

1 Slow slip and aseismic deformation episodes
2 associated with the subducting Pacific plate offshore
3 Japan, revealed by changes in seismicity

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4 **Abstract.** Aseismic phenomena, including slow slip, can alter the sur-
5 rounding seismicity. We here investigate how seismicity can be used in or-
6 der to reveal episodes of aseismic deformation. An objective method is pro-
7 posed, that accounts for both earthquake interactions and transient loading.
8 Applying it to the 1990 - 2011 (pre-Tohoku) seismicity of the Japan subduc-
9 tion zone, we find several significant instances of aseismic transients. Small-
10 scale and short duration transients are favored updip of the subducting plate.
11 Large scale transients are mostly observed off-shore Ibaraki prefecture, in a
12 partly decoupled zone that extends downdip. The four most intense of such
13 transients have occurred periodically every 5.9 years, and are likely due to
14 slow slip episodes. Other aseismic phenomena, including possible fluid intru-
15 sion in the outer-rise, are also detected. Finally, the seismicity in January
16 and February 2011, close to the epicenter of the mega-thrust Tohoku earth-
17 quake, is found to be due to aseismic loading, confirming previous studies,
18 although this transient is only one among others, and is not the most intense
19 nor the most significant for the 21 year-long period studied here.

1. Introduction

20 Seismicity is an abundant observable which dynamics contains unique information on
21 the stressing of faults, and how this stress evolves with time. Starting with Omori (1894),
22 a rich corpus of observations and models have investigated the seismicity signature of
23 sudden stress changes, more particularly as experienced following a major shock. More
24 subtle and gradual changes in stress can also be estimated based on seismicity data alone
25 (Marsan et al., 2013). This is the case of seismic swarms, either related to fluid intrusions
26 (Hainzl and Ogata, 2005; Lombardi et al., 2006; Daniel et al., 2011) or to slow slip (Llenos
27 and McGuire, 2011), for which the time evolution of the controlling deformation process
28 is studied based on earthquake rates. To discriminate between 'normal' activity, i.e.,
29 earthquake occurrences due to both constant tectonic loading and stress steps imparted
30 by previous earthquakes, from 'abnormal' activity, i.e., that includes episodic aseismic
31 deformation, one must model the first ('normal' activity) and evaluate whether the residual
32 activity is significant or not. If significant, it would indicate that the activation of extra
33 seismicity is likely, revealing the presence of an underlying aseismic loading process.

34 In this paper, we develop an objective way of identifying aseismic transients by exploit-
35 ing seismicity data. We define an aseismic transient as any episode of deformation with
36 finite duration and extent that is not an earthquake. Only aseismic transients that cause
37 seismicity activation are detectable with our treatment.

38 We here focus on earthquakes related to the Pacific plate subducting underneath Japan.
39 Seismicity transients for the central part of this subduction, that ruptured with the 2011
40 M_W 9.0 Tohoku earthquake, are searched for. We limit ourselves to the 1990-2011 period

41 for completeness purposes. Several significant seismicity transients are detected and char-
42 acterized, including one related to activity in the two months prior to the 2011 mega-thrust
43 earthquake.

2. Model

44 We model earthquake occurrences using the rate-density (number of earthquakes per
45 unit time and unit area) $\lambda(x, y, t)$, defined as the sum of two contributions

$$\lambda = \mu + \nu \tag{1}$$

46 where ν accounts for earthquake interactions, i.e., triggering of earthquakes by previous
47 earthquakes, and μ corresponds to the activity that would occur in the absence of any such
48 interactions. This latter term is named the background rate-density, and is thought to
49 model tectonic loading, as well as time-fluctuating forcing: fluid or magmatic intrusions,
50 and slow slip events.

51 Earthquake interactions can be modeled by exploiting empirical and physical constraints
52 on the triggering and nucleation of earthquakes. Many such models have been proposed
53 in the past, sometimes with the aim of forecasting future seismicity rates.

54 Temporal dependence of triggering by an earthquake is modeled with the empirical
55 Omori-Utsu law $\lambda_t(t) = \frac{K}{(t+c)^p}$. The cut-off time c is found to be of the order of minutes
56 to tens of minutes when studying regional or world-wide seismicity, and can extend to
57 several hours - tens of hours following strong shocks, as a result of incomplete detection.

