Scattering control without knowing the wave speed

 inverse problem for the wave equation with piecewise smooth wave speeds

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disentangling multiple scattering

- instantaneous time mirrors in an extended subsurface
- scattering control, detection of kinetic energy, projections

extensive (composite) data manipulations

inverse problem

- broken boundary normal ('time') coordinates
- wave-based coordinate transformation reconstruction from partial data \rightarrow wave speed, discontinuities
- interface detection without the wave speed



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- Marchenko's classical integral equation solves the inverse scattering problem in dimension one
- Rose (2002) developed an iterative procedure in dimension one, *single-sided autofocusing*, which focuses (geodesic coordinate) and related it to Marchenko's equation

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extend Marchenko approach to higher dimensional inverse problems (related work: Wapenaar, Thorbecke, Van der Neut, Broggini, Snieder, Curtis and others): key components are unique continuation (Tataru) and boundary control (Belishev)

unique continuation property

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a solution of the wave equation that is zero on the neighborhood on the left must be zero on the 'light diamond'

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assumptions

- $\Omega \subseteq \mathbb{R}^n$ (part of Earth's interior) is a Lipschitz domain
- *c* is a scalar wave speed:
 - unknown and piecewise smooth on $\boldsymbol{\Omega}$
 - known and smooth on $\Omega^{\star} = \mathbb{R}^n \setminus \overline{\Omega}$

initial value problem (IVP) and data model

let $h = (h_0, h_1) \in H^1(\mathbb{R}^n) \oplus L^2(\mathbb{R}^n)$; consider the IVP

$$F: h \mapsto u \text{ s.t. } \begin{cases} \partial_t^2 u - c^2 \Delta u = 0 & \text{ in } \mathbb{R} \times \mathbb{R}^n \\ u(0, \cdot) = h_0 & \text{ in } \mathbb{R}^n \\ \partial_t u(0, \cdot) = h_1 & \text{ in } \mathbb{R}^n \end{cases}$$

response after time s

$$R_{s} \colon H^{1}(\mathbb{R}^{n}) \oplus L^{2}(\mathbb{R}^{n}) \to H^{1}(\mathbb{R}^{n}) \oplus L^{2}(\mathbb{R}^{n})$$
$$h \mapsto (Fh, \partial_{t}Fh)\Big|_{t=s}$$

known: $R_{2T}h|_{x\in\Omega^{\star}}$ for Cauchy data *h* supported in Ω^{\star} , $T \in (0, \frac{1}{2} \operatorname{diam} \Theta)$

data operator: \mathcal{F} : $\mathcal{H}^1(\Omega^*) \oplus L^2(\Omega^*) \to C(\mathbb{R}, \mathcal{H}^1(\Omega^*))$

• let T > 0, choose Lipschitz Θ , Υ s.t. $\overline{\Omega} \subset \Theta \subset \overline{\Theta} \subset \Upsilon$

(think of $\Theta \approx \Omega$, and Υ a large ambient space)

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sets, signed distance



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Cauchy data, spaces

sublevel sets (d_{Θ}^* : signed distance to the boundary $\partial \Theta$)

$$\begin{array}{rcl} \Theta_t &=& \{x \in \Upsilon \mid d^*_{\Theta}(x) > t\} \\ \Theta^{\star}_t &=& \{x \in \Upsilon \mid d^*_{\Theta}(x) < t\} \end{array}$$

(sub)spaces of Cauchy data

$$\widetilde{\mathsf{C}} = H^1_0(\Upsilon) \oplus L^2(\Upsilon)$$

$$\begin{aligned} \mathbf{H}_t &= H_0^1(\Theta_t) \oplus L^2(\Theta_t), \qquad \mathbf{H} = \mathbf{H}_0 \\ \widetilde{\mathbf{H}}_t^{\star} &= H_0^1(\Theta_t^{\star}) \oplus L^2(\Theta_t^{\star}) \end{aligned}$$

