Dependence of the Omori-Utsu law parameters on

² mainshock magnitude: observations and modeling

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X - 2 HAINZL AND MARSAN: MAGNITUDE DEPENDENCE OF THE OMORI-UTSU LAW Abstract. We examine the dependence on mainshock magnitude m of 5 the p and χ parameters appearing in Omori-Utsu formula $\lambda(t,m) = \chi \times$ 6 $(t+c)^{-p}$ relating the rate of aftershocks λ at time t after a mainshock. Observations point out to a significant increase of p with m, along with a scal-8 ing relationship of the form $\chi \sim 10^{\alpha m}$. We here show that these observa-9 tions can be explained within the framework of the rate-and-state friction 10 model, when accounting for realistic levels of coseismic stress heterogeneity 11 on the main fault. We constrain the model parameters in order to recover 12 the trends observed in previous and new analyses of aftershock sequences. 13 The expected ratio of the coseismic stress drop standard deviation to its mean 14 is found to be of the order of a few units for large (m=7) earthquakes, re-15 sulting in a very rough stress field at the small scale, while it is much smoother 16 at small magnitudes (ratio $\simeq 0.1$ at m=2). Finally, the influence of afterslip 17 on parameters p and χ is studied, to highlight the fact that it can significantly 18 perturb the p(m) and $\chi(m)$ relations obtained with the initial afterslip-free 19 model. 20

1. Introduction

Almost all larger earthquakes are found to trigger aftershocks with a temporal decaying probability. In particular, the occurrence rate of aftershocks λ can be well described by the modified Omori-Utsu law

$$\lambda(t,m) = \chi(t+c)^{-p} \tag{1}$$

where t indicates the elapsed time since the mainshock, see Utsu et al. (1995) for a review. 21 The *c*-value is a constant typically much less than 1 day, and in most cases is related to 22 changes in detection level of the operating seismic network. Recent attempts at finding 23 a c-value of physical rather than instrumental origin have proposed that it could be of 24 the order of one to several minutes (Kagan and Houston, 2005; Peng et al., 2006, 2007, 25 Enescu et al. 2007), although there is no clear consensus on how the Omori-Utsu law 26 actually breaks down below this cut-off. The p-value is in the range 0.8-1.2 in most cases 27 (Utsu et al., 1995). While alternative models for describing the aftershock decaying rate 28 have been proposed (Kisslinger, 1993; Gross and Kisslinger, 1994; Narteau et al., 2002), 29 the Omori-Utsu law generally provides a very good fit to the data, and is an ubiquitous 30 feature in seismicity dynamics. 31

We here analyze how parameters p and χ change with the magnitude m of the mainshock. A wealth of recent studies have addressed the dependence of χ (mostly) with m, generally showing that $\chi \sim 10^{\alpha m}$. The value of parameter α is however variable from one study to the other, mainly because of different assumptions regarding to the definition of what mainshocks and aftershocks are. Also, a significant increase of p with m, which was

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not recognized before, has been recently observed by Ouillon and Sornette (2005),
which these authors explain by a multifractal model of stress interactions (Sornette &
Ouillon, 2005).

The goal of this paper is to show that these observations are consistent with a model 40 based on rate-and-state friction (Dieterich, 1994), with a spatially heterogeneous coseismic 41 stress change at the length scale of earthquake nucleation. In Section 2, we recall results 42 of past analyses on the magnitude dependence of χ and p, and test these results by 43 propose new such analyses, probing different ways of selecting mainshocks and aftershocks. 44 In Section 3, we detail our model, and explore its parameter space so to provide constraints 45 on what values of these parameters can reproduce the observations. Finally, in Section 46 4, we study how the addition of afterslip can influence the p and χ values, still exploiting 47 the rate-and-state model with coseismic stress heterogeneity.

2. Observations

There is good evidence that the productivity χ grows exponentially with m, i.e., fol-49 lowing a $\chi \sim 10^{\alpha m}$ relation. However, the exact value of α varies substantially between 50 studies: Helmstetter (2003) obtained that $0.7 < \alpha < 0.9$ for southern California, while 51 Felzer et al. (2004) and Helmstetter et al. (2005) found $\alpha = 1$ and $\alpha = 1.05 \pm 0.05$, 52 respectively, for the same region. Using space-time ETAS models and inverting for the 53 model parameters, Zhuang et al. (2004) found that $\alpha \simeq 0.6$ for Japan (1926-1999 $m \ge 4.2$ 54 earthquakes), Zhuang et al. (2005) found $\alpha = 0.7 \pm 0.05$ for Taiwan (1987-2000 $m \geq 5.3$ 55 earthquakes), while Console et al. (2003) obtained $\alpha = 0.42$ for Italy (1987-2000 $m \geq 2$ 56 earthquakes). 57

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Variations in the estimate of α can be due to differences in the seismogenic properties of 58 the regions analyzed, but also to the different procedures used to select which earthquakes 59 are mainshocks and which others are aftershocks. This selection is generally performed 60 using space-time windows that define the 'aftershock domain' of a mainshock (cf. Molchan 61 and Dmitrieva, 1992). Such methods rely on sets of parameters, that are largely arbitrary. 62 The alternative approach of fitting ETAS model parameters to the data is computationally 63 much more involved, and remains clearly model-dependent. Recently, a new probabilistic 64 method (nicknamed MISD for Model-Independent Stochastic Declustering) for selecting 65 mainshocks and aftershocks, that does not rely on any particular model nor specific param-66 eterization, has been proposed (Marsan and Lengliné, 2008). This approach, based on the 67 premises that seismicity dynamics result from a linear cascade of earthquake triggering, permits to distinguish between directly and indirectly triggered aftershocks. Applying this 69 method to southern California data, Marsan and Lengliné (2008) found that the $\chi \sim 10^{\alpha m}$ 70 is indeed a good representation of the data, with an α parameter equal to 0.6 for directly 71 triggered aftershocks, while $\alpha = 0.66$ for all (i.e., direct and indirect) aftershocks, which 72 is what the space-time window methods measure. In the context of the ETAS model, a 73 single α parameter characterize both the direct and the overall aftershock populations. 74 However, α -values inverted by cascading models with an isotropic spatial kernel are likely 75 to underestimate the real value as recently demonstrated for the case of the space-time 76 ETAS model (Hainzl et al., 2008). The reason is that real aftershock clusters are usually 77 anisotropically distributed in space due to the spatial extension of mainshock ruptures. 78 Indeed, relaxing the isotropy assumption, Marsan and Lengliné (2008) found $\alpha = 0.86$ 79 ('bare' value for directly triggered events) and $\alpha = 0.73$ ('dressed' value for di-80

