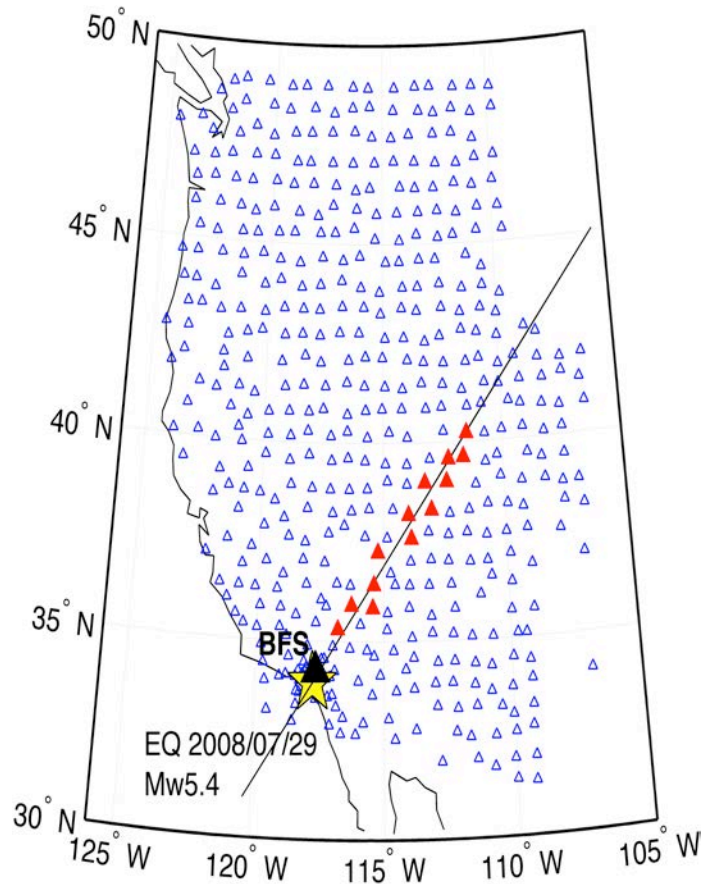
## Amplitude decay – line-array examples

18 sec

geometric spreading corrected

clear EGF, yet **biased** attenuation

(Zhang and Yang., 2013)





## Attenuation from noise – $C^3$



### Amplitude decay – line-array examples

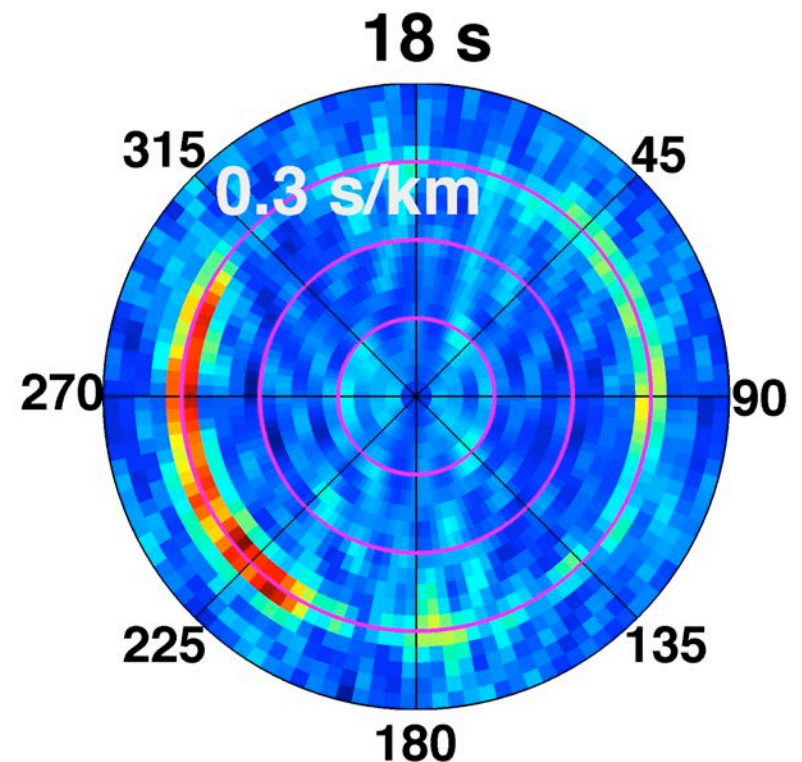
18 sec

geometric spreading corrected

clear EGF, yet **biased** attenuation

(Zhang and Yang., 2013)

May this bias be due to  
**noise intensity?**  
**noise directivity?**





# Attenuation from noise – $C^3$



## Amplitude decay – line-array examples

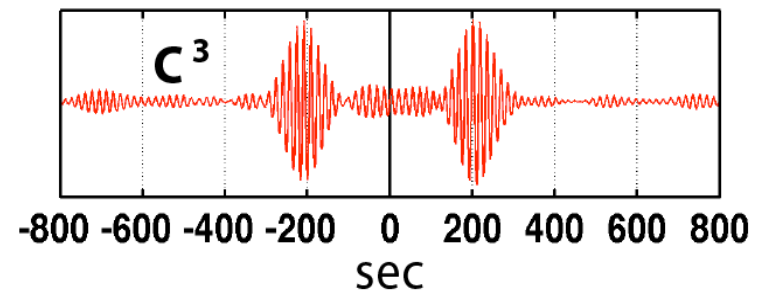
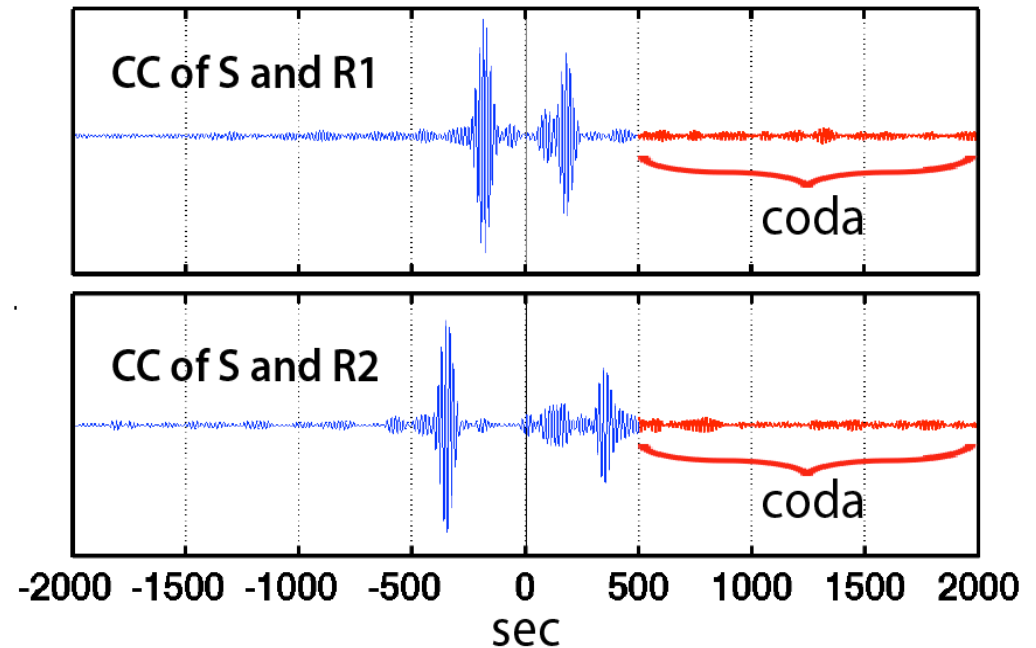
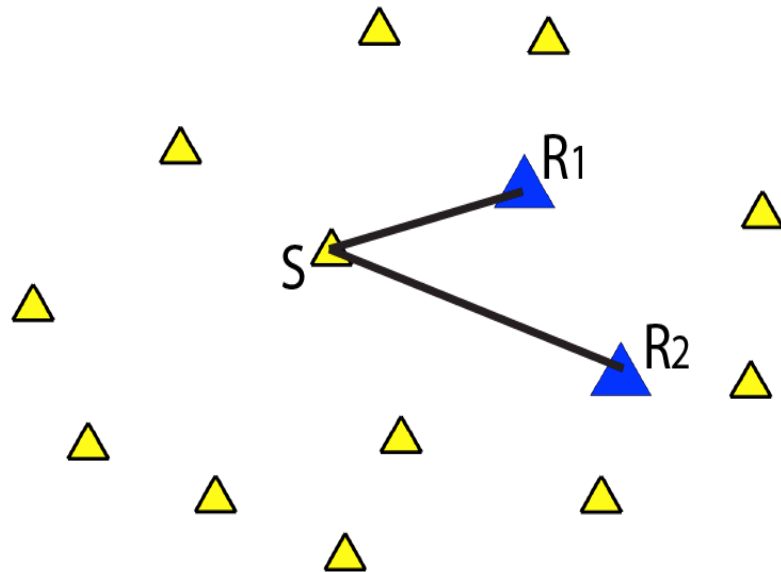
(Zhang and Yang., 2013)

*Stehly et al., 2008*

*Garnier & Papanicolaou, 2009*

*de Ridder et al., 2009*

*Froment et al., 2011*







# Attenuation from noise – $C^3$

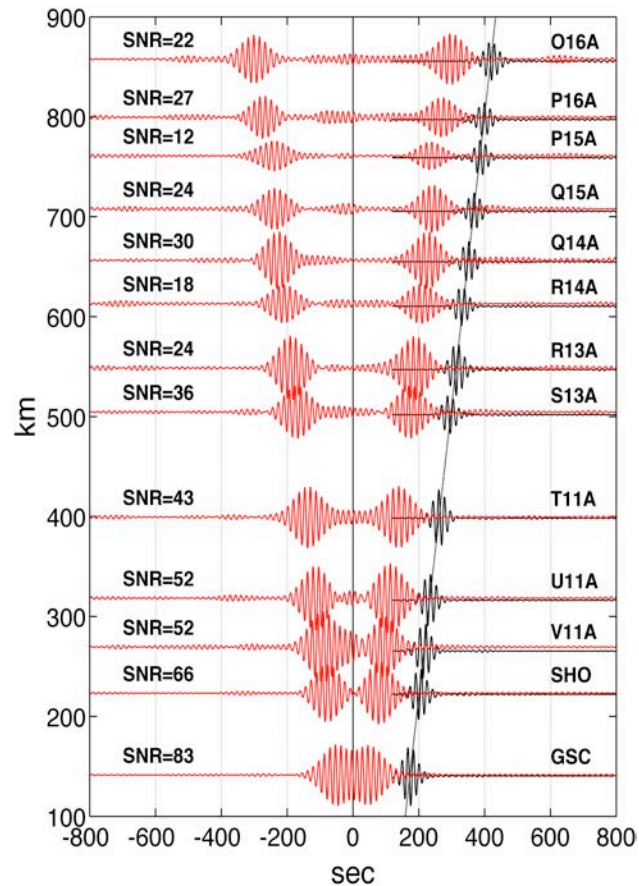
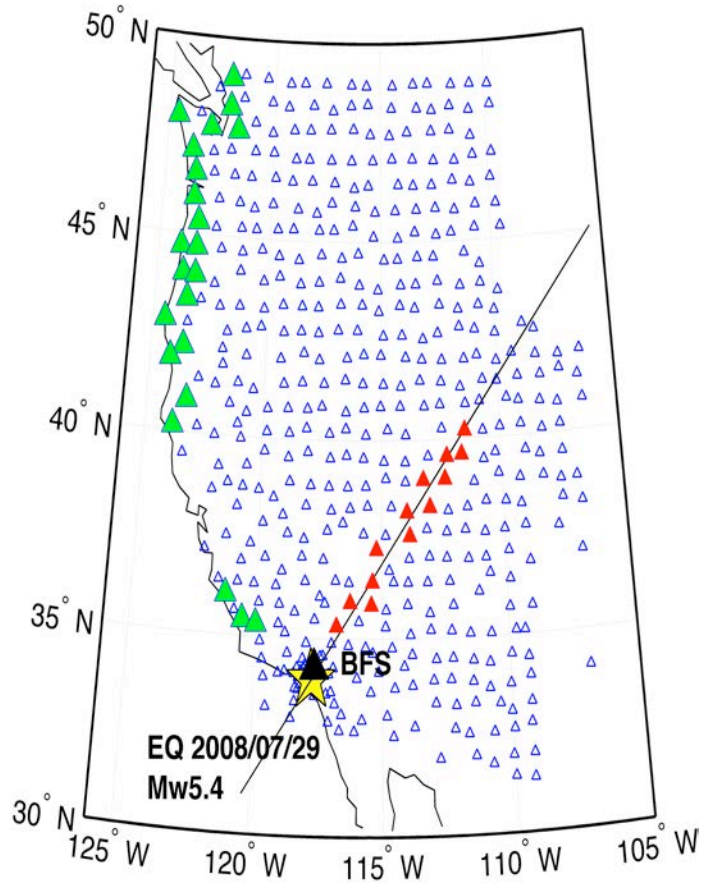