58 Parameter K depends on mainshock magnitude. Numerous empirical analyses have
59 shown that $K = K_0 e^{\alpha(m-m_0)}$, with typically $1.4 < \alpha < 2.5$ (Zhuang et al., 2004; Felzer

60 et al., 2004; Helmstetter et al., 2005; Hainzl and Marsan, 2008). Lower values have been
 61 obtained for swarm seismicity (Hainzl and Ogata, 2005; Lombardi et al., 2006) but this
 62 possibly results from an under-estimation caused by inadequate modeling of the non-
 63 stationary loading during swarms (Marsan et al., 2013; Hainzl et al., 2013).

64 Spatial dependence is modeled using a power-law decay

$$\lambda_r(x, y) = \frac{\gamma - 1}{2\pi} \times \frac{L^{\gamma-1}}{(r^2 + L^2)^{\frac{\gamma+1}{2}}} \quad (2)$$

65 with $\gamma > 1$. This is in agreement with the distribution of hypocentral distances r between
 66 the mainshock and its direct aftershocks as studied by Marsan and Lengliné (2010): a
 67 power-law decay in $r^{-\gamma}$ for the linear density (Felzer and Brodsky, 2006) with $1.7 < \gamma < 2.1$
 68 was obtained for r greater than the rupture length, although the challenging issue of only
 69 selecting direct aftershocks, and thus rejecting indirect aftershocks, generates significant
 70 uncertainties. This decay was shown (Marsan and Lengliné, 2010) to be consistent with
 71 static stress triggering governed by rate-and-state friction (Dieterich, 1994). The density
 72 of Equation (2) is normalized when summing over an infinite surface: $\int dr 2\pi r \lambda_r(r) = 1$,
 73 and decays at great r as $r^{-1-\gamma}$ which translates into a $r^{-\gamma}$ decay in linear density (equal
 74 to $2\pi r \lambda_r(r)$). We moreover model the influence length as $L = L_0 10^{0.5(m-m_0)}$, according to
 75 well established scaling laws (Wells and Coppersmith, 1994).

76 We finally integrate all these components into the modeled seismicity rate caused by
 77 earthquake interactions, expressed as:

$$\nu(x, y, t) = \frac{K_0(\gamma - 1)}{2\pi} \sum_{i/t_i < t} \frac{e^{\alpha(m_i - m_0)}}{(t - t_i + c)^p} \times$$

$$\frac{[L_0 10^{0.5(m_i - m_0)}]^\gamma}{[(x - x_i)^2 + (y - y_i)^2 + L_0^2 10^{m_i - m_0}]^{\frac{\gamma+1}{2}}} \quad (3)$$

78 where the sum is performed on all earthquakes with index i occurring before time t . This
79 is the simplest form that accounts for the observed dependence of triggering on distance,
80 time, and mainshock magnitude. The linearity of the model, in particular, makes for a
81 simple treatment; it amounts to separating and summing the triggering of the sources
82 (seismic and aseismic). In this linear approach, the triggering caused by two mainshocks
83 is the sum of the triggering caused by each one individually as if they were isolated.

84 It is important to emphasize that during periods of elevated aseismic loading, hence
85 of high μ value, the interaction model is kept the same, i.e., the parameters γ , α , p , c ,
86 L_0 and K_0 are constant all throughout the duration of the dataset. Rigorously speaking,
87 this assumption violates the prediction of the rate-and-state friction model, for which a
88 time-varying background stress rate $\dot{\tau}$ induces a time-varying triggering kernel. However,
89 the dependence of the triggering kernel on stressing rate, as predicted by the rate-and-
90 state model, is difficult to investigate, and has never been convincingly demonstrated.
91 Moreover, it can be shown that this model predicts that the total number of triggered
92 aftershocks does not depend on stressing rate, in agreement with our model.

3. Data

93 We use the earthquake dataset of the Japan Meteorological Agency (JMA). The tar-
94 get region, for which we aim at detecting aseismic transients, correspond roughly to the
95 rupture area of the 2011, M_W 9.0 Tohoku mega-thrust earthquake: $36^\circ < \text{latitude} < 41^\circ$,
96 and $140.5^\circ < \text{longitude} < 146^\circ$. We limit our study to the target period extending from
97 1/1/1990 to 9/3/2011, thus ending immediately before the occurrence of the M_W 7.3 fore-

98 shock on the 2011 M_W 9.0 earthquake. For this period and region, a $m_c = 3.5$ magnitude of
99 completeness is obtained, which appears coherent with the completeness maps of Nanjo et
100 al. (2010). Seven earthquakes with $m \geq 7$ occurred in this area and period, the strongest
101 shock being the 28/12/1994 M_W 7.6 off Sanriku earthquake (Heki et al., 1997).