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 $\widetilde{\mathbf{H}}^{\star} \cap (R_{2T}(H^1_0(\mathbb{R}^n \setminus \overline{\Theta}) \oplus L^2(\mathbb{R}^n \setminus \overline{\Theta})))$: space of Cauchy data in $\widetilde{\mathbf{C}}$ whose wave fields vanish on Θ at t = 0 and t = 2T; $R_{2T} : \mathbf{C} \to \mathbf{C}$ isometrically $\pi_{\mathbf{C}} : \widetilde{\mathbf{C}} \to \mathbf{C}$

 \mathbf{C} : its orthogonal complement inside \mathbf{C}

 \mathbf{H}_{t}^{\star} : its orthogonal complement inside \mathbf{H}_{t}^{\star}

norms and inner product

inner product on \boldsymbol{C}

$$\langle (f_0, f_1), (g_0, g_1) \rangle = \int_{\Upsilon} \left(\nabla f_0(x) \cdot \nabla \overline{g}_0(x) + c^{-2} f_1(x) \overline{g}_1(x) \right) dx$$

energy in open set $W \subseteq \mathbb{R}^n$

$$\mathbf{E}_{W}(h) = \int_{W} \left(|\nabla h_{0}|^{2} + c^{-2} |h_{1}|^{2} \right) \, dx$$

kinetic energy

$$\mathsf{KE}_W(h) = \int_W c^{-2} |h_1|^2 \, dx$$

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projections inside and outside Θ_t

orthogonal projections

$$\pi_t: \mathbf{C} \to \mathbf{H}_t, \qquad \pi = \pi_0$$
$$\pi_t^*: \mathbf{C} \to \mathbf{H}_t^*, \qquad \pi^* = \pi_0^*$$

$$\overline{\pi}_t = I - \pi_t^\star, \quad (\overline{\pi}_t h)(x) = \begin{cases} h(x), & x \in \Theta_t \\ (\phi(x), 0), & x \in \Theta_t^\star \end{cases}$$

where ϕ is the harmonic extension of $h|_{\partial \Theta_t}$ to Υ (with zero trace on $\partial \Upsilon$)

projections inside and outside Θ_t

• orthogonal projections

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• set
$$R = v \circ R_{2T}$$
, where $v : (h_0, h_1) \mapsto (h_0, -h_1)$
 π^*R : reflection response operator

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scattering control series: $T \in (0, \frac{1}{2} \operatorname{diam} \Theta)$

$$h_{\infty} = (I - \pi^{\star} R \pi^{\star} R)^{-1} h_0 = \sum_{i=0}^{\infty} (\pi^{\star} R \pi^{\star} R)^i h_0$$

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definition

- the *T*-sublevel set is $\Theta_T = \{x \in \Theta \mid d_{\Theta}^*(x) > T\}$
- the almost direct transmission of h_0 is $R_T h_0 \Big|_{\Theta_T}$
- the harmonic almost direct transmission is its harmonic extension, $h_{\rm DT} = \overline{\pi}_T R_T h_0$



- Support of wave field, t = T
 - Almost direct transmission

- → Directly transmitted ray
- → Scattered rays

let $h_0 \in \mathbf{H}$ and $T \in (0, \frac{1}{2} \operatorname{diam} \Theta)$; finding the wave field of the harmonic almost direct transmission of h_0 is equivalent to summing the scattering control series:

$$(I - \pi^* R \pi^* R) h_{\infty} = h_0 \iff \begin{array}{c} R_{-T} \overline{\pi} R_{2T} h_{\infty} = h_{DT} \\ and \\ h_{\infty} - h_0 \in \mathbf{H}^* \end{array}$$

such an h_{∞} , if it exists, is essentially unique

by unique continuation and finite speed of propagation; works for any c with these properties

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let h_k be the Neumann series' k^{th} partial sum

$$h_k = \sum_{i=0}^k (\pi^* R \pi^* R)^i h_0$$

 the wave field that h_{DT} generates can be recovered from {h_k} regardless of convergence of the scattering control series:

$$\lim_{k\to\infty} R_{-T}\overline{\pi}R_{2T}h_k = R_T\chi h_0 = h_{DT}$$

- {*h_k*} converges in energy space on a dense set
- {h_k} always converges in a larger weighted space (spectral theorem)