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⁸¹ rectly and indirectly triggered events), instead of $\alpha = 0.60$ and 0.73, respectively, ⁸² when assuming isotropy.

To further study the p and χ dependence on m, we here analyze the global earthquake 83 catalog provided by the ISC, focusing on the 1978-2005 period and $m \ge 5.5$ earthquakes. 84 The starting date of 1/1/1978 is constrained by the fact surface wave magnitudes m_s only 85 start to be reported at that date. We kept the maximum magnitude (whatever its type) to 86 characterize the size of an earthquake. This choice is purely empirical, and was motivated 87 by the requirement that the frequency-magnitude curve follows an exponential Gutenberg-88 Richter law. Indeed, no deviation to the Gutenberg-Richter law above magnitude 5.5 is 89 found when examining the global seismicity, and when analyzing each year individually. 90 Also, as shown in Fig.1, the b-value remains stable over the years, indicating that there 91 is no statistically significant change in magnitude reporting in the 1978-2005 period. 92

We select mainshocks and aftershocks using several different published selection rules, for comparison purposes. An earthquake is characterized by its time of occurrence t, its location \underline{x} , and its magnitude m, which we use to define its rupture length as $L = 10^{0.45 \times (m-6)} \times 10$ km consistent with the analysis of Wells and Coppersmith (1994) (with a minimum L = 10 km, hence for all earthquakes with $5.5 \le m \le 6$, to account for location error). Namely, we use:

⁹⁹ (1) a space-time window method, so that an earthquake $\{t, \underline{x}, m\}$ is not a mainshock if ¹⁰⁰ there exists too big and too close a previous earthquake $\{t', \underline{x}', m'\}$, with $m' \ge m - \Delta m$, ¹⁰¹ at $t - \Delta t < t' < t$ and so that their rupture zones overlap, i.e., $|\underline{x} - \underline{x}'| < L(m) + L(m')$. ¹⁰² The aftershocks of a mainshock are all the earthquakes that follows it in its rupture zone,

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¹⁰³ until a new mainshock occurs which rupture zone overlaps with the current one. To test ¹⁰⁴ the sensitivity of the method to Δm and Δt , we take either $\Delta t = 1$ year, $\Delta m = 1$, or ¹⁰⁵ $\Delta t = 3$ years, $\Delta m = 2$.

(2) the method by Helmstetter (2003): an earthquake $\{t, \underline{x}, m\}$ is not a mainshock if there exists a previous, larger earthquake $\{t', \underline{x}', m' > m\}$ within 1 year and 50 km independent of the magnitude. Then, all the earthquakes within a rupture length L(m)and 1 year after the mainshock are its aftershocks.

(3) the method by Helmstetter et al. (2005), which mimics the declustering algorithm of Reasenberg (1985). Here, an earthquake $\{t, \underline{x}, m\}$ is not a mainshock if there exists a previous earthquake $\{t', \underline{x}', m'\}$ with $m' \ge m - 1$ that occurred within 1 year and a distance L(m'). Then, an earthquake is an aftershock of a given mainshock $\{t, \underline{x}, m\}$ if it occurs within L(m) and 1 year of it, or within L(m') and 1 year of any of its previous aftershocks $\{t', \underline{x}', m'\}$.

(4) the algorithm by Gardner and Knopoff (1974). An earthquake is an aftershock of a given mainshock if it occurs within a time T(m) and distance R(m) of it, with both Tand R increasing with m. We extend the magnitude range of Gardner and Knopoff (1974) up to magnitude 9, by setting T = 1000 days for $m \ge 8.5$ and keeping the $R \sim 10^{0.12m}$ scaling. All the earthquakes that are not aftershocks are considered as mainshocks.

(5) the model-independent stochastic declustering (MISD) method by Marsan and Lengliné (2008). This method assumes that all the previous earthquakes have an influence on a subsequent earthquake, and that those influences sum up. The method then amounts to running an iterative algorithm converging towards the mean-field influences (i.e., mean-field in the sense that two earthquakes of equal magnitudes will be considered

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as having equal influences at the same inter-event distances and times). This gives the
'bare' (i.e., direct) influences. The 'dressed' (i.e., both direct and indirect) influences are
obtained by considering the full cascade of aftershocks triggering other aftershocks and
so on, and summing over the various bare influences. There is no parameterization in
this method. Notice that all the other methods exploited here only probe the dressed
aftershock sequences.

Figure 2 displays the aftershock rates for all these methods, along with the best powerlaw fits $\lambda(t,m) = \chi \times t^{-p}$ which amounts to the Omori-Utsu law after neglecting the cut-off time c. These fits are computed for $0.1 \le t \le 100$ days (i.e., over 3 decades). No correction for the loss of aftershocks due to detection issues at short time scales is introduced. Given the quality of all the fits, we believe the scaling interval is appropriate for this 'no-correction' choice, given these fitting time intervals. Table 1 summarizes the various estimates related to Fig. 2.