## Amplitude decay – line-array examples

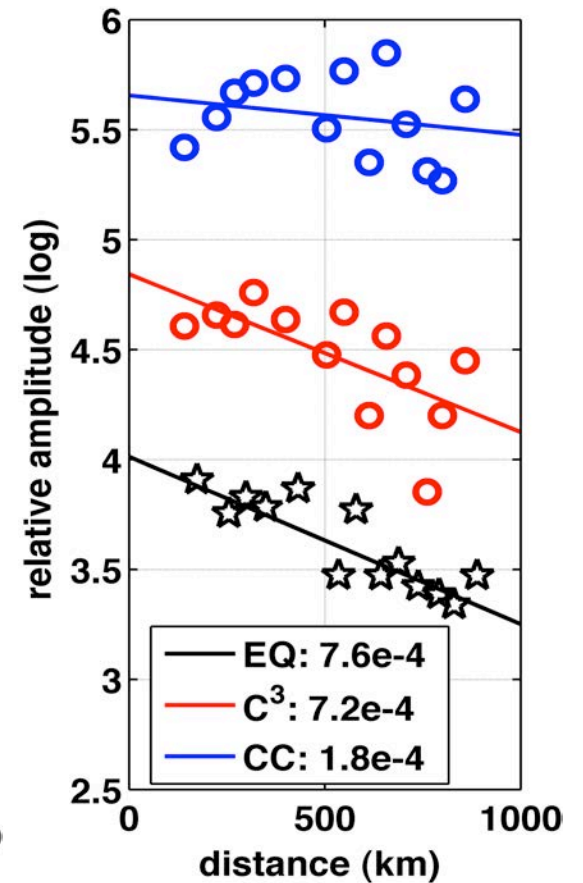
(Zhang and Yang., 2013)

symmetric EGF  
with high SNR

coastal coda-stations



CC vs.  $C^3$



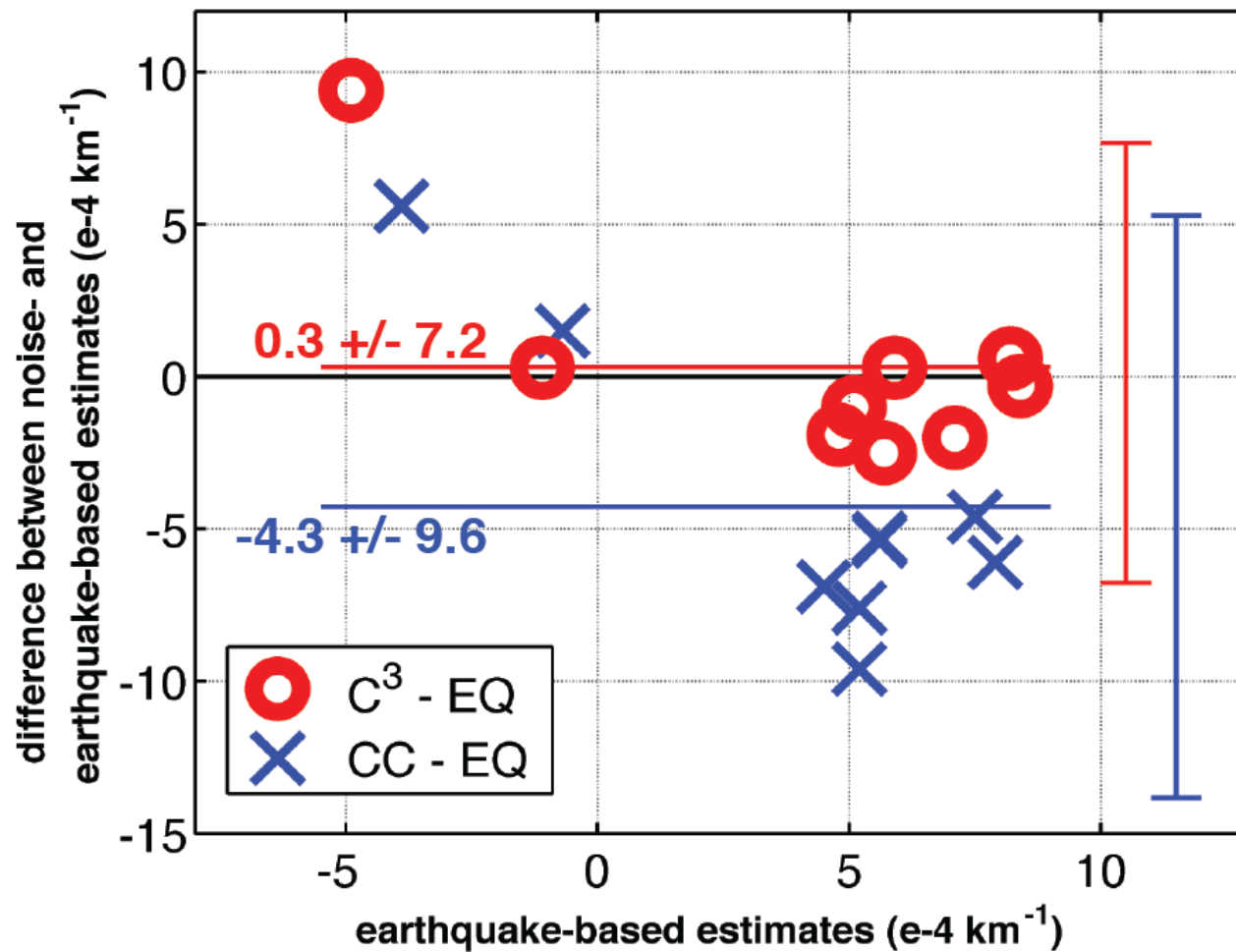


# Attenuation from noise – $C^3$



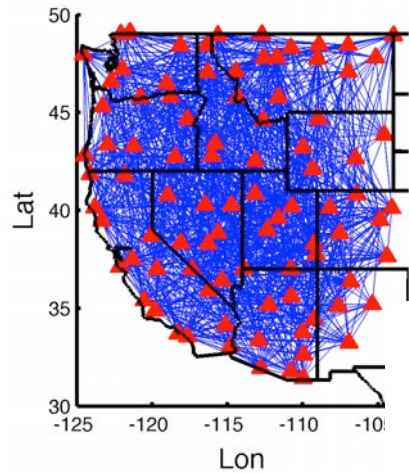
## Amplitude decay – line-array examples

(Zhang and Yang., 2013)



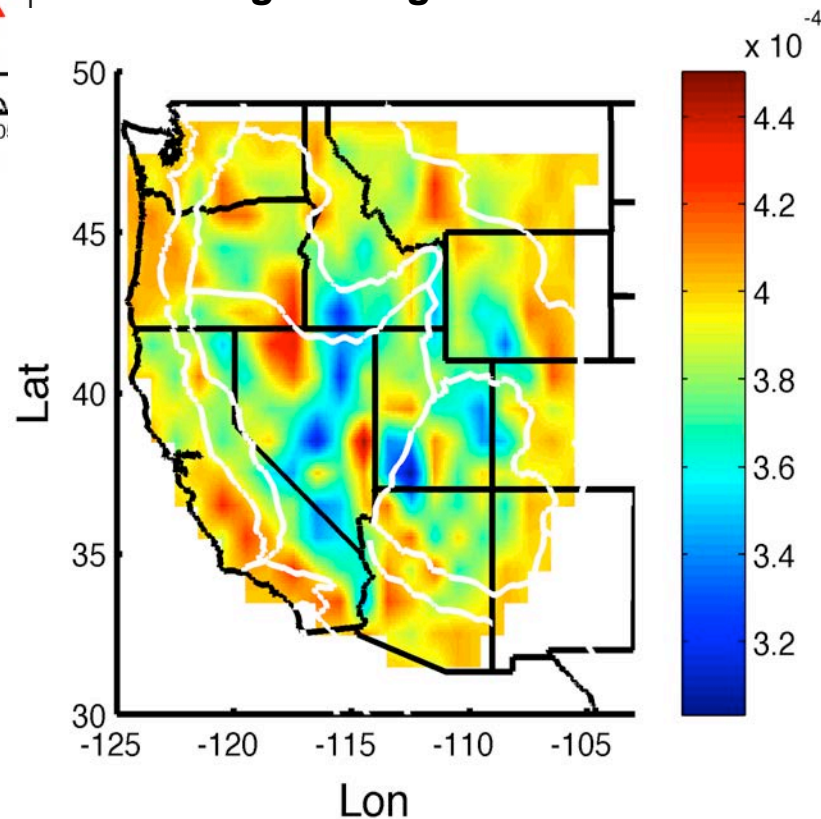


## Amplitude decay – line-array examples

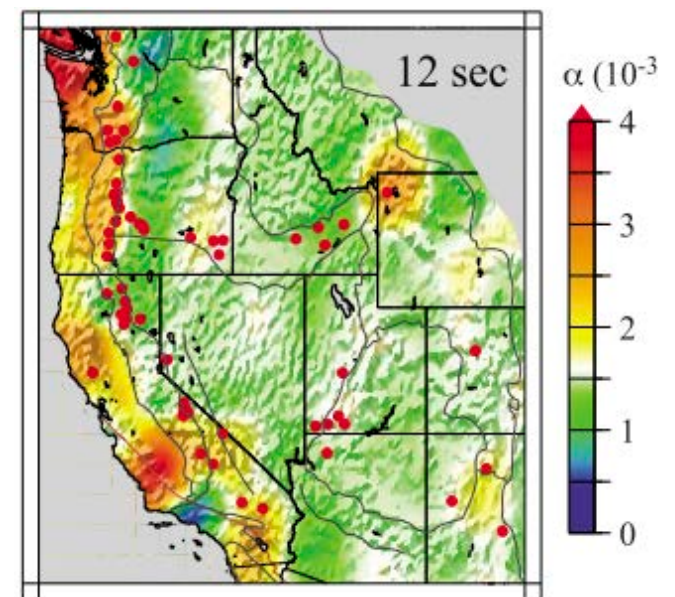


a preliminary tomography using  $C^3$

*Zhang & Yang SSA 2013*



*Lawrence & Prieto, JGR 2011*

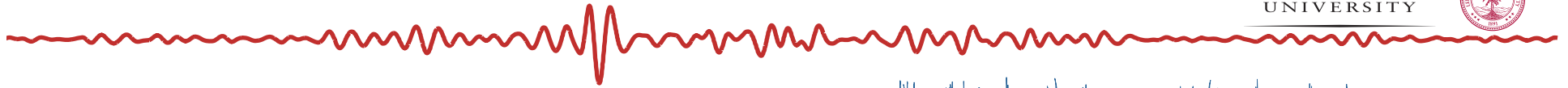




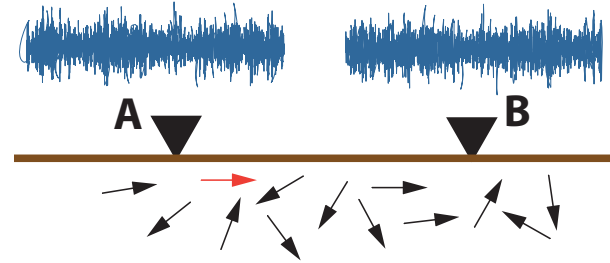
# Seismic Amplification and Ground Motions