102 All earthquakes from 1/1/1970 to 9/3/2011 with $m \geq m_c$ and in an extended region (we
103 add an extra 1° in all directions) are kept as potential mainshocks, i.e., they are used as
104 triggering sources and enter the summation of Equation (3). Including these events allows
105 for a more accurate analysis (Wang et al., 2010), and avoids the spurious slowing down
106 of background activity systematically observed when not doing so. Preliminary analyses
107 have shown that adding these extra earthquakes, especially those occurring before the
108 target period, is important for correctly estimating the background rate.

4. Searching for transient forcing

109 In section 2, we described how earthquake occurrences can be modeled as the sum
110 of two terms μ and ν , the first accounting for aseismic loading, and the second being
111 determined using a parameterized model of earthquake interactions. During a swarm of
112 earthquakes, a large number of occurrences is observed, that cannot be explained solely
113 by earthquake interactions. Such a sequence will thus temporarily require a larger value
114 of background rate-density μ . On the contrary, an aftershock sequence can be explained
115 by interactions between earthquakes, and should therefore be characterized by a normal
116 μ value, i.e., no temporary increase of μ is required to explain the data. The rate-density
117 μ and its temporal fluctuations thus has the power to reveal aseismic loading transients.
118 Given our aim at finding episodes of anomalously high aseismic loading that potentially
119 correspond to slow slip events, we therefore need to search for locally high μ values, that

120 are significantly higher than normal. The meaning of 'normal' and 'significantly higher'
121 are defined in this section.

122 We define two families of models: model 0 is the null hypothesis of 'no transient in
123 loading rate'. It models seismicity with equations (1) and (3), with $\mu(x, y, t) = \mu_0(x, y)$,
124 hence a constant background rate density at all locations.

125 Model 1 is a modification of model 0, obtained by adding a temporary and local change
126 in loading μ . It uses the same parameterization for the interaction term ν , and models
127 $\mu(x, y, t)$ as $\mu_0(x, y)$ almost everywhere except for a circular zone of radius L centered on
128 a location (x^*, y^*) and for a period $t^* - \tau/2 < t < t^* + \tau/2$:

$$\mu(x, y, t) = \mu^* \text{ if } (x, y, t) \in D_{L,\tau}(x^*, y^*, t^*) \quad (4)$$

$$\mu(x, y, t) = \mu_0(x, y) \text{ otherwise} \quad (5)$$

129 where $D_{L,\tau}(x^*, y^*, t^*)$ stands for the circular area with radius L and time interval of du-
130 ration τ centered on (x^*, y^*, t^*) .

131 In order to detect significant loading transients, we proceed as follows:

132 • Step 1: We optimize the parameters $\{\alpha, K, p, c, L_0, \gamma\}$ entering the formulation of ν ,
133 see Equation (3), given the data, and also optimize $\mu_0(x, y)$.

134 • Step 2: We define a model of type 1 with a transient loading at $D_{L,\tau}(x_i, y_i, t_i)$ centered
135 on the location and time of earthquake i . Given ν and μ_0 of Step 1, we optimize a model of
136 type 1 independently for each earthquake. We here emphasize that we therefore investigate
137 the possibility of as many loading transients as there are earthquakes in the dataset.

138 • Step 3: We compare model 0 to all models 1. To do so, we test whether a model 1
139 is significantly better than model 0 to explain the data.

140 We now detail each of these three steps. In step 1, we search for the maximum likelihood
141 estimate (MLE) of the parameters entering the formulation of ν . Because the value of
142 c has a weak impact on the results as long as it remains within a realistic interval, we
143 simplify the optimization by setting $c = 0.001$ day ($\simeq 1.5$ minutes). We also optimize
144 $\mu_0(x, y)$, using the method of Zhuang et al. (2002): (i) an a priori guess of $\mu_0(x, y)$ is
145 made; (ii) parameters $\{\alpha, K, p, L_0, \gamma\}$ are optimized, given this μ_0 ; (iii) the background
146 probabilities $\omega_i = \frac{\mu_0(x_i, y_i)}{\mu_0(x_i, y_i) + \nu(x_i, y_i)}$ are computed for each earthquake i , using the MLE
147 parameters of (ii) for computing ν ; (iv) the a posteriori $\mu_0(x, y)$ is obtained by smoothing
148 the probabilities ω_i with the smoothing scale ℓ :

$$\mu_0(x, y) = \sum_i \omega_i e^{-\sqrt{(x-x_i)^2 + (y-y_i)^2}/\ell} / 2\pi\ell^2 T \quad (6)$$

149 where T is the total duration of the earthquake catalogue (7737 days from 1/1/1990 to
150 9/3/2011). This a posteriori is then taken as a new a priori, and steps (ii) to (iv) are
151 run again iteratively until convergence of all inverted parameters. The solution does not
152 depend on the initial a priori guess of μ_0 , as long as it is non-zero.