All the space-time window methods (1), (2) and (3) yield very similar rates. The method 139 using Gardner and Knopoff (1974) is also quite similar to the dressed rates of the MISD 140 method. Although the general aspect is well preserved from one method to the other, 141 with the notable exception of the bare rates using the MISD method (i.e., because all the 142 other rates are 'dressed'), subtle differences can however be seen. The parameters p and 143 χ obtained with the best fits are reported on Figure 3. As can be observed, there exists a 144 significant dispersion of the parameters at all magnitudes, especially for the p-value, and 145 even for one method by just changing its parameters (i.e., method (1), red triangles). The 146 *p*-values proposed by Ouillon and Sornette (2005) are occasionally significantly different 147 from the ones obtained here. This could be due to the fact that they analyzed a very 148

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¹⁴⁹ different dataset than ours (southern California earthquakes), and also to the way they ¹⁵⁰ selected their time intervals for fitting the decays.

The productivity is effectively found to follow a $\chi \sim 10^{\alpha m}$ scaling, although parameter 151 α ranges between 0.66 (bare and dressed rates using MISD) and 1.15 (for most space-time 152 window methods). This confirms that the productivity scaling is unfortunately strongly 153 dependent on the selection method, as already discussed above. Low α values are typically 154 obtained with ETAS inversions and the MISD method, which both perform space-time 155 analyses and estimate the bare influences by assuming that the observed seismicity re-156 sults from cascading. The other methods do not account for this cascading, and could 157 therefore be biased towards large α values as a result. On the other hand, the inversion of 158 cascading models with isotropic spatial kernel can lead to significant underestimation of 159 the α parameter as recently shown for the space-time ETAS model (Hainzl et al., 2008). 160

In the following, we will use the results shown in Figure 3 as a constraint for our model parameters. As there is yet no clear consensus on the 'correct' values of p and α , we will ask our model to output values that are within the ranges shown in Fig.3 and proposed in past analyses, rather than attempting to reproduce one particular set of values.

3. Model of earthquake occurrence

Many aftershocks occur on-fault where quasi-static stress is expected to decrease after the mainshock, resulting in an apparent paradox. However, earthquake slip is known to be heterogeneous, leading locally to an increased shear stress after mainshock slip (i.e., loading rather than unloading). This has been observed by Mikumo and Miyatake (1995), Bouchon (1997), Bouchon et al. (1998), Day et al. (1998), Dalguer et al. (2002), Zhang

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et al. (2003), and Ripperger and Mai (2004) for a number of earthquakes. Examples of stress drop heterogeneity images at large scales can be found in Day et al. (1998). Heterogeneous fault stress has also been found in simulations by Parsons (2008) to be a long-lasting feature, with a spatial distribution of stress gaps showing persistence over tens of years.

Recently, it has been shown that coseismic stress heterogeneities are able to explain aftershock activity, especially those observed in stress shadows such as within the mainshock rupture (Helmstetter and Shaw, 2006; Marsan, 2006). At the scale of the nucleation of seismic instability (typically meters to tens of meters as predicted by rate and state friction; cf. Fig.11, Dieterich, 1992), the stress drop is dominated by this spatial variability: numerous nucleation patches are then loaded rather than unloaded by the mainshock, resulting in the occurrence of aftershocks.

As it is shown later, the situation is significantly different for smaller mainshocks, i.e., 182 characterized by rupture lengths not too large compared to the nucleation length. Then, 183 scale invariance of the coseismic slip implies that the stress drop is much smoother (still at 184 the scale of nucleation) than that of large mainshocks. The ruptured fault is then mostly 185 unloaded, and no aftershocks occur. The direct observation of this shadowing effect for 186 small mainshocks has been made by Rubin (2002), for relocated earthquakes on the San 187 Andreas fault, and by Fischer and Horalek (2005) for relocated swarm earthquakes in the 188 Vogtland area. In both cases, the stacked seismicity showed a significant gap within the 180 rupture area. 190

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¹⁹¹ We here study how a model based on rate-and-state friction with a magnitude-dependent ¹⁹² distribution of coseismic stress change can recover the aftershock decay characteristics ¹⁹³ described in the preceding section.

3.1. Description of the model

¹⁹⁴ In the following treatment, we postulate that:

• Seismicity can be well described by the rate-and-state model of Dieterich (1994) with the ageing evolution law, in the localized nucleation regime for which healing is negligible (Rubin and Ampuero, 2005).

• Static stress triggering dominates the production of aftershocks.

• The coseismic slip is fractal, causing the stress drop to be fractal as well.

• Spatial fluctuations in stress drop can be modeled with Gaussian statistics.

• There exists a finite, time-independent nucleation length ℓ that characterize the size of fault patches self-accelerating to failure (Dieterich, 1992).

• All earthquakes initially nucleate at scale ℓ , their final size being controlled by the dynamic propagation of the instability outside the nucleation patch rather than by processes occurring within this nucleation zone (Lapusta and Rice, 2003).

Rate-and-state model: According to Dieterich (1994), in the no-healing approximation, the seismicity rate λ is inversely proportional to the state variable γ describing the creep velocities on the faults, namely $\lambda(t) = \frac{r}{\dot{\tau}\gamma(t)}$, where r is the stationary background rate of earthquakes and $\dot{\tau}$ the tectonic loading rate. The evolution of the state variable γ is given by

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$$d\gamma = \frac{dt - \gamma d\tau}{A\sigma} \tag{2}$$

with A being a dimensionless fault constitutive parameter usually ~ 0.01 and σ the effective normal stress. A sudden stress jump of τ for a background stationary rate r leads to a time-dependence of the activity according to

$$\lambda(t,\tau) = \frac{r}{1 + (e^{-\frac{\tau}{A\sigma}} - 1)e^{-\frac{t}{t_a}}}$$
(3)

with $t_a = A\sigma/\dot{\tau}$. For simplicity, we will give hereinafter all stress jumps in units of $A\sigma$ and the time in units of t_a , unless stated otherwise, leading to the expression $\lambda(t,\tau) = r/[1 + (e^{-\tau} - 1)e^{-t}].$