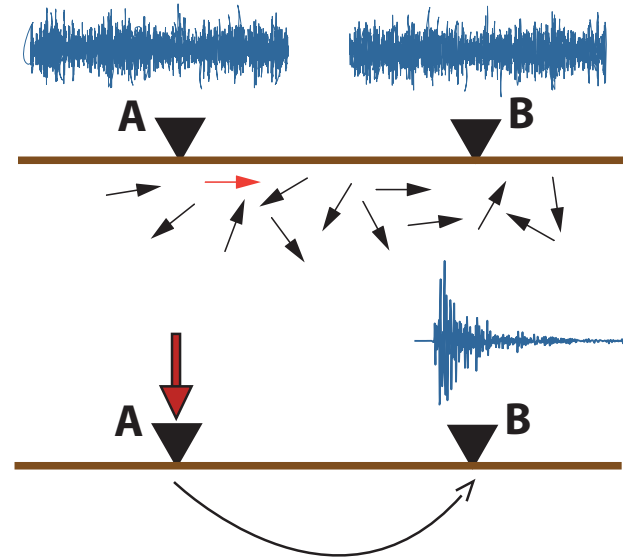
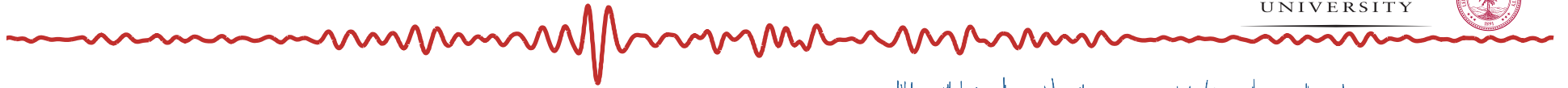
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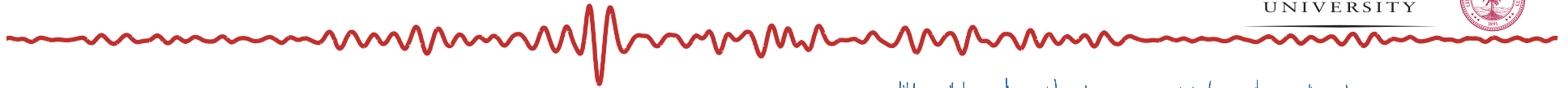
Weak coherent  
ambient  
seismic field  
recorded at stations





→ Path Effects



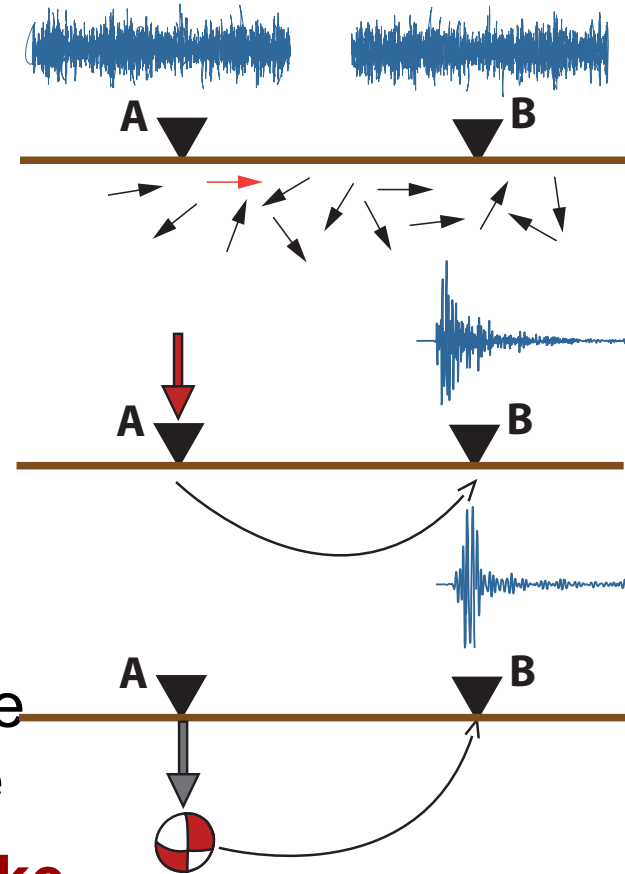


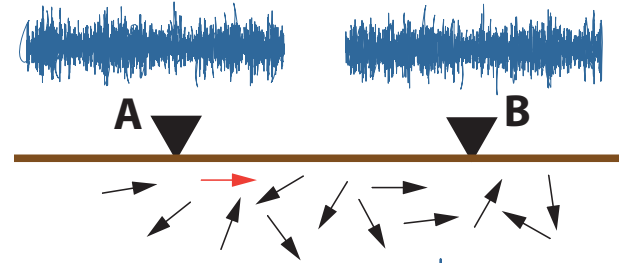
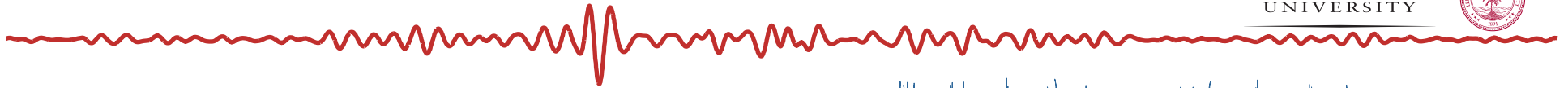
Weak coherent  
ambient  
seismic field  
recorded at stations

Extract impulse response  
→ **Path Effects**

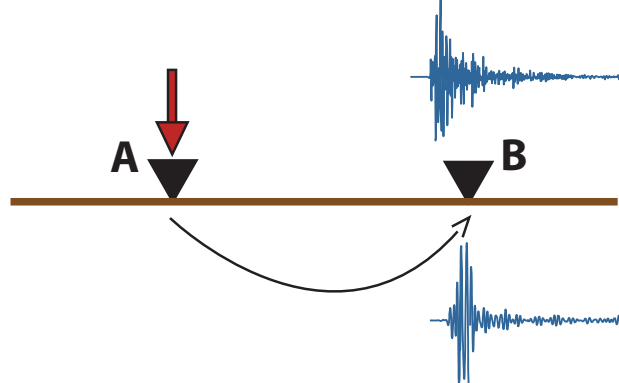
Convert surface impulse response  
to buried double-couple response

→ **Point Source Virtual Earthquake**



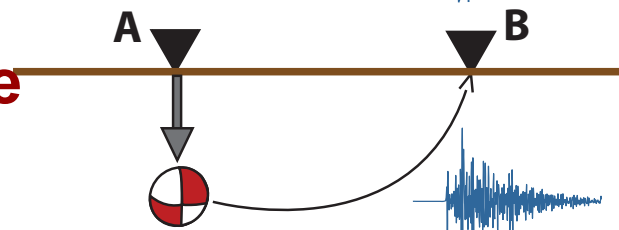


→ Path Effects

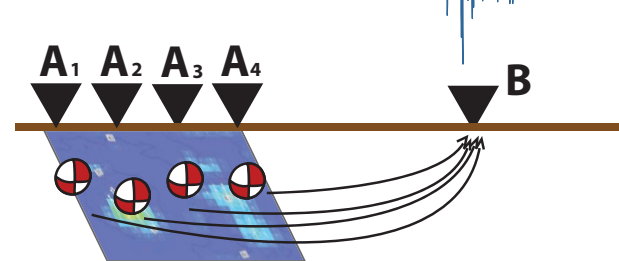


→ Point Source Virtual Earthquake

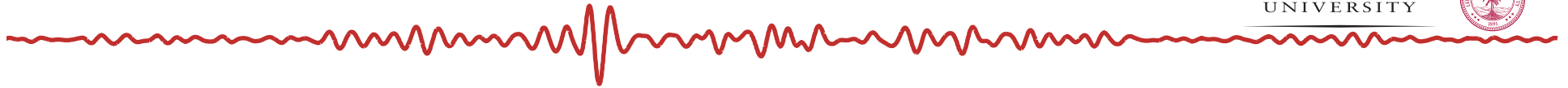
Model extended-source response using the representation theorem