153 Table 1 lists all parameter values inverted for $\ell = 20$ km and $\ell = 80$ km. The α values
154 are small in both cases. This could be caused by the anisotropy of aftershocks spatial
155 distribution (Hainzl et al., 2008) or by the dominant contribution of aseismic episodes
156 (Hainzl et al., 2013). We however think that, at the scale of this study, i.e., 21 years
157 and $\sim 500 \times 500$ km², aseismic transients do not dominate the seismicity, as confirmed
158 in Section 5. For comparison, in their analysis of Japanese seismicity at larger scale

159 (121° – 155° of longitude, 21° – 48° of latitude, 1/1/1926 - 31/12/1999, $m \geq 4.2$), Zhuang
160 et al. (2004) found $\alpha = 1.33$ to 1.36 depending on the choice of the spatial kernel. As a
161 consequence of a low α value, the model has greater flexibility to account for changes in
162 background rate. Our estimation of aseismic transients is therefore conservative: using
163 a greater, more typical α value would result in a greater number of detected transients,
164 with greater significance. We discuss in Section 5 the dependence of our results on the
165 estimate of α .

166 The background rate density $\mu_0(x, y)$ is shown for $\ell = 20$ km in Figure 1; the one
167 obtained with $\ell = 80$ km is only a smoother version of it. The distribution of μ_0 is not
168 trivial: the largest values are found on the subduction interface in the south-westernmost
169 corner of the studied area, although the strongest activity is located more to the north
170 at about latitude 39° to 40°. This strong activity is mostly due to the aftershocks of the
171 1994 $M_W 7.6$ off Sanriku earthquake, and therefore does not contribute to the background
172 activity. We do not search for an optimal smoothing length ℓ as in Zhuang et al. (2002)
173 since this length will be ultimately linked to the size of the loading transients we aim to
174 detect.

175 In step 2, we only need to estimate μ^* , the background rate density of the region -
176 period $D_{L,\tau}(x^*, y^*, t^*)$. This is done independently for each earthquake, by centering $D_{L,\tau}$
177 on one earthquake at a time. The MLE of μ^* is found numerically by minimizing $J(\mu^*) =$
178 $\mu^* \pi L^2 \tau - \sum_j \ln(\mu^* + \nu_j)$, where j are the indices of all earthquakes in $D_{L,\tau}(x^*, y^*, t^*)$. For
179 coherence we fix L so that $D_{L,\tau}$ has the same surface πL^2 as the surface integral ($2\pi\ell^2$)
180 of the ponderation $e^{-r/\ell}$ used in Equation (6). This imposes that $L = \ell\sqrt{2}$. Choosing L
181 independently of ℓ is possible, but this would add an extra parameter; moreover, as only

182 transients with size of L or greater can be detected here, choosing $\ell > L$ would imply too
183 strong a smoothing when computing μ_0 in step 1. Parameter τ (duration of the transient)
184 is a free parameter chosen by the observer.

185 Because any model 1 is more flexible than model 0, it always fits the data better.
186 The significance of the improvement is measured by computing the change in Akaike
187 Information Criterion ΔAIC (Akaike, 1974), here defined as

$$\Delta AIC = 2 (J_1 - J_0 + 5) \quad (7)$$

188 where J_i is the minimum of the cost function for model i , and a penalty of 5 is applied
189 because 5 extra parameters are needed in models 1: $x^*, y^*, t^*, \mu^*, \tau$. A negative ΔAIC is
190 required for the loading transient to be significant compared to the null hypothesis of no
191 change in loading rate.

192 We finally merge together transients that are significant and that overlap (at least one
193 earthquake in common). We end up with zero or several transients of sizes $\geq \ell$ and
194 duration $\geq \tau$, that do not overlap. The corresponding model has $\mu(x, y, t) = \mu_0(x, y)$
195 outside these transients and $\mu(x, y, t) = \mu_i(x, y, t)$ if $\{x, y, t\}$ is within transient number
196 i , where μ_i is the optimized background rate density for this transient. This model is
197 the model with the lowest possible ΔAIC constructed by merging disks $D_{L, \tau}$ centered on
198 earthquakes.