²¹⁷ Fractal coseismic slip and stress-drop heterogeneity:

The stress variations induced by an earthquake are expected to be spatially heterogeneous due to coseismic slip as well as material heterogeneities. Thus, for any given crustal volume, the actual stress experienced by nucleation patches must be described by a probability density function $f(\tau)$, and the earthquake activity of the volume must be calculated by

$$\lambda(t) = \int \lambda(t,\tau) f(\tau) d\tau \quad . \tag{4}$$

On or close to the main fault, stress heterogeneity is dominated by slip variability. Scaleinvariant slip models have been proposed by several authors (Andrews, 1980; Frankel, 1991; Herrero and Bernard, 1994; Mai and Beroza, 2002). For a two-dimensional fractal

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²²⁶ model, the slip u(k) is proportional to $k^{-1-H}g(k)$ with H the Hurst exponent related to ²²⁷ the fractal dimension D = 3 - H, where g is a realization of a Gaussian white noise, and ²²⁸ k the wave number. In their extended analysis of the slip distributions of 44 earthquakes, ²²⁹ Mai and Beroza (2002) found that $H = 0.71 \pm 0.23$. Since the stress drop scales as ²³⁰ $\tau(k) \sim k u(k) \sim k^{-H}g(k)$, e.g. see Schmittbuhl et al. (2006), the scaling of the standard ²³¹ deviation σ_{τ} of the stress change at the length scale of the nucleation sites, ℓ , is given by ²³² Marsan (2006)

$$\sigma_{\tau} = C \sqrt{\left(\frac{L}{\ell}\right)^{2-2H} - 1} \tag{5}$$

for H < 1, where L is the rupture length of the earthquake. The standard deviation, 233 hence the variability of the stress drop, thus diverges for $\ell \to 0$ when $H \leq 1$ (Helmstetter 234 and Shaw, 2006). Figure 4 shows an example of how the stress drop roughness depends on 235 the scale ratio between the fault size L and the nucleation scale ℓ . A 10 × 10 km² fault is 236 simulated, which roughly corresponds to a magnitude 6 earthquake: we generate a fractal 23 (scalar) slip u(x, y) with Hurst exponent H = 0.7, such that the stress drop, defined as 238 $(\partial_x + \partial_y)u$, has a mean value of 3 MPa. We vary the scale of observation, thus changing 239 the scale ratio between the rupture size L and the cut-off scale ℓ . As this scale ratio is 240 increased, the roughness of the stress drop is enhanced, with the emergence of patches 241 undergoing stress loading (i.e., negative stress drops). 242

We calibrate the intensity of the stress fluctuation by considering that the induced stress variability of large earthquakes is typically of the order of the average stress drop $\overline{\tau}$, when observed at the $\simeq 5$ km scale. Using H = 0.7, this gives that the stress variability at the

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²⁴⁶ nucleation length scale of approximately 10 m would be of the order of $6 \overline{\tau}$. In the following, ²⁴⁷ we define the stress variability σ_7 induced by a m = 7 event as an input parameter, ²⁴⁸ typically ranging between 0.1 and 10 times the mean stress drop: $0.1 < CV = \frac{\sigma_7}{\overline{\tau}} < 10$, ²⁴⁹ where CV is the coefficient of variation of the stress distribution.

The dependence of the stress drop heterogeneity on the magnitude is given by Eq.(5), together with $L = \ell \ 10^{\beta(m-m_0)}$, where the empirical value of β is close to 0.45 (Wells and Coppersmith, 1994, assuming the rupture length as the square root of the rupture area). We denote by m_0 the magnitude corresponding to a rupture size of ℓ , i.e., the minimum magnitude for friction-controlled earthquakes.

255 Stress drop modeled with Gaussian statistics:

A Gaussian model for τ is only a first-order approximation. There is evidence for an 256 asymmetric stress drop in some instances (Day et al., 1998), with pronounced peaks of high 257 stress drop embedded in large zones of low, negative stress drop. Elaborating even further 258 away from a Gaussian model, Lavallée and Archuleta (2003, 2005) have proposed that the 259 slip distribution of both the 1979 Imperial Valley and the 1999 Chi-Chi earthquakes are 260 better modeled by Lévy-stable statistics. In this model, $\tau(k) \sim k^{-H} g_{\alpha}(k)$, where g_{α} is a 261 Lévy noise with stability index α , typically with α close to 1 (hence g_{α} close to a Cauchy 262 noise). The difficulty in handling this type of model is that the stress drop distribution 263 can no longer be characterized by its standard deviation, as it is not defined anymore. 264 Clearly, Lévy-distributed stress drops will generate even rougher fields, and the results 265 presented in this manuscript, that are based on normal (Gaussian) laws, can therefore be 266 seen as a 'most-conservative', i.e., least-heterogeneous, limit case. 267

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²⁶⁸ Nucleation size:

So far, nucleation zones lack direct observation. Therefore, we assume the simplest case 269 that the nucleation size is independent of the aftershock magnitude. This is in agreement 270 with the well-known cascade model for earthquake ruptures (e.g. Kilb and Gomberg, 271 1999) and numerical simulations (Lapusta and Rice, 2003). In particular, we assume that 272 the magnitude m_0 , which corresponds to the nucleation size ℓ , is constant. In the case 273 of $m_0(m)$, our results would be directly applicable only for each magnitude band of the 274 aftershocks separately. However, because of the weak dependence of our results on m_0 275 (see Fig.5), our general results are expected to remain valid even in this case. 276