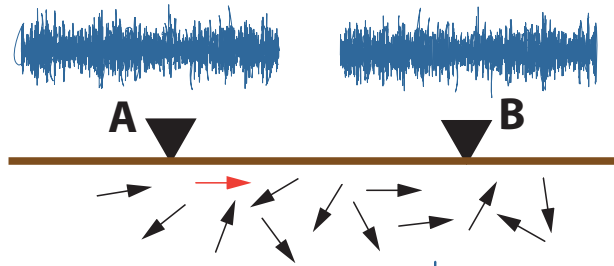
→ Extended Virtual Earthquake



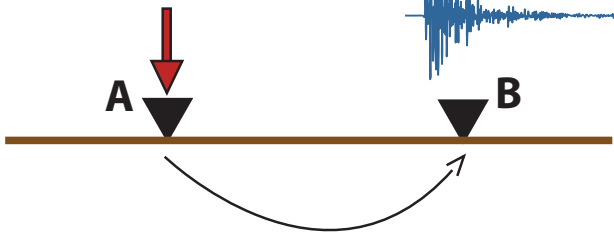
**VIRTUAL EARTHQUAKE APPROACH**



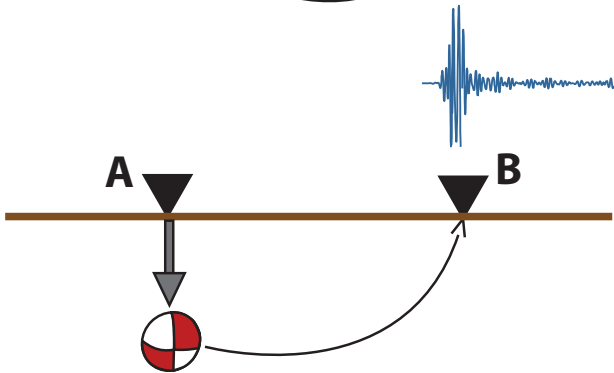
$$u_i^A(t) \quad u_j^B(t)$$



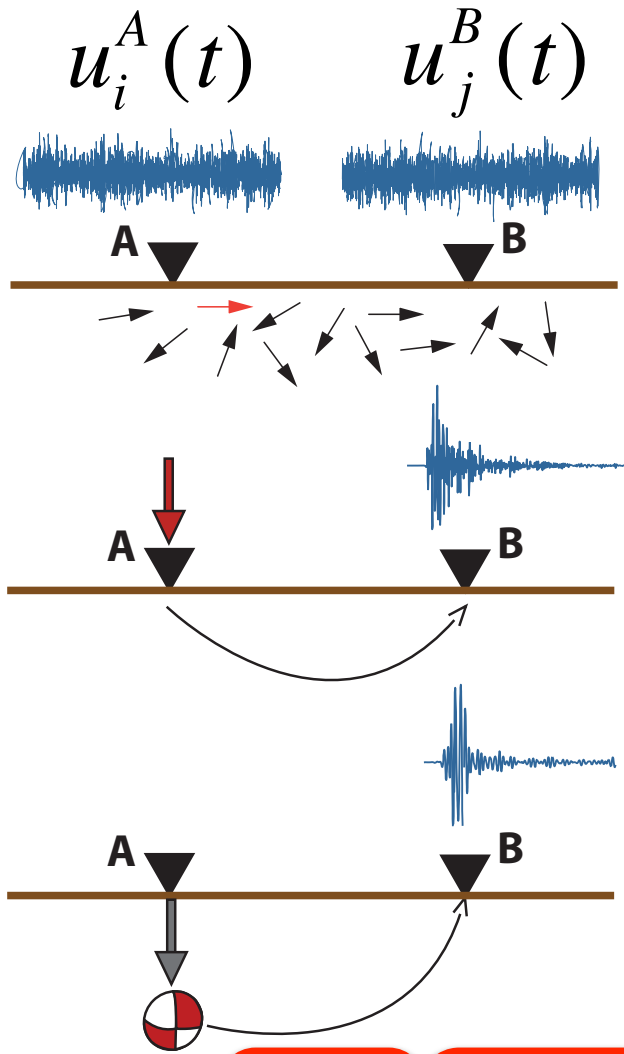
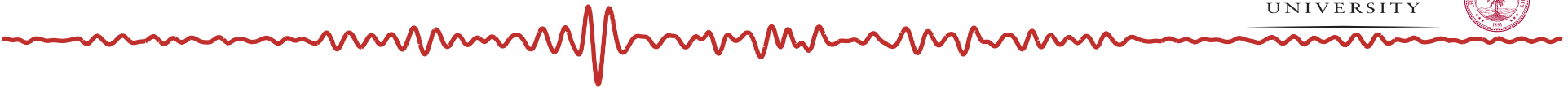
## Depth and mechanism correction



Isotropic radiation from surface source

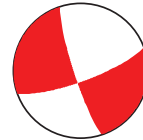


Azimuth-dependent radiation from a deep source

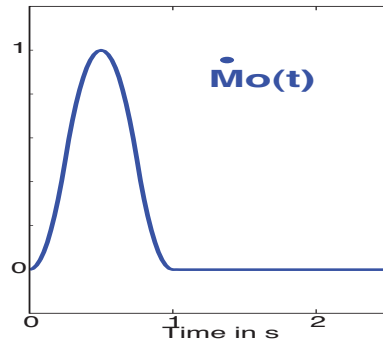


## Depth and mechanism correction

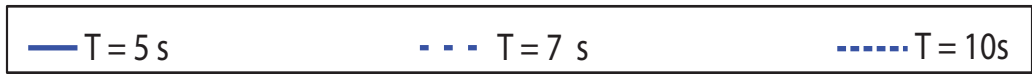
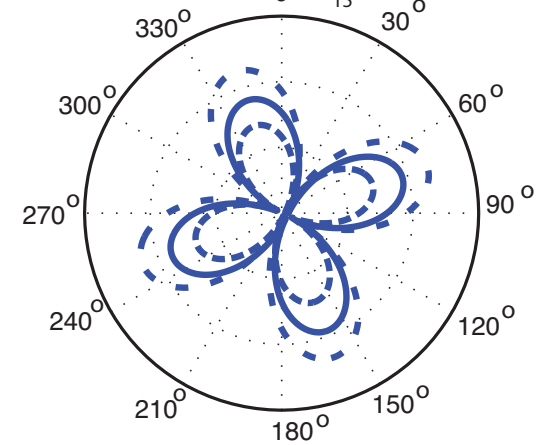
$l_1(0, \omega)$  = disp eigenfunction, surface  
 $l_1(h, \omega)$  = disp eigenfunction at depth



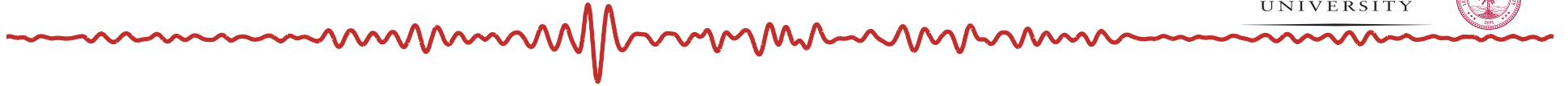
M



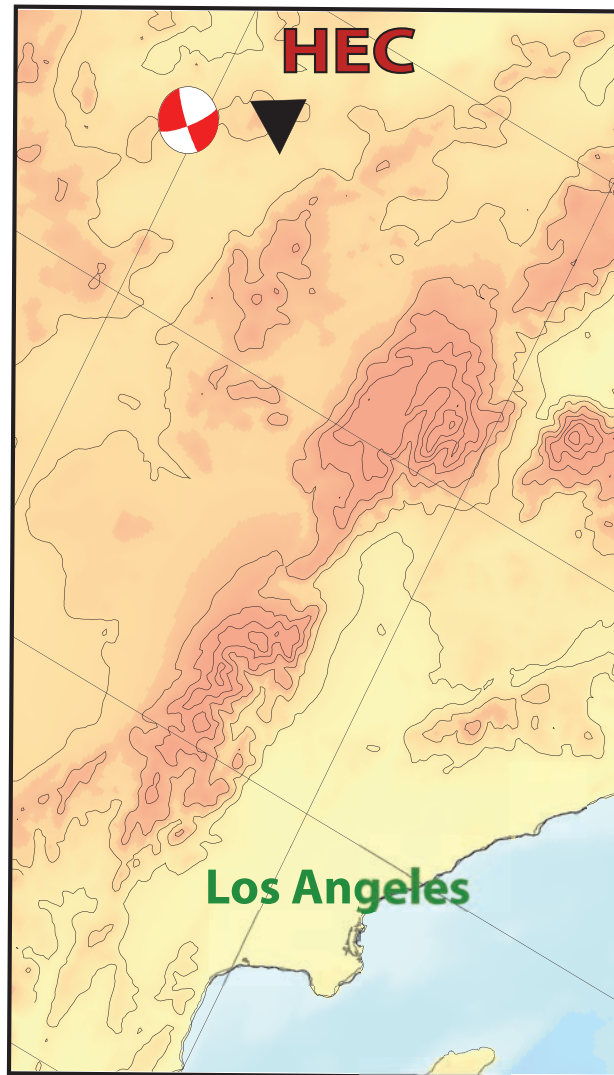
## Transverse



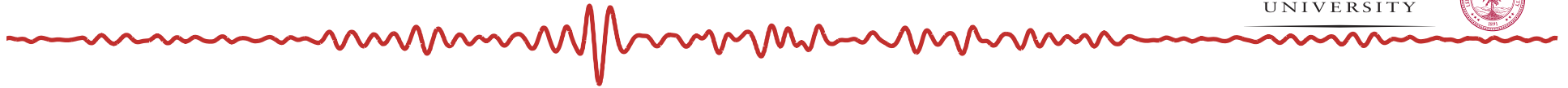
$$\hat{u}_T(h, \omega) = \frac{1}{l_1(0, \omega)} \left[ -ik_L \hat{M}_{TR}(\omega) l_1(h, \omega) + l_1'(h, \omega) \hat{M}_{TD}(\omega) \right] \hat{I}_{TT}^{AN}(\omega)$$



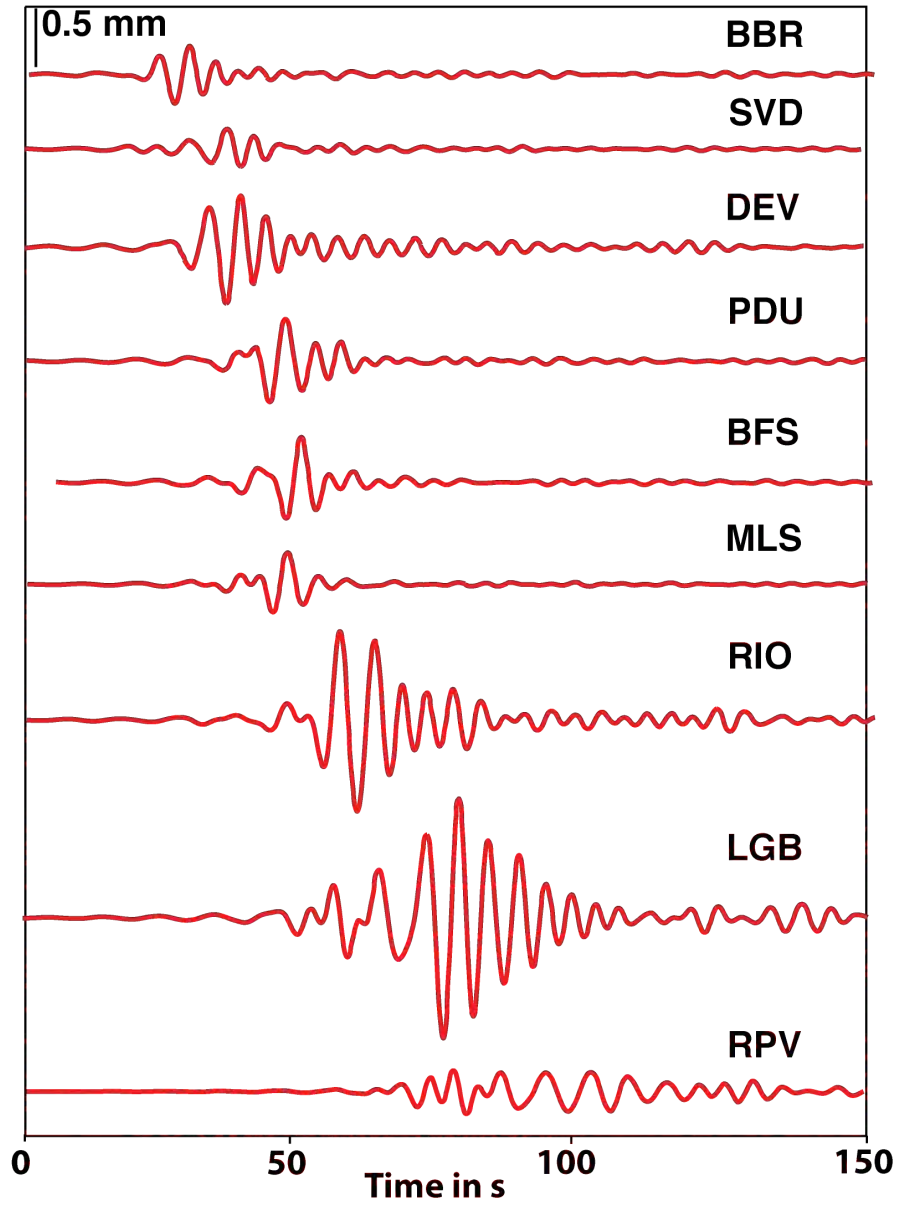
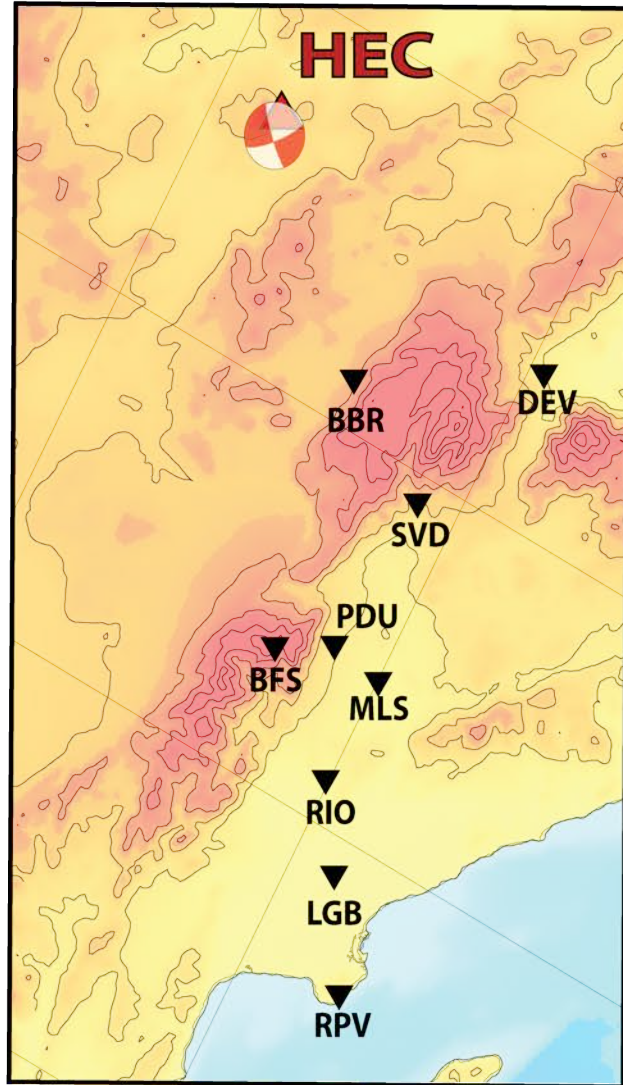
12/06/08 M5.1