5. Aseismic transients

199 The resulting $\mu(x, y, t)$ depends on scale parameters ℓ and τ . To illustrate this depen-
200 dence, and explore some of the spectrum of transient sizes and durations, we describe the

201 results obtained with $\ell = 20$ km, $\tau = 40$ days, and with $\ell = 80$ km and $\tau = 100$ days. We
202 characterize the intensity of a transient by the ratio $\rho = \frac{\mu(x,y,t)}{\mu_0(x,y)}$, i.e., how much the local
203 background rate density is increased during the transient. While $\rho < 1$ is a possibility, i.e.,
204 a transient shutdown of the background activity, it is not observed here at both choices
205 of ℓ and τ .

5.1. Small-scale transients ($\ell = 20$ km, $\tau = 40$ days)

206 We find 39 such distinct transients, with ρ ranging from 14 to 676. Figure 2 displays
207 their distribution in time, and Figure 3 in space. Apart from a 4 year-gap (1999-2003),
208 their occurrences span the whole period, with a clear overall slowing down, see Figure 4.

209 **Anomalous aftershock sequences:** Among these transients, 6 are clearly related to
210 aftershock sequences (magenta crosses in Figure 3). Although the method is designed to
211 account for earthquake interactions, and therefore to model aftershock sequences without
212 requiring an increase in loading rate, it only does so on the basis of triggering kernels
213 that are mean laws, cf. section 2. Such an approach works well when summing over
214 many mainshocks, but fails to account for variability around this mean behavior. This is
215 here the case following larger mainshocks, and, more significantly, when focusing at small
216 scale. As will be explained below, larger scale transients do not include any aftershock
217 sequences. Moreover, small-scale transients related to aftershocks discriminate sequences
218 that are remarkably vigorous in terms of activity, again as compared to the mean behavior
219 as expressed by $K = K_0 e^{\alpha(m-m_0)}$. This is possibly linked to high post-seismic slip rates.

220 On a side note, the strong transient $\rho \simeq 680$ at 2005.9 corresponds to the aftershock
221 sequence of the 2005/11/14 $M_W 7.0$ ($m_{JMA} 7.2$) earthquake located in the outer-rise at

222 $\simeq 38^\circ$ latitude and $\simeq 145^\circ$ longitude. Quite remarkably, no aftershock is observed in
223 the immediate vicinity of this mainshock; the compact cluster of aftershocks is about 30
224 to 40 km away to the north-west. Error in localization of the mainshock is unlikely as
225 other catalogs (USGS PDE, ISC, and Harvard CMT) are in agreement with the epicenter
226 provided by JMA. This large distance between the mainshock and the aftershock cluster
227 is anomalous for the model, which therefore requires to significantly increase the local
228 loading rate to explain this cluster.

229 We discard transients related to aftershock sequences by requiring that the maximum
230 magnitude of all earthquakes in a transient $D_{L,\tau}$ is less than 6. This simple criterion is
231 here sufficient, see Figure 3, bottom left graph.

232 **Outer-rise transients:** Episodes of high aseismic forcing mostly affect two distinct
233 zones: (1) at the plate boundary between the subducting and overriding plates, between
234 about 37.5° and 38.5° , delimiting a band parallel to the trench, at 40 km to 80 km from
235 it (about 15 km to 30 km downdip on the subduction interface); and (2) in the outer-
236 rise, at 20 km to 60 km from the trench. The extensional regime in the outer-rise can
237 allow for the episodic intrusion of lower crustal fluids, or alternatively rapid pore fluid
238 pressure change could be related to penetrating seawater as suggested for other outer-
239 rise swarm-like seismic activity (Tilman et al., 2008), hence a very different mechanism
240 than aseismic slip that better explains the observed clusters on the subduction interface.
241 Among the non-aftershock sequence transients, the strongest one, labelled 5 in Figure
242 3, is particularly intense, with $\rho \simeq 650$. It occurs in 1995, and is well isolated. Its
243 characteristics are given in more details in Figure 5. The maximum magnitude is 4.6,
244 which cannot explain the abrupt increase in rate at the time of the transient, especially

245 as it is the 10th to occur in this swarm; 32 earthquakes occurred in this zone in 40 days,
246 twice as many as during the rest of the 21 year interval (47 $m \geq 3.5$ earthquakes in total,
247 for 21 years, including the transient). No clear migration of seismicity is observed during
248 this transient. We moreover note that the mean depth of these earthquakes is 40.3 km,
249 i.e., so that this transient is unlikely to be caused by penetrating seawater (Tilmann et
250 al., 2008).