3.2. Model predictions vs. observations

We calculate the seismicity rate within the rupture zone of the mainshock by solving 277 Eq.(4) numerically with a magnitude-dependent Gaussian probability distribution, i.e., 278 $f(\tau)$ is Gaussian with mean $-\overline{\tau}$ and standard deviation σ_{τ} . $\overline{\tau}$ is the (Coulomb) stress drop 279 on the main fault which can be seen further away from the fault (King and Cocco, 2001; 280 Freed, 2005; JGR special issue on stress triggering, 2005): adding stress heterogeneities 281 allows to go beyond usual Coulomb stress modeling by introducing a stochastic term to 282 the deterministic stress field. This stochastic term is here viewed as accounting for the 283 small scale variability that is not accessible to direct measurement nor computation. It 284 can alternatively be seen as modeling the error on the large-scale stress field: as well as a 285 mean stress drop $\overline{\tau}$, we also need its uncertainty σ_{τ} . Accounting for such an uncertainty 286 is not a 2nd-order refinement: as already shown by Helmstetter and Shaw (2006) and 287 Marsan (2006), it can significantly alter the seismicity. 288

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The model has a number of parameters, which have a direct influence on the Omori-Utsu 289 parameters p and χ . We summarize these parameters in Table 2, along with their values. 290 For an earthquake of magnitude m, the distribution of stress drops on the main fault is 291 thus a Gaussian distribution with mean $\overline{\tau}$ independent of m, and standard deviation as 292 given by Eq.(5). The crucial point here is that this standard deviation increases with 293 the magnitude m, this increase being constrained by parameters C (or equivalently σ_7 or 294 CV, ℓ (or m_0), and H. Changing these three key parameters amounts to changing the 295 dependence of p and χ on m. 296

The standard value of the Hurst exponent H is set to 0.7 because it was the mean value obtained by Mai and Beroza (2002). Letting H vary within the acceptable range $0.5 \le H \le 0.9$ strongly affects the results, as decreasing H causes the stress field to become more heterogeneous. This will be further discussed in subsection 3.4.

A first point is to note that the aftershock decay depends very little on ℓ and m_0 , as 301 long as they remain very small compared to the sampled rupture lengths and magnitudes. 302 Figure 5 illustrates the increasing stress drop heterogeneity for increasing earthquake 303 magnitudes for the range of Hurst-exponents inverted from slip data and for three different 304 m_0 -value. The tested values of m_0 =-4, -2, and 0 correspond to nucleation length of 305 approximately 0.3 m, 2.2 m, and 18 m (Wells and Coppersmith, 1994). Given that the 306 dependence on the assumed m_0 -value is very weak, we (arbitrarily) set m_0 to -2 for the 307 remainder of this study. 308

The only parameters left to vary are therefore the mean stress drop and the calibration constant C (or σ_7 , CV). We calculate the aftershock rate as a function of the mainshock

magnitude for different values of these parameters. Figure 6 shows the aftershock decay for different mainshock magnitudes in the case of a coefficient of variation $CV \equiv \sigma_7/\overline{\tau} = 2.3$ and a stress drop of $\overline{\tau} = 1$ MPa.

The Omori-Utsu law is fitted to each of these curves in the time interval $[10^{-4} - 10^{-1}]$ 314 vielding an estimate of the *p*-value as a function of the mainshock magnitude. For a stress 315 field variation of CV = 2.3, the magnitude-dependence is found to be in good agreement 316 with the observed *p*-value dependence in California (Ouillon & Sornette, 2005), and to our 317 global analysis of section 2. This is shown in Fig.7. Note that for significantly stronger 318 heterogeneities, the magnitude dependence becomes quite weak and would be difficult to 319 detect in real data (see the curve for CV = 8.0 in the same figure): in this case, the stress 320 heterogeneity is large enough even at magnitude 2 to push the p-value close to 1. 321

3.3. Aftershock productivity as a function of mainshock magnitude

In the case that ruptures produce a stress drop variability which is independent of the 322 earthquake magnitude, our model would predict an aftershock productivity which would 323 simply scale with the mainshock rupture area, i.e. $\sim 10^{0.9m}$. However, as another con-324 sequence of the scaling of stress heterogeneity with mainshock magnitude, the aftershock 325 productivity is not simply scaling with mainshock area anymore. For the previous exam-326 ples, the productivity values χ are shown in Fig.8. For moderate stress heterogeneities, 327 the increase of the aftershock productivity is close to $\sim 10^{1.05m}$ which is the empirical 328 scaling exponent found by Helmstetter et al. (2005) for California and in agreement with 329 our own investigations of the global earthquake catalog with methods (1)-(3) (Fig. 3). 330

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For significantly larger heterogeneities (CV = 8.0), the scaling exponent is smaller and becomes almost $\sim 10^{0.9m}$. Thus for large heterogeneities, the model predicts an almost constant $p \approx 1$ -value and an aftershock productivity which simply scales with the rupture area.

We have implicitly assumed that aftershocks can occur everywhere on and close to the mainshock fracture. However, some studies indicate that aftershocks occur on spatial fractals with dimension D < 2 (Turcotte 1997, Helmstetter et al., 2005). Assuming that aftershocks are restricted to such fractal subsets of the fault plane, we would get a smaller theoretical cutoff-value $\alpha_{min} = \beta \cdot D = 0.45 D$ instead of 0.9.

3.4. Dependence on the Hurst-exponent and the stress drop

Our general findings are independent of the assumed value of the mean stress drop 340 $\overline{\tau}$. The increase of the *p*-value and the aftershock productivity is found to be preserved 341 for other values of $\overline{\tau}$. However, changing $\overline{\tau}$ impacts on the degree of the stress field 342 heterogeneity which is needed to produce the same magnitude dependence. For example, 343 practically the same curve as shown in Fig.7 for $\overline{\tau}=1$ MPa and CV = 2.3 is found for 344 $\overline{\tau}=0.5$ MPa with CV = 4.0 and $\overline{\tau}=2$ MPa with CV = 1.6. These results depend also on 345 the Hurst-exponent. Figure 9 shows for the case of $\overline{\tau}=1$ MPa the same characteristics for 346 the lower and upper limits of the observed Hurst-exponents, H=0.5 and H=0.9. In each 347 case, the standard deviation σ_7 is chosen such that the *p*-value dependence on magnitude 348 fits the observation best. It is found that higher Hurst-exponents underestimate the 349 observed magnitude-dependence whereas lower Hurst-exponents seem to overestimate the 350 trend. Thus the value H=0.7 which is independently found to best describe observed 351

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³⁵² slip-distributions is also found to give consistently the best description of the aftershock
 ³⁵³ decay. This is another indication of the applicability of the rate-and-state friction model
 ³⁵⁴ for aftershocks.