Validation against real data

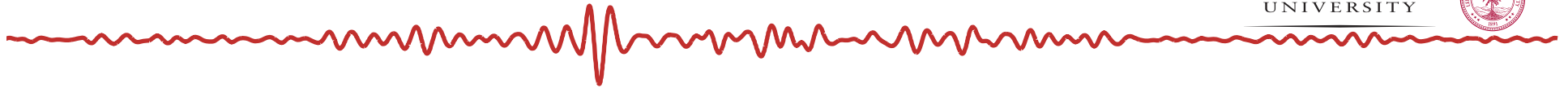


### Love Waves

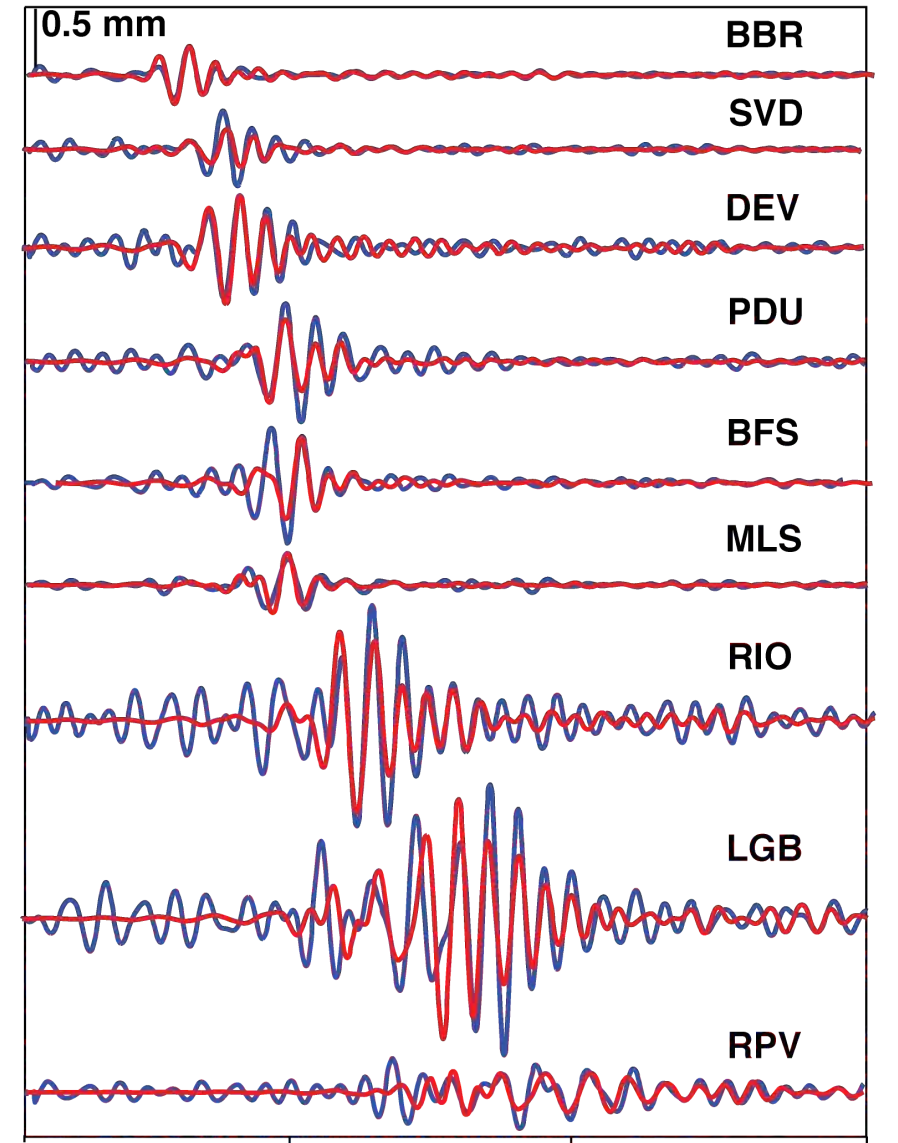
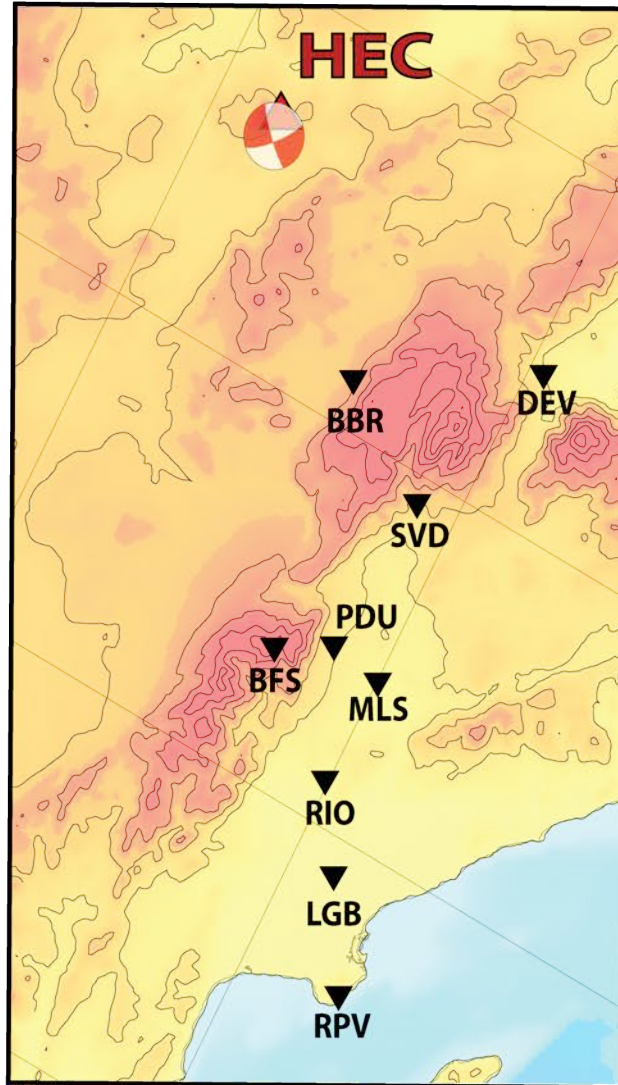


 Displacement T (4-10s)





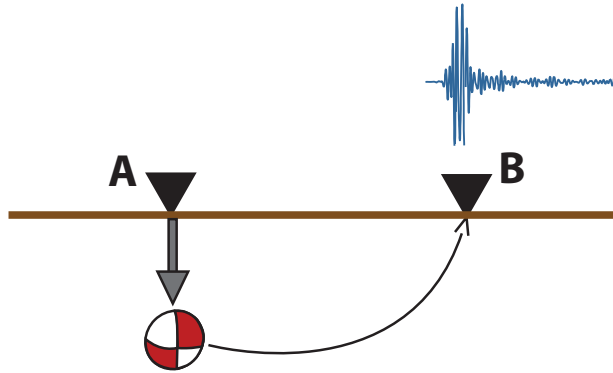
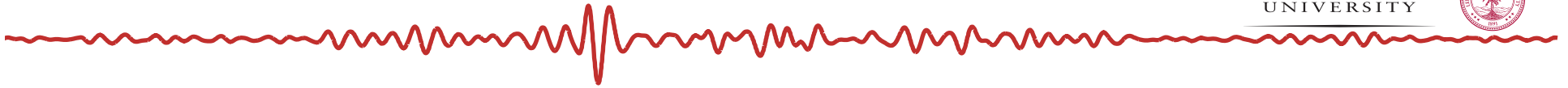


### Love Waves

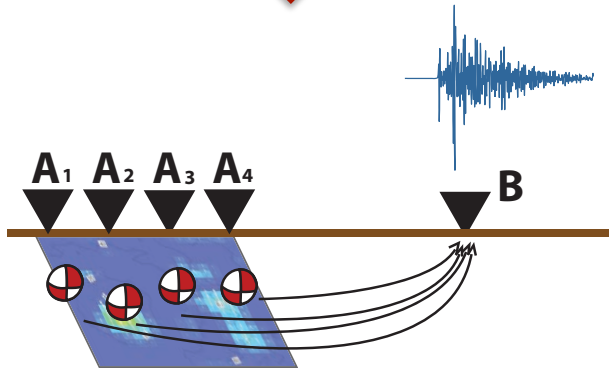


0 50 100 150  
Time in s

 Displacement T (4-10s)       VEA T (4-10s)