251 **Activity prior to the 2011, M_W 9.0 Tohoku earthquake:** A relatively high level
252 of seismicity lasted for about one month, between mid-january to mid-february 2011, in
253 a zone of about 40 km length, close to the epicenter of the impending M_W 9.0 Tohoku
254 earthquake. This activity was reported by Ando et al. (2011) and Kato et al. (2012)
255 as a precursory phenomenon related to the mainshock, mainly owing to its proximity in
256 time and space, and to the apparent migration of the earthquakes towards the epicenter
257 of the mainshock. It was suggested by these authors that such an activity could be due
258 to ongoing slow slip on the subduction interface, that further loaded the asperity which
259 failure was to initiate the mainshock. In contrast, the 2.5 day long foreshock sequence
260 generated by the strongest foreshock, a M_W 7.3 shock, does not seem to be characterized by
261 anomalous forcing, although the signature of slow slip in the seismicity could be hidden in
262 the high aftershock activity following the M_W 7.3 earthquake (Marsan and Enescu, 2012).

263 Among the 39 transients, the last one is effectively related to the precursory activity of
264 January - February 2011. It contains the five $m \geq 3.5$ earthquakes occurring within 20
265 days (i.e., $\tau/2$) and 20 km of the $m = 3.5$ 19/1/2011 earthquake, at longitude 143.17 and
266 latitude 38.19, see Figure 6, and has an intensity of $\rho = 64$, i.e., the loading rate must be
267 64 times that of the long-term average rate. This corresponds to the initial phase of the

268 precursory activity, as it excludes the mid- to end-february activity (9 earthquakes with
269 $m \geq 4.0$ in the same zone).

270 We test whether our results are robust regarding to the low α value obtained when
271 optimizing the model parameters. To do so, we re-run the analysis, imposing an $\alpha = 2$
272 value. The small scale transients are effectively little affected by this change. More
273 precisely, (1) the six anomalous aftershock clusters are again detected; (2) the outer-rise
274 transient is still found with a great significance; (3) the non-aftershock related transients
275 are in the updip part of the subduction zone, and within $\simeq 30$ km of the trench on the
276 outer-rise side; (4) the pre-Tohoku transient is again detected, with a significance level
277 that classify it as the 7th most significant, non-aftershock transient, as compared to being
278 the 6th with $\alpha = 1.05$.

5.2. Large-scale transients ($\ell = 80$ km, $\tau = 100$ days)

279 19 transients are observed at large scale, see Figures 7 and 8. Unlike transients at
280 small scale, none appears related to well-identified aftershock sequences. Their spatial
281 distribution is quite different from those at small scale, with a clear tendency to cluster
282 on the active subduction interface in the south-westernmost corner of the studied area,
283 which coincides with the zone with the highest background rate density μ_0 , see Figure 1.
284 According to our analysis, this zone was, during the 1990-2011 period, the most seismically
285 decoupled, as testified by Figure 1. This is in agreement with the study by Uchida and
286 Matsuzawa (2011), who used repeating earthquakes to infer that the northern part is
287 strongly coupled ($\simeq 100\%$) while the southern part is partly decoupled ($\simeq 70\%$ according
288 to Figure 2 of Uchida and Matsuzawa, 2011). It is also the same zone that underwent the
289 most significant large-scale increases in loading. This shows that the seismicity loading

290 rate on a partly decoupled subduction zone fluctuates significantly with time, and that
291 these fluctuations affect large portions of the interface, i.e., they are not localized at small
292 scale.

293 We now focus on the most intense transients at $\ell = 80$ km, by keeping the 8 that have
294 $\rho > 35$ (this threshold is here arbitrary), and on the 15 most intense transients at $\ell = 20$
295 km (the 9 highlighted in Figure 3, plus the 6 related to aftershock sequences). We find
296 very little overlap between the two scales: part from one common transient in 1998, none
297 of these 8 and 15 transients have common earthquakes. This indicates that the processes
298 triggering episodic loading transients at these two scales likely have distinct natures. The
299 small-scale transients have stronger intensities, and are found in aftershock sequences, and
300 on both sides of the trench and close to it, so that the deeper part of the subducting plate
301 is devoid of them. If slow slip is responsible for transients on the subduction interface, then
302 only downdip transients can develop to reach large spatial extents, and longer durations.