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• energy conservation allows us to find the energy of the almost direct transmission using only outside-observable data

the energy of the harmonic almost direct transmission (including harmonic extension) is

$$\mathsf{E}(h_{\mathsf{DT}}) = \mathsf{E}(h_{\infty}) - \mathsf{E}(\pi^{\star}Rh_{\infty})$$

the kinetic energy (not including harmonic extension) is

$$\mathbf{KE}(h_{\mathrm{DT}}) = \frac{1}{2} \langle h_0, \ h_0 - R\pi^{\star} R h_{\infty} - R h_{\infty} \rangle$$

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 even without convergence, one can recover the same energies as monotone limits

the energy of the harmonic almost direct transmission (including harmonic extension) is

$$\mathbf{E}(h_{\mathsf{DT}}) = \lim_{k \to \infty} \left[\mathbf{E}(h_k) - \mathbf{E}(\pi^* R h_k) \right]$$

the kinetic energy (not including harmonic extension) is

$$\begin{aligned} \mathbf{\mathsf{KE}}(h_{\mathsf{DT}}) &= \frac{1}{4} \lim_{k \to \infty} \left[\mathbf{\mathsf{E}}(h_k) + \mathbf{\mathsf{E}}(h_0) - \mathbf{\mathsf{E}}(\pi^* R \pi^* R h_k) \right. \\ &+ 2 \langle \pi^* R h_k, \ h_k - R \pi^* R h_k \rangle - 2 \langle h_0, \ R \pi^* R h_k + R h_k \rangle \right] \end{aligned}$$

broken boundary normal ('time') coordinates

- set of disjoint, closed, connected, smooth hypersurfaces: $\Gamma = \bigcup \Gamma_i$
- $\{\Omega_j\}$: the connected components of $\mathbb{R}^n \setminus \Gamma$
- we call x ∈ Ω regular if x ∉ Γ and the infimum in d(x, ∂Ω) = d({x}, ∂Ω) is achieved by a unique purely transmitted broken path that is nowhere tangent to Γ

Assumption A: almost every $x \in \Omega$ is regular

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suppose Ω is compact and the interfaces Γ_i are strictly convex, viewed from their interiors Ω_i , then the set of regular points, Ω_r , is open and dense in Ω

recovery of transformation of coordinates

for any $h_0 \in \mathbf{C}$, f, g harmonic

 $\langle \overline{\pi}_T R_T h_0, (f,g) \rangle = \lim_{k \to \infty} \left[\langle h_k, (f - Tg,g) \rangle - \langle \pi^* R_{2T} h_k, (f + Tg,g) \rangle \right]$

if the scattering control series converges, h_k can be replaced above by h_∞ and the limit omitted

- the appeal of this result is that the harmonic almost direct transmission $\pi_T R_T h_0$ may be arbitrarily spatially concentrated (aside from harmonic extensions in the first component)
- taking inner products with the harmonic data $(0, x^i)$ and (0, 1), we may now recover weighted averages of x^i over this support

direct transmission, limit



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recovery of transformation of coordinates

let $y = (y^1, ..., y^n) \in \Omega_r$, $p = p(y) \in \partial\Omega$, and $T = d(y, \partial\Omega)$; let x^i denote the ith Euclidean coordinate function

choose a nested sequence of Lipschitz domains $\Theta^{(1)} \supset \Theta^{(2)} \supset \cdots \supset \Omega$ such that $\bigcap_j \Theta^{(j)} = \Omega \cup \{p\}$ and diam $\Theta^{(j)} \setminus \Omega \rightarrow 0$; then

$$y^{i} = \Phi^{i}(p, T) = \lim_{j o \infty} rac{\kappa(\mathbf{1}_{\Theta^{(j)} \setminus \Omega}, x^{i})}{\kappa(\mathbf{1}_{\Theta^{(j)} \setminus \Omega}, 1)}$$

where

$$\kappa(g,f) = \langle \bar{\pi}_T R_T(0,\pi_{\mathbf{C}}g), (0,f) \rangle$$

moreover

$$c = \left| \frac{\partial \Phi}{\partial T} \right|$$

then c is uniquely determined on Ω_T^* by $R_{2T}|_{\Omega^*}$

proof

- completely constructive
- makes use of behavior of solutions near the boundary of their domains of influence
- uses the piecewise smooth structure to get information about the behavior of a progressive wave solution near the wavefront (avoiding times when the wavefront is tangent to an interface); to obtain the Euclidean coordinates of the interfaces, find the singularities of c after reconstruction

computational experiment - smooth wave speed



 $c(x_1, x_2) = 1 + \frac{1}{2}x_2 - \frac{1}{2}\exp\left(-4\left(x_1^2 + (x_2 - 0.375)^2\right)\right)$