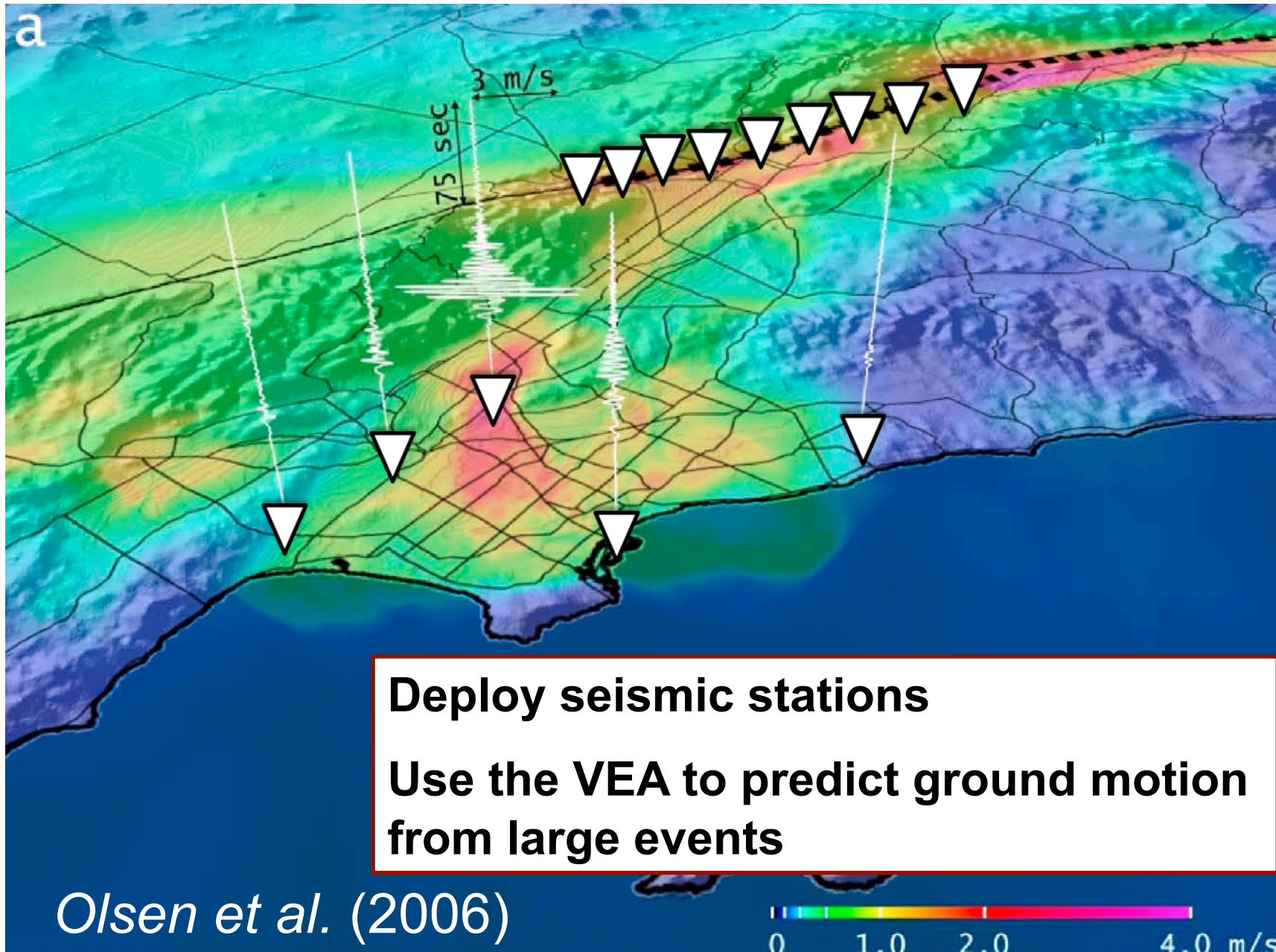
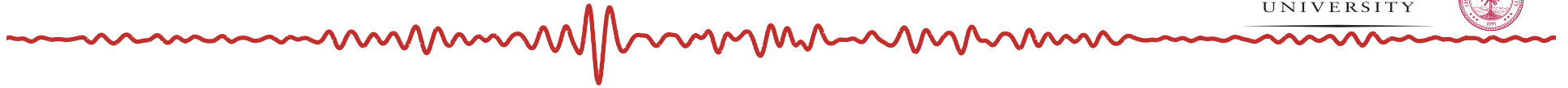


**Point Source**  $u_j(\underline{x}, t - t_j)$

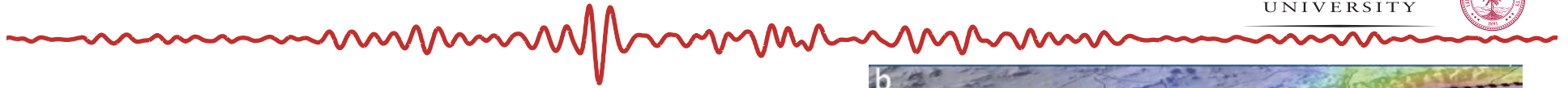


**Representation theorem  
to extend the source**

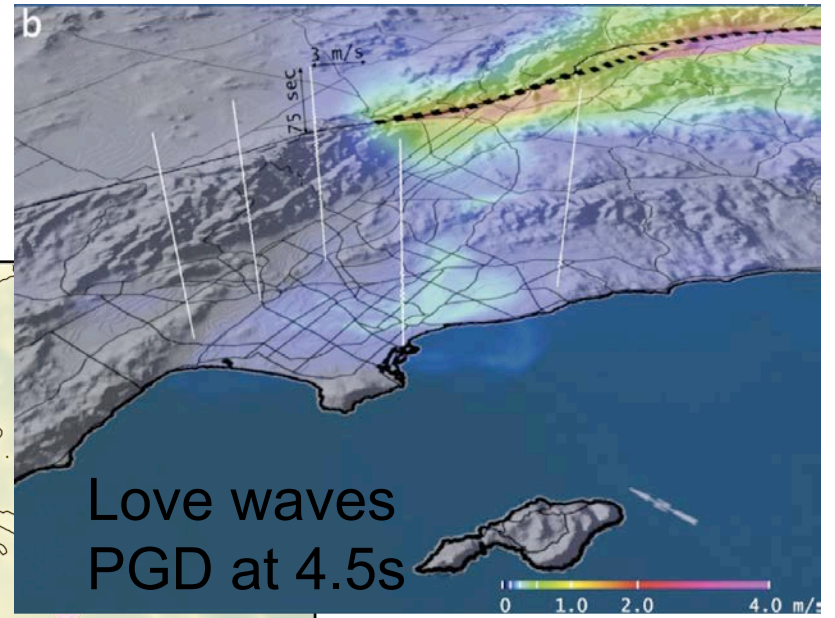
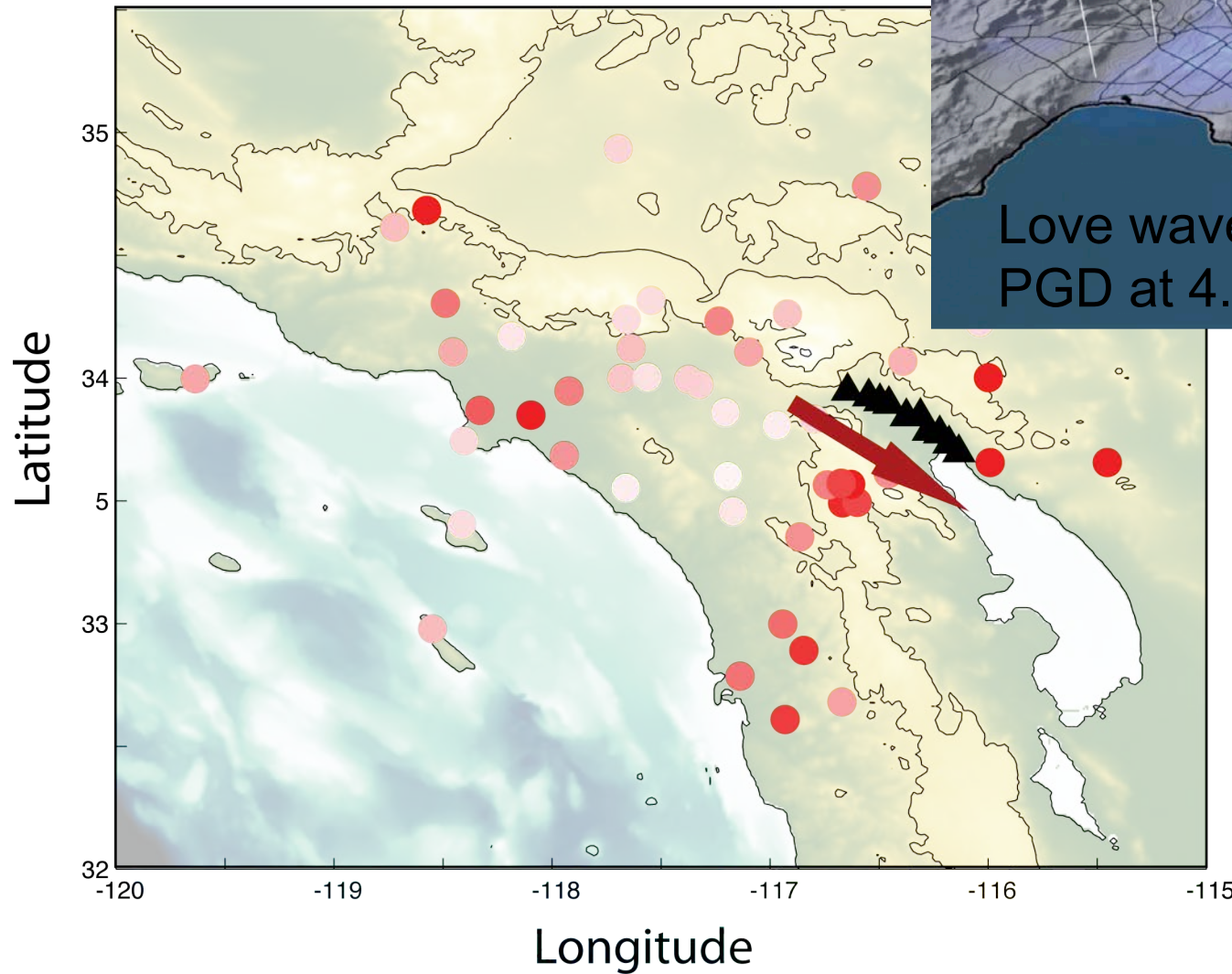
$$U_i(\underline{x}, t) = \sum_{j=1}^N \int_0^h u_j((x_j, z'), t - t_j) dz'$$