303 Out of the 8 most intense large-scale transients, six are located in the south, while only
304 two (number 2 and 3) are in the north, see Figure 8. The 6 southern transients are partly
305 clustered, with two pairs (4 and 5; 6 and 7) occurring very closely in space and time.
306 These two pairs would have merged into single transients had the two scales ℓ or τ been
307 larger. Considering these two pairs as single transients, we note that the occurrence times
308 of the remaining four intense, large scale transients in the southern part of the subduction
309 zone are very regular, with a cycle of 5.9 years (Figure 9). We have no precise model nor
310 explanation for such a periodic behavior. This period is within the range of recurrence
311 times observed for the Boso slow slip event (Hirose et al., 2012), although the recurrence
312 is here much more periodic. We also note that this feature is not robust if imposing $\alpha = 2$,

313 a more standard value for regional seismicity, while the others are little affected by this
314 change of α . Indeed, when taking $\alpha = 2$, the 8 most significant transients are now all
315 in the south, and the regular pattern of large-scale transient occurrences in the south is
316 perturbed by the addition of the two new transients, that are the least significant of this
317 group.

318 We show in Figure 10 the most intense large scale transient, which affects the partly
319 decoupled zone. It encompasses 68 $m \geq 3.5$ earthquakes, between the 1998/6/29 and
320 1998/12/22. The raw rate of earthquakes is 143 $m \geq 3.5$ per year during this period, an
321 60% increase from the 1990 - 2008 average (the seismicity rate is then perturbed in 2008
322 by a magnitude 7 earthquake). The maximum magnitude is 5.3 during the transient, so
323 that aftershock activity alone cannot explain this increase. We furthermore find that this
324 increase in background rate is much stronger, by a factor of $\rho = 56$. This increase in
325 background rate density explains 25 of the 68 earthquakes, the others being aftershocks of
326 previous events according to the model, while only 0.44 background earthquakes would be
327 normally expected for this zone and this duration, if the background loading was indeed
328 constant.

6. Discussion and Conclusions

329 Our limited capacity to detect slow slip events is particularly highlighted by the exis-
330 tence of tremor episodes without resolved transient surface displacements in regions where
331 episodic tremor and slip (ETS) events are known to occur (e.g., Kao et al., 2009). The
332 possibility of using seismicity to reveal aseismic slip is therefore appealing. However, the
333 relationship between increased slip and changes in seismicity is not straightforward, as
334 already suggested by Pollitz and Johnston (2006). For example, ETS events in Cascadia

335 have long been recognized as uncorrelated with detectable changes in seismicity (Dragert
336 et al., 2001; Schwartz and Rokosky, 2007), although a more recent and focused study by
337 Vidale et al. (2011) points to a possible, albeit weak, activation following a moderate
338 tremor episode.

339 Two slow slip episodes have been documented for the 2008 - 2011 (pre-Tohoku) period
340 using pressure gauges installed offshore at latitude $\simeq 38.2^\circ$ to 38.4° (Ito et al., 2012). The
341 first occurred in November 2008, and lasted for a week. We note that the removal of the
342 instrumental drift on the pressure gauge measurements in late November 2008 (around
343 julian day 330) rather than at the beginning of the suspected deformation episode at julian
344 day 320 (Figure 4 of Ito et al., 2012) could have created an artificial pressure transient.
345 However, keeping with the hypothesis that an actual transient effectively took place in
346 November 2008, no significant direct seismicity activation is observed during this period,
347 although a magnitude 6.1 earthquake occurred 10 days later. It is not clear whether this
348 earthquake has anything to do with the suspected slow slip. This slow episode is not
349 detected with our method, simply because the $m = 6.1$ earthquake and its aftershock
350 sequence are classified as 'normal' by our model, which does not require any substantial
351 increase in loading rate to explain them. The second episode of slow slip found by Ito et
352 al. (2012) corresponds to the activity in January and February 2011, prior to the $M_W 9.0$
353 mega-thrust earthquake. This event is well detected by our method, see Section 5.1, as
354 anomalous extra seismicity is generated by it. This transient is however not the most
355 significant in the 1990-2011 period, showing that the use of aseismic transient detection
356 methods to anticipate the occurrence of strong or giant earthquakes is not straightforward.

357 The method proposed here cannot therefore exhaustively detect all slow slip episodes,
358 as some can occur without any significant seismicity changes. Moreover, a significant
359 increase in background activity does not necessarily imply slow slip: fluid intrusions, as
360 expected with the 1995 outer-rise transient of Figure 5, can also trigger such anomalous
361 activity, even in the context of subduction zones.