To examine the whole parameter space more systematically, we calculate, for stress 355 drops varying between 0.1 MPa and 10 MPa, the stress field variability CV which leads 356 to a *p*-value increase of 0.1 from m=3.0 to 7.0 mainshocks. The resulting curves are shown 357 for the different Hurst exponents in Fig. 10. These curves can be seen as the boundary 358 delineating the parameter region where significant *p*-changes should be detectable from 359 the analysis of empirical data sets: For lower CV-values, the *p*-value change is larger 360 than 0.1 while, for higher CV-values, p-value changes (smaller than 0.1) could be hardly 361 detected in empirical data sets. It is found that for the same CV-value, the *p*-value change 362 becomes more significant for smaller stress drop values. 363

4. Influence of afterslip on the p and χ dependence on m

There is growing evidence that large mainshocks are followed by significant amounts 36 of afterslip (e.g., Miyazaki et al., 2004; Chlieh et al., 2007). It has been proposed that 365 this afterslip, which typically decays as 1/t (see Montesi, 2004, for analysis and modeling 366 of afterslip decay), could be the driving force in producing aftershocks (Perfettini and 367 Avouac, 2004). Dieterich (1994) derived, in the context of rate-and-state friction, the 368 earthquake rates that would be triggered by a 1/t-decaying afterslip following a coseismic 369 stress change. Addition of afterslip is indeed seen to substantially modify the aftershock 370 decay, both in terms of decay exponent (p-value) and of aftershock productivity. We 371 therefore consider in this section how afterslip could further change the conclusions reached 372 in the previous section. 373

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For a coseismic stress change τ followed by a afterslip-induced stress of the form

$$\tau_1 \times \ln(1 + t/t^*) , \qquad (6)$$

solving equation (2) leads to the seismicity rate

$$\lambda(t,\tau) = \frac{r}{e^{-\frac{\tau}{A\sigma}} \left(1 + \frac{t}{t^*}\right)^{-a} + \frac{t^*}{(1+a)t_a} \left[1 + \frac{t}{t^*} - \left(1 + \frac{t}{t^*}\right)^{-a}\right]}$$
(7)

³⁷⁴ in place of Eq.(3), see Dieterich (1994). Parameter *a* equals $\frac{\tau_1}{A\sigma}$. This solution ignores ³⁷⁵ the constant, tectonic stressing rate $\dot{\tau}$ contribution to the post-seismic stress. Account-³⁷⁶ ing for it affects the aftershock decay $\lambda(t,\tau)$ (as given by Eq. 7) only when *t* becomes ³⁷⁷ comparable to t_a , and amounts to a convergence of the rate to the background rate *r*. As ³⁷⁸ an illustration, Fig. 11 compares the solution of Eq.(7) that ignores the tectonic loading, ³⁷⁹ with the numerical solution of Eq.(2) that includes this loading.

We analyze the effect of aftership on the *p*-value variations and the aftershock pro-380 ductivity for the previous example of $\overline{\tau}=1$ MPa and CV=2.3. Parameter t^* is set to 381 $10^{-7} t_a$. The strength of the stress changes induced by afterslip is characterized by the 382 ratio between the cumulative stress change by afterslip within time t_a and the mean of the 383 coseismic stress drop $\overline{\tau}$. The results are shown in Fig.12. For additional loading (positive 384 values of τ_1), the *p*-values slightly decrease and the productivity increases. Vice versa for 385 an unloading (negative values of τ_1): p-values increase and the productivity decreases. 386 p-values larger than 1 are found in the case of very strong unloading when the afterslip 387 induced stress is of the order of the coseismic mean stress drop. However, in all cases, the 388 consideration of afterslip does not change the general shape of both the p-value change 389 and the scaling of the productivity with mainshock magnitude. 390

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Summary and Conclusions

In this paper, we investigate the mainshock magnitude dependence of the aftershock 391 activity which results from fractal slip and frictional nucleation of earthquakes. Fractal 302 earthquake slip directly leads to a rupture size-dependent heterogeneity of the induced 393 stress field. The larger the earthquake, the stronger is the expected variance of the stress 394 changes. Thus larger earthquakes will typically produce strongly loaded patches within 395 the rupture zone, even though the average stress level dropped significantly. In such loaded 396 patches, which are for smaller events less frequent, aftershocks will nucleate rapidly. We 397 systematically studied the predicted aftershock characteristics and compared them with 398 observations. Firstly, the model predicts that small earthquakes should be followed by 399 an immediate on-fault seismicity shadow, while larger earthquakes should not because 400 of the induced stress drop heterogeneity. Direct observation of this shadowing effect for 401 small mainshocks has been made by Rubin (2002), for relocated earthquakes on the San 402 Andreas fault, and by Fischer and Horalek (2005) for relocated swarm earthquakes in the 403 Vogtland area. Secondly, the Omori-Utsu's *p*-value increases with mainshock magnitude 404 as a consequence of enlarged stress field heterogeneity. The aftershock productivity χ is 405 also affected, although less significantly, by the stress heterogeneity: its scaling $\chi \sim 10^{\alpha m}$ 406 with mainshock magnitude m is made steeper by a rough stress field ($\alpha \simeq 1.05$ compared 407 to $\alpha = 0.9$ when there is no heterogeneity). Both predictions are in good agreement with 408 recent observations by Ouillon and Sornette (2005), Helmstetter et al. (2005), and our 409 own observations of section 2. In particular, we find that the Hurst exponent deduced 410 from slip inversions, H = 0.7, gives the best fit to the data which supports the model. 411