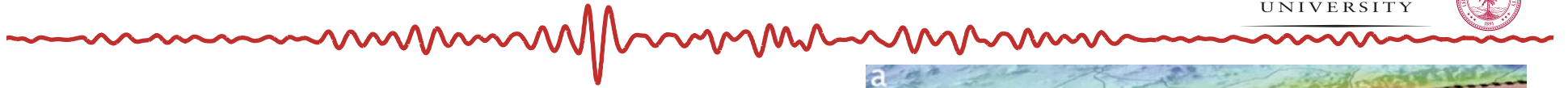




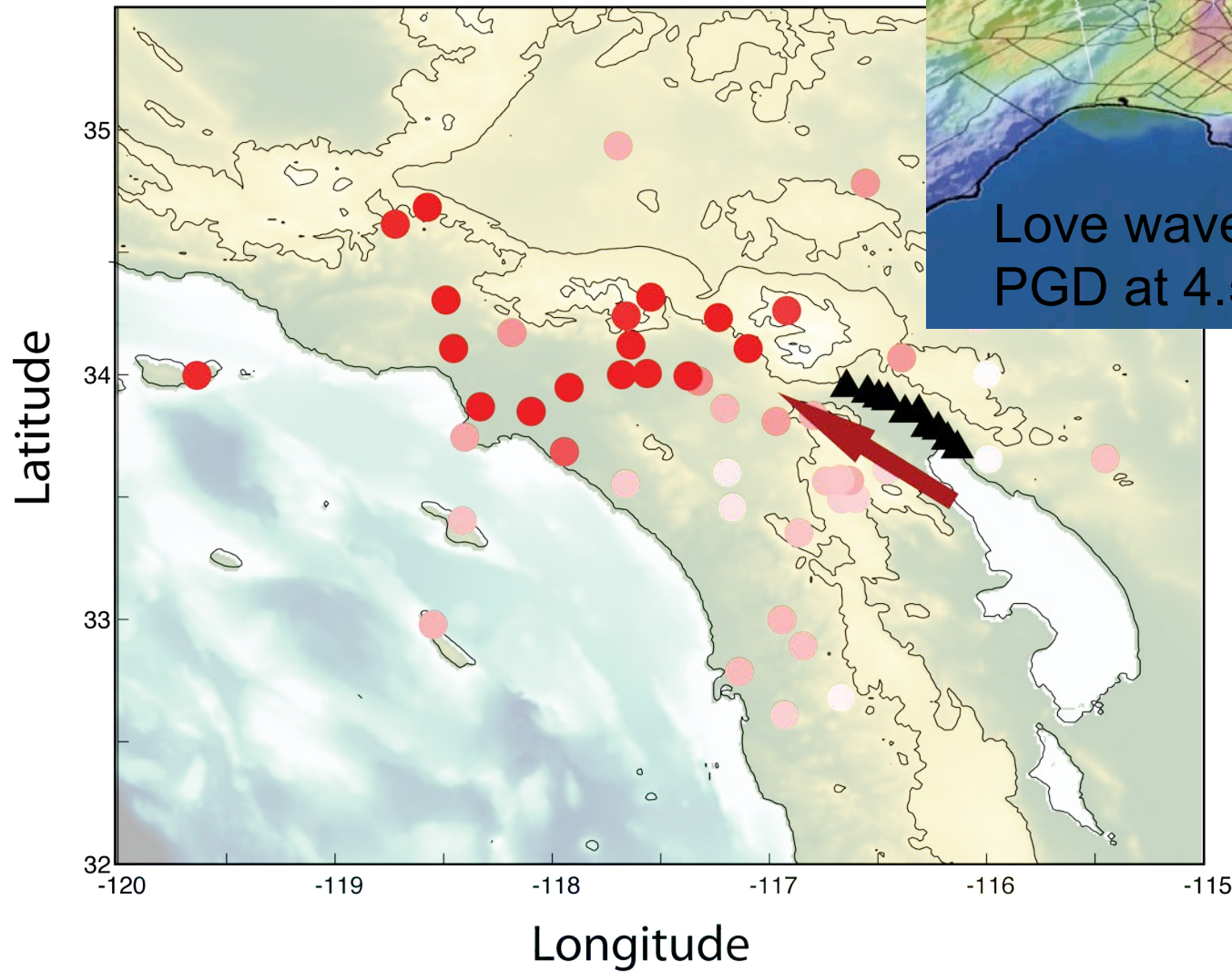
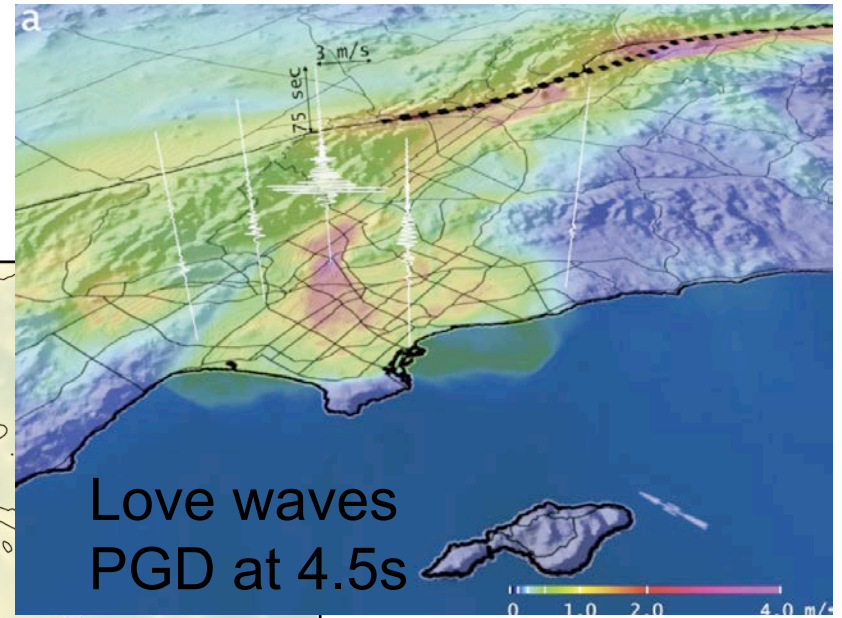


# Love waves – PGD for 4-10s

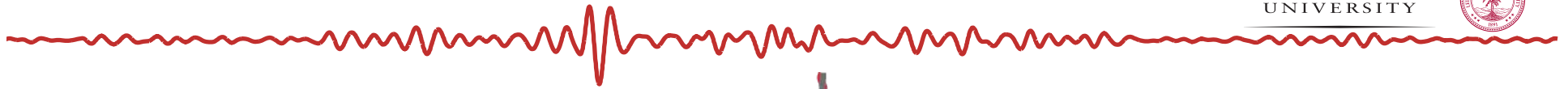




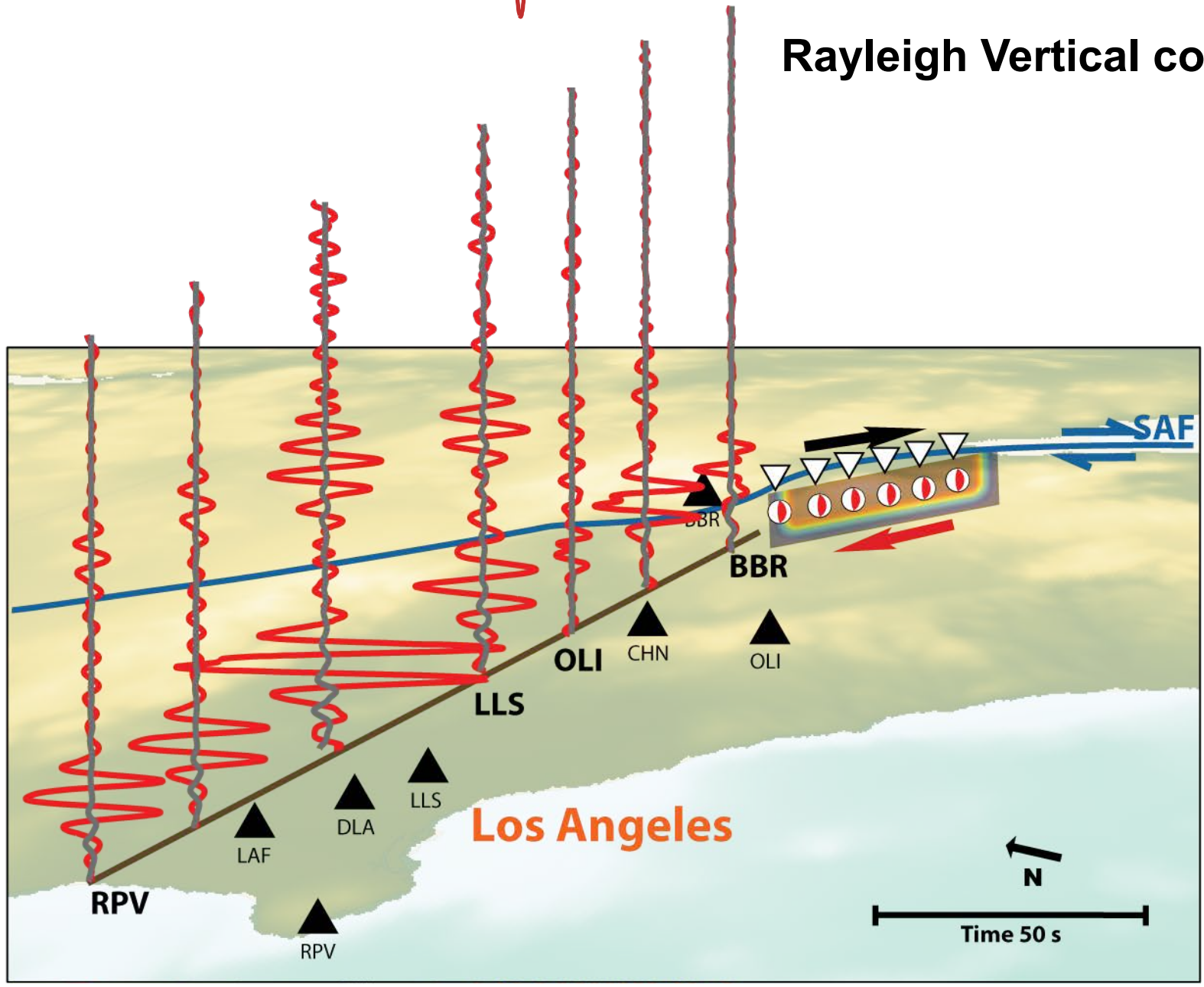
# Love waves – PGD for 4-10s







# Rayleigh Vertical components





**For today ...**



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## **Is there reliable amplitude information in noise correlations?**

1. First suggestions on amplitude information
2. Some theoretical explanations
3. A numerical example
4. Two recent success stories
5. Always improving, how can we do better?

---

... substantial and competing amplitude anomalies due to elastic and anelastic variations ... (Savage et al., 2010)





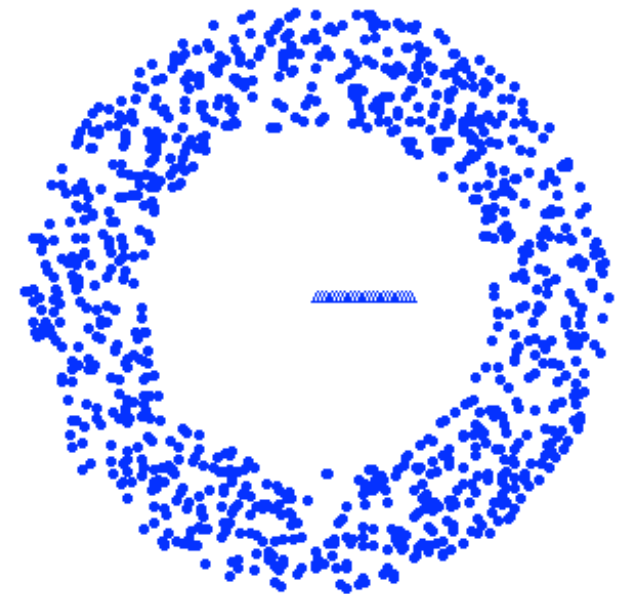
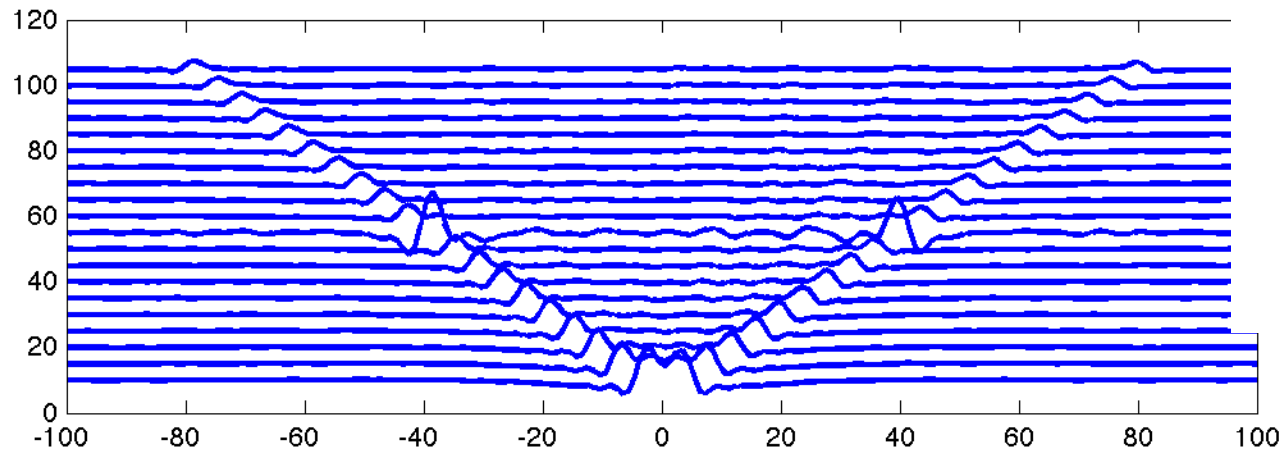
## Can we do better?