362 Small transients have been found to occur preferentially in the upper part of the sub-
363 ducting plate, while the large transients cover also the downdip portion. This is in agree-
364 ment with models that describe the frictional properties of the Japan subduction zone
365 as a mixture of velocity-weakening asperities and conditionnally-stable sliding patches
366 (Schwartz and Rokosky, 2007). The density of creeping patches increasing with depth
367 (Uchida and Matsuzawa, 2011), it is easier for slow slip to develop to larger extent downdip
368 rather than updip. Moreover, this larger extent also implies longer durations, as slow slip
369 can migrate or diffuse over a larger area. We therefore think that our observation that
370 small-scale and large-scale transients are mostly distinct in their spatial and temporal
371 distributions is a consequence of the depth-dependent frictional properties.

372 Past observations suggest that there exists a continuum of slip modes, from rapid (seis-
373 mic) to slow (aseismic), with slip events spanning some of this continuum as they evolve
374 (Peng and Gomberg, 2010). When searching for slow slip events, it is therefore expected
375 that the result must depend on the scale of observation: a time-fluctuating slip or loading
376 rate will exhibit different transients at different scales. A limit of the method proposed
377 here is that the observation scale must be imposed a priori, while a more sophisticated
378 algorithm could locally optimize this scale to reveal at once this continuum of scales. Such
379 a development will be the aim of future methodological work.

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ℓ	α	p	L_0	γ	K_0	$\bar{\omega}$
20 km	1.05	0.93	0.81 km	2.47	0.019	0.22
80 km	0.98	0.89	0.91 km	2.31	0.029	0.068

Table 1. Optimized ETAS parameters for the null hypothesis (model 0) at two distinct smoothing scales ℓ . The mean probability $\bar{\omega}$ of being a background earthquake is not a parameter per se, and is only given here for information. Parameter L_0 is for $m_0 = 3.5$.

Figure 1. Background rate density for $\ell = 20$ km, in number of $m \geq 3.5$ earthquakes per year per 100×100 km². For clarity, we only display earthquakes with $m \geq 4.5$ (white dots), although all $m \geq 3.5$ earthquakes were effectively used in our computations.

Figure 2. Temporal distribution of the 39 loading transients found with $\ell = 20$ km and $\tau = 40$ days (blue dots). The thick red dots and labels mark the 9 most intense transients not related to aftershock sequence ($\rho > 50$), while magenta crosses are for transients related to aftershock sequences ($m_{max} > 6$). The maximum magnitude m_{max} is among all earthquakes in the transient.

Figure 3. Map of all $m \geq 3.5$ earthquakes, 1/1/1990 - 9/3/2011. The transients are depicted using the same symbols and labels as with Figure 2. The anomalous aftershock sequence of 2005 is located between transients 2 and 8. Magnitude $m \geq 6$ earthquakes are shown with circles which radii equal $L_0 \times 10^{0.5(m-3.5)}$. The trench (Hayes et al., 2012) is shown with the thin black line.

Figure 4. Occurrence times of the 39 loading transients found with $\ell = 20$ km and $\tau = 40$ days.

Figure 5. Characteristics of the 1995 outer rise transient, labelled 5 in Figure 3. The inset in map shows a zoom in on all earthquakes in the zone, those occurring during the transient colored in red. The circles have diameters equal to the rupture length $2L_0 \times 10^{0.5(m-3.5)}$ (in km). The spatial distribution is too diffuse to be explained by earthquake interactions. Right graphs: number and magnitude of all earthquakes in the rectangular zone of the inset, still with those during the transient colored in red.

Figure 6. Same as Figure 5, but for the pre-Tohoku transient, labelled as 9 in Figure 3.

Figure 7. Temporal distribution of the 19 loading transients found with $\ell = 80$ km and $\tau = 100$ days. Legend is the same as in Figure 3. We here used a threshold $\rho > 35$ to display the most intense transients (in red). The 8 most intense transients are labelled from 1 to 8 in the upper left graph, with the two occurring in the north having yellow labels.

Figure 8. Map of the large-scale transients. The red dots show the earthquakes that are part of the 8 most intense transients shown in Figure 7.

Figure 9. Occurrence times of the four intense transients in the southern part of the zone, after grouping the two tightly clustered pairs 4-5 and 6-7 together to form two single transients. The best linear fit for the starting dates of these four transients is shown with the black line, and gives a recurrence time of 5.9 years.

Figure 10. Year 1998 subduction transient, characterized by the maximum ρ of all transients in Figure 8. The area covered by the transient is shown in yellow. Top right graph: cumulative activity in the area of the transient, from 1996 to 2002, with the best linear fit, in black, for these 6 years. The duration of the transient is shown in red. Inset: background rate (in number of events per year) estimated for the area of the transient. Bottom right graph: magnitude vs time for the earthquakes in the transient, from 1996 to 2002.



