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To prove the robustness of the recently observed mainshock magnitude dependence 412 of the *p*-value and the scaling of the aftershock productivity χ , we have performed an 413 independent analysis of the global earthquake catalog for mainshock magnitudes $M \geq 5.5$. 414 For a number of different declustering algorithms, we could confirm a systematic increase 415 of the *p*-value with mainshock magnitude. On the other hand, we found that the apparent 416 productivity value is strongly dependent on the selection algorithm, resulting in a broad 417 interval of possible values between 0.6 and 1.15. By means of a systematic parameter 418 analysis, we have used these empirical observations to constrain the expected degree of 419 the stress drop variability. 420

Within the same model framework, p-values larger than 1 cannot be explained if only 421 coseismic mainshock-induced stress changes and tectonic loading are considered. This 422 is in contradiction with empirical observations of p > 1 aftershock decays. However, 423 Dieterich (1994) already showed that $\log(t)$ -unloading in agreement with frequently ob-424 served afterslip can explain p > 1. We have checked numerically that this result remains 425 true if tectonic forcing is additionally taken into account. Our analysis shows, however, 426 that afterslip does not change the general characteristics of the mainshock-magnitude 427 dependence of the *p*-value and the aftershock productivity. 428

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Figure 1. b-value estimated for each year individually in the ISC catalog. A clear change in b-value is observed in 1978, when Ms magnitudes started to be reported.



Aftershock rates following main shocks of magnitude 5.5 $\leq m <$ 6, 6 $\leq m <$ 6.5, Figure 2. $6.5 \leq m < 7, 7 \leq m < 7.5$, and $m \geq 7.5$ (from bottom to top), using the various methods described in the text for selecting mainshocks and aftershocks. The top, left graph corresponds to method (1) with $\Delta t = 1$ year and $\Delta m = 1$. The best power-law fits performed in the interval between 0.1 day and 100 days are shown in continuous lines.



Figure 3. Parameters p and χ of Omori-Utsu law obtained from the best fits shown in Figure 2. The symbols distinguish the various methods described in the text: (1) red triangles, (2) blue squares, (3) purple diamonds, (4) green crosses, (5, dressed) black circles, (5, bare) black crosses. For method (1), the 2 combinations using $\Delta t = 1$ year, $\Delta m = 1$ and $\Delta t = 3$ years, $\Delta m = 2$ are shown. The *p*-values obtained by Ouillon and Sornette (2005) for southern California earthquakes, using their two methods for selecting mainshocks and aftershocks, are displayed for comparison (light blue). We added a small shift for presentation purposes. The two black lines on the right hand graph show a $10^{0.66m}$ and a $10^{1.15m}$ scaling. Table 1 summarizes all parameter estimates.

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Figure 4. Stress drop distribution, in MPa, on a $10 \times 10 \text{ km}^2$ simulated fault, seen at a varying nucleation length ℓ . The fractal stress field becomes rougher as the scale ratio L/ℓ grows. The mean stress drop is 3 MPa whatever l. Stress loading corresponds to negative stress drops, and is observed at places starting at $L/\ell = 100$. Bottom right: standard deviation σ_{τ} normalized by the mean stress drop 3 MPa, function of the inverse ratio ℓ/L . The power-law trend (dashed line) follows the expected exponent -1 + H = -0.3 as predicted by Eq.(5). This can be compared to Figure 5 of Marsan (2006).



Figure 5. The dependence of the expected stress drop variability σ/σ_7 on the mainshock magnitude m for Hurst exponents inverted from observations. For each Hurst-exponent, the symbols refer to m_0 =-2 while the lines refer to m_0 =-4 and m_0 =0, respectively. We use $\beta = 0.45$ in the $L \sim 10^{\beta \times m}$ relation, according to Wells and Coppersmith (1994).



Figure 6. The aftershock decay as a function of the mainshock magnitude in the case of H=0.7, $CV = \sigma_7/\overline{\tau}=2.3$, and $\overline{\tau}=1$ MPa. The aftershock rate is normalized by the background rate. At long time scales (i.e., t/t_a typically greater than 1), the aftershock rate becomes less than the background rate, indicating the onset of a seismic quiescence. This is caused by the overall stress drop, see Marsan (2006).

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Figure 7. The *p*-value as a function of the mainshock magnitude in the case of H=0.7 and $CV = \sigma_7/\overline{\tau}=2.3$, 2.9, and 8.0. The curves are compared with the observed *p*-value dependence in California (data from Ouillon and Sornette 2005: results based on their declustering method 1 (dots) and declustering method 2 (squares)), and with the range of *p*-values reported in section 2 (crosses).

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Figure 8. The aftershock productivity as a function of mainshock magnitude. Note that the productivity is normalized by the background rate r. The results are compared with the two scaling laws ~ $10^{1.05m}$ and ~ $10^{0.9m}$. For a comparison, the observational χ -values reported in section 2 have to be rescaled by the unknown factor t_a/r (t_a measured in units of days). For a factor of 1250, the observations are represented by small crosses.

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Figure 9. (a) The *p*-value as a function of the mainshock magnitude in comparison for the three different values H=0.5, 0.7, and 0.9. In each case the stress field heterogeneity is chosen in a way that the empirically data points (for description see Fig.7) are best fitted: CV=6.5 for H=0.5; CV=2.3 for H=0.7, and CV=1.5 for H=0.9. (b) The aftershock number as a function of mainshock magnitude for the same cases. In both cases, the data reported in section 2 are added (small crosses).

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Figure 10. Phase diagram for significant p-value changes. The plot shows the stress field variability CV which leads to a p-value increase of 0.1 from m=3.0 to 7.0 mainshocks, considered as an observable change: For lower CV-values, the p-value change with mainshock magnitude is significant, while p-value changes could be hardly detected in empirical data sets for higher CV-values.