Standard practice suggests that we can

$$H1 = \frac{G_x}{G_y}$$

$$H1 = \frac{G_x \cdot \text{conj}(G_y)}{G_{xx}}$$





## Can we do better?

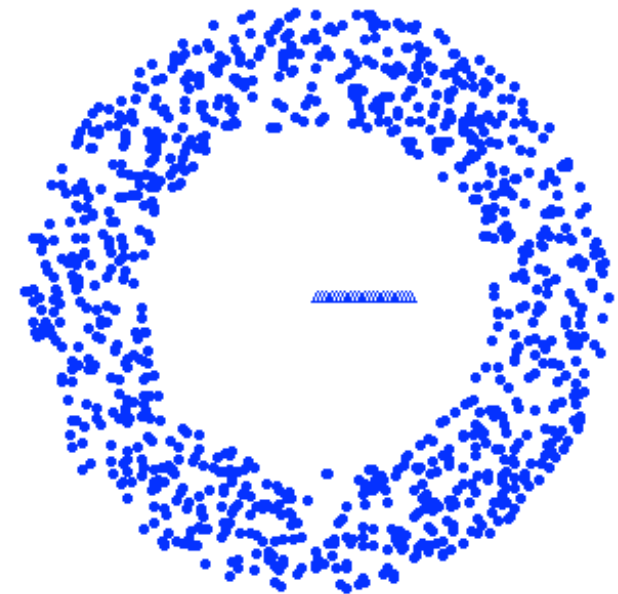
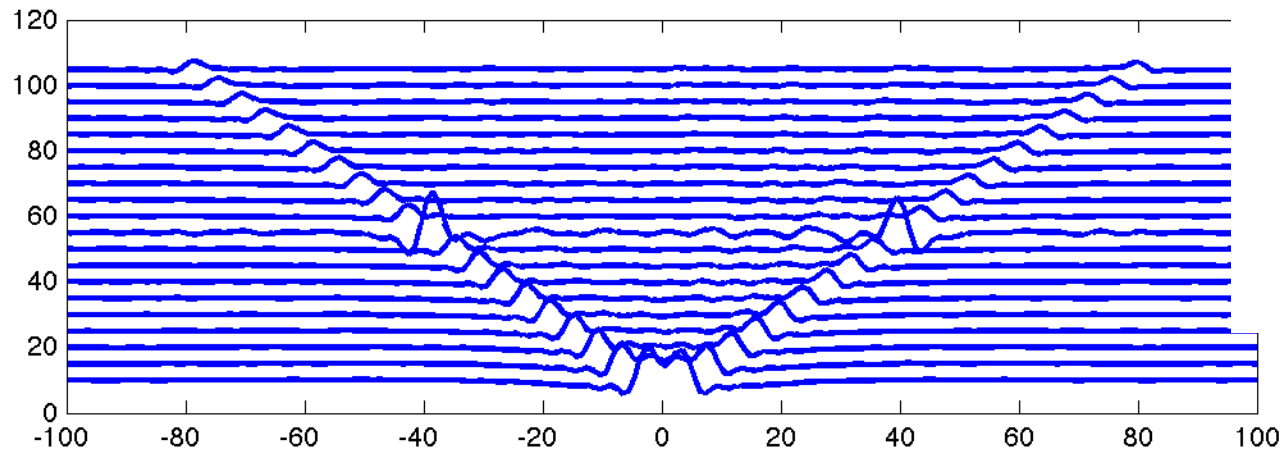


Standard practice suggests that we can

$$H1 = \frac{G_x}{G_y} \qquad H1 = \frac{G_x \cdot \text{conj}(G_y)}{G_{xx}}$$

But, every H1 function is based on two-station correlations.

**System is a multiple input/output**





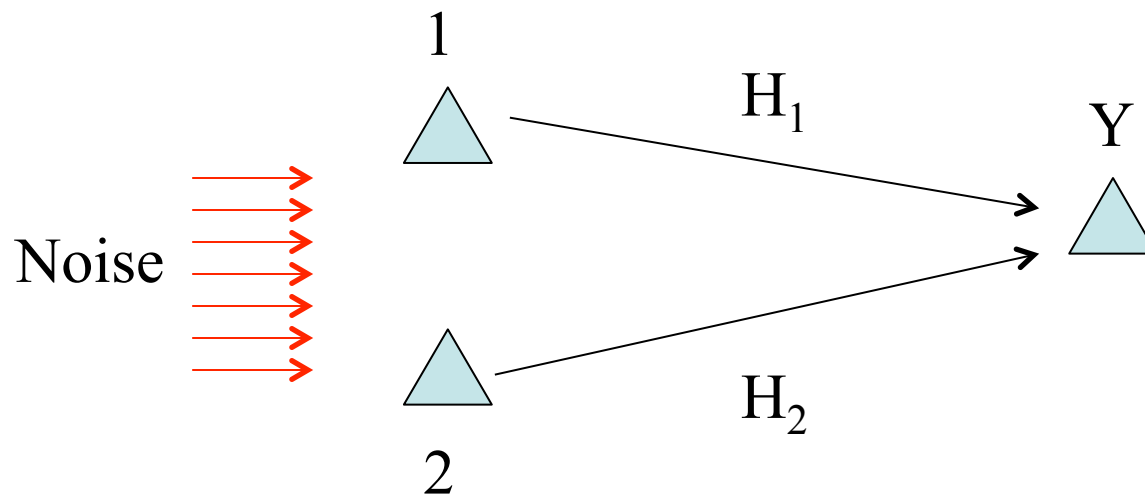
## Can we do better?



In a multiple Input/Output system (Bendat and Piersol, 2010)

$$G_{1y}(f) = H_1(f)G_{11} + H_2G_{12}$$

$$G_{2y}(f) = H_1(f)G_{21} + H_2G_{22}$$



$G_{xy}$  – X-Y Cross-Spec  
 $G_{yy}$  – Power Spectrum  
 $H_1$  – Transfer function



## Can we do better?



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**Transfer Function is based on coherent signal**

$$H_1(f) = \frac{G_{1y}(f) \left[ 1 - \frac{G_{12}G_{2y}}{G_{22}G_{1y}} \right]}{G_{11} [1 - \gamma_{12}^2(f)]}$$

$\gamma_{12}$  – Coherency between  
signal at stations 1 and 2

$G_{xy}$  – X-Y Cross-Spec  
 $G_{yy}$  – Power Spectrum  
 $H_1$  – Transfer function



## Can we do better?



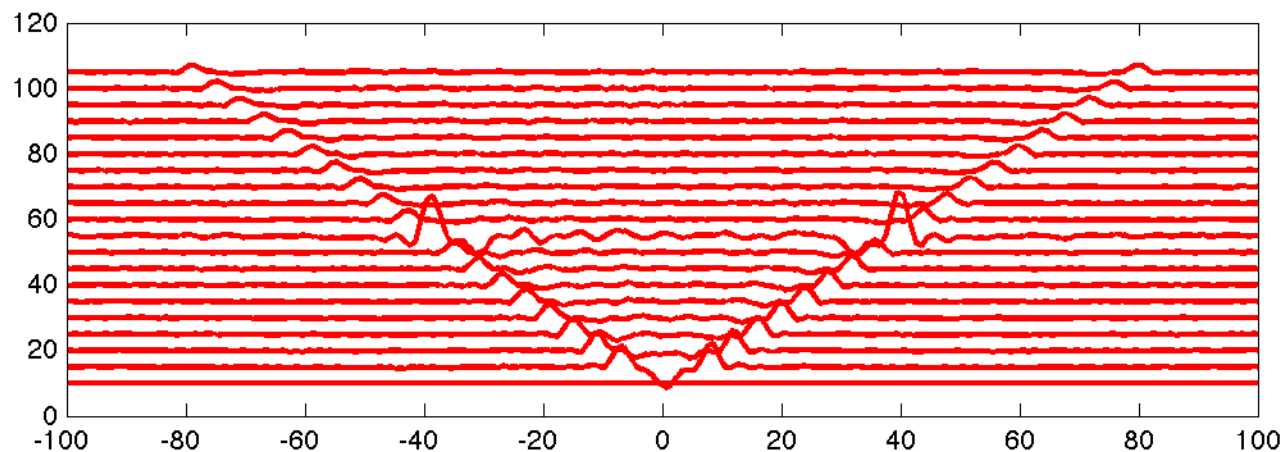
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## Can we do better?



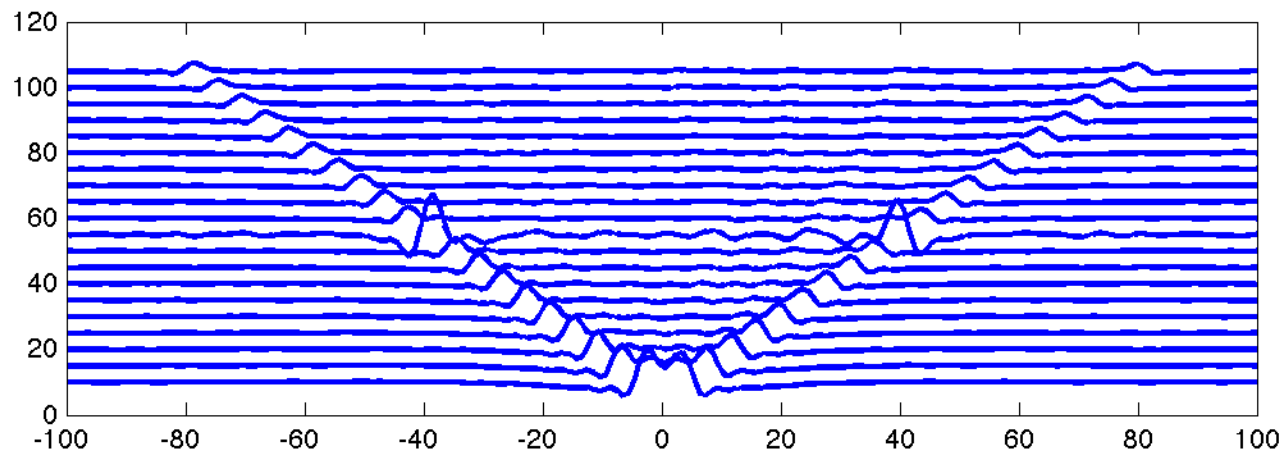
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## Conclusions



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Ambient-noise Green's functions can be used for  
**Attenuation Tomography**  
**Long-Period Ground Motion Predictions.**

But, we can do better using improved signal processing

- Correct directivity and source intensity
- Apply C3 methods
- Impulse response functions, with coherency constraints.





**THANK YOU !**