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Figure 11. Seismicity rate normalized by background rate, for a 1 MPa coseismic stress drop followed by a stress increase due to afterslip $\tau_1 \times \ln(1 + t/t^*)$. Here $\tau_1 = 1$ MPa, $t^*/t_a = 10^{-7}$, $A\sigma = 0.1$ MPa, and the tectonic stress rate is $\dot{\tau} = 0.1$ MPa per unit t_a . Both solutions are identical as long as $t < t_a$.



Figure 12. Consideration of postseismic stress changes due to afterslip: (a) the *p*-value and (b) the productivity as a function of the mainshock magnitude. The number for each curve gives the fraction of the coseismic mean stress drop which is added (positive sign for loading and negative sign for unloading) within time t_a to the coseismic stress value according to Eq.(6). All curves are based on $\overline{\tau}=1$ MPa and CV=2.3.

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Method	Magnitude	<i>p</i> -value	χ -value	r^2
	$5.5 \le m < 6$	0.96 ± 0.01	0.0052 ± 0.0001	0.99
Method 1	$6 \le m < 6.5$	1.00 ± 0.05	0.010 ± 0.001	0.96
$(\Delta t=1 \text{ year}, \Delta m=1)$	$6.5 \le m < 7$	1.02 ± 0.01	0.048 ± 0.001	0.98
	$7 \le m < 7.5$	1.03 ± 0.02	0.15 ± 0.01	0.96
	$m \ge 7.5$	1.08 ± 0.01	0.55 ± 0.01	0.99
	$5.5 \le m < 6$	0.98 ± 0.001	0.0052 ± 0.0001	0.99
Method 1	$6 \leq m < 6.5$	1.02 ± 0.07	0.010 ± 0.001	0.96
$(\Delta t=3 \text{ years}, \Delta m=2)$	$6.5 \leq m < 7$	0.99 ± 0.03	0.042 ± 0.002	0.96
	$7 \leq m < 7.5$	1.13 ± 0.03	0.13 ± 0.01	0.94
	$m \ge 7.5$	1.09 ± 0.03	0.40 ± 0.02	0.95
	$5.5 \le m < 6$	0.97 ± 0.01	0.0026 ± 0.0001	0.97
Method 2	$6 \leq m < 6.5$	1.00 ± 0.04	0.013 ± 0.001	0.98
Helmstetter (2003)	$6.5 \leq m < 7$	0.99 ± 0.01	0.063 ± 0.002	0.99
	$7 \leq m < 7.5$	1.07 ± 0.01	0.18 ± 0.01	0.97
	$m \ge 7.5$	1.08 ± 0.01	0.57 ± 0.01	0.99
	$5.5 \le m < 6$	0.92 ± 0.01	0.011 ± 0.001	0.98
Method 3	$6 \leq m < 6.5$	0.95 ± 0.02	0.022 ± 0.001	0.96
Helmstetter et al. (2005)	$6.5 \leq m < 7$	1.01 ± 0.01	0.10 ± 0.01	0.98
	$7 \leq m < 7.5$	1.01 ± 0.05	0.24 ± 0.02	0.94
	$m \ge 7.5$	1.05 ± 0.03	1.37 ± 0.01	0.95
	$5.5 \le m < 6$	0.79 ± 0.01	0.017 ± 0.001	0.99
Method 4	$6 \leq m < 6.5$	0.86 ± 0.03	0.041 ± 0.001	0.98
Gardner & Knopoff (1974)	$6.5 \le m < 7$	0.98 ± 0.01	0.098 ± 0.001	0.99
	$7 \le m < 7.5$	1.02 ± 0.02	0.17 ± 0.01	0.98
	$m \ge 7.5$	1.06 ± 0.01	0.53 ± 0.02	0.96
	$5.5 \le m < 6$	0.87 ± 0.02	0.017 ± 0.001	0.99
Method 5	$6 \leq m < 6.5$	1.05 ± 0.04	0.029 ± 0.001	0.99
Marsan & Lengliné (2008), bare	$6.5 \le m < 7$	1.07 ± 0.01	0.081 ± 0.002	0.99
	$7 \le m < 7.5$	1.10 ± 0.02	0.16 ± 0.01	0.99
	$m \ge 7.5$	1.19 ± 0.02	0.40 ± 0.01	0.99
	$5.5 \le m < 6$	0.82 ± 0.02	0.030 ± 0.001	0.99
Method 5	$6 \le m < 6.5$	0.90 ± 0.07	0.061 ± 0.003	0.98
Marsan & Lengliné (2008), dressed	$6.5 \le m < 7$	0.96 ± 0.04	0.14 ± 0.01	0.99
	$7 \leq m < 7.5$	0.96 ± 0.05	0.34 ± 0.01	0.99
	$m \ge 7.5$	1.09 ± 0.07	0.88 ± 0.04	0.99

Table 1. Estimates for the p and χ values of the best fits as shown in Fig. 2, along with	their
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errors, and the r^2 value giving the goodness of fit.

parameter	description	value
$A\sigma$	constitutive parameter times effective normal stress	0.1 MPa
$\overline{ au}$	mean stress drop on the main fault (the negative of the mean stress change)	variable
$\sigma_{ au}$	stress drop standard deviation at the nucleation length scale	variable
ℓ, m_0	nucleation length (ℓ) and equivalent nucleation magnitude (m_0)	$\ell = 2.2 \text{m}, \ m_0 = -2$
C, σ_7, CV	calibration constants for σ_{τ} , see Eq.(5); $\sigma_7 = \sigma_{\tau}$ for $m = 7$ earthquakes, and $CV = \frac{\sigma_7}{\overline{\tau}}$	variable
Н	Hurst exponent of fractal slip distribution	0.7 ± 0.2

 Table 2.
 Summary of the model parameters that affect the aftershock decay characteristics.