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coordinate reconstruction from the data



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detection of interfaces - direct broken transmission

transformation to half wave equations

$$\Lambda^{-1} = \frac{1}{2} \begin{bmatrix} I & iB^{-1} \\ I & -iB^{-1} \end{bmatrix}, \quad B^2 = -c^2 \Delta$$

- principal symbol (amplitude) of the directly transmitted component **DT**⁺ of R⁺ at (p(y), ν), where ν is the inward-pointing normal covector at p: dt⁺(y)
- wave packet of 'frequency' λ centered at (x, ξ) : $\rho_{\lambda, x, \xi} \phi_{\lambda, x, \xi}$

strategy: send in a wave packet, vary T, and track ADT energy

- energy lost at each interface (discontinuity) to reflection
- drop sharper as frequency (λ) increases
- recover depths of interfaces in broken boundary normal coordinates through scattering control

detection of interfaces

let $y \in \Omega_r$, p = p(y), T = d(y, p), $\varepsilon > 0$ be sufficiently small; then there exists a domain $\Theta \supset \Omega$ and covector $(p^*, \nu^*) \in S^*\Theta$ such that

$$\left|\mathbf{dt}^{+}(y)\right|^{2} = \lim_{\lambda \to \infty} \mathbf{KE}_{\Theta_{\mathcal{T}+\varepsilon}} R_{\mathcal{T}+\varepsilon} \Lambda \left[\begin{array}{c} -icB^{-1}\rho_{\lambda,p^{*},\nu^{*}}\phi_{\lambda,p^{*},\nu^{*}} \\ 0 \end{array}\right]$$

because $dt^+(y)$ is constant along a geodesic except at a discontinuity in c, we can recover the discontinuities of c in boundary normal coordinates:

if γ_{y} is the broken geodesic connecting y to the surface,

$$\gamma_y^{-1}(\Gamma) = \operatorname{sing supp}(|\mathbf{dt}^+ \circ \gamma_y|)$$

movies: imaging interfaces without the wave speed

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'many' experiments (data),
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piecewise smooth wave speed - completely unknown interfaces

- instantaneous time mirrors in extended subsurface
- scattering control and detection of kinetic energy by 'data manipulations'
- imaging interfaces without the wave speed
- coordinate transformation reconstruction \rightarrow wave speed, discontinuities

o convex foliation condition implies stability

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microlocal adaptations

 $\begin{array}{rcl} \text{projections } \overline{\pi}, \ \pi^{\star} & \to & \text{smooth cutoffs } \sigma, \ \sigma^{\star} \\ & (\text{supp } \sigma = \Theta) \\ \text{exact propagator } R & \to & \text{FIO parametrix } \widetilde{R} \end{array}$

wave speeds

- singsupp $c = \Gamma = \cup_i \Gamma_i$
- Γ_i closed, connected, disjoint, smooth hypersurfaces in Θ
- *R* includes cutoffs removing glancing rays

microlocal scattering control equation

$$(I - \sigma^* \widetilde{R} \sigma^* \widetilde{R}) h_\infty \equiv h_0$$

microlocal scattering control

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if it exists, the tail $h_{\infty} - h_0$ still "erases the history" of h_0 's wave field up to singularities at depth T; unlike exact analysis, depth is measured in $T^*\Theta$

the depth $d^*_{T^*\Theta}$ of a covector $\xi \in T^*\mathbb{R}^n \setminus 0$ is the length of the shortest broken bicharacteristic segment connecting it to $\partial T^*\Theta$:



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the *distance* of a covector $\xi \in T^*(\mathbb{R}^n \setminus \Gamma)$ from the boundary of $M \subseteq \mathbb{R}^n$ is

$$d(\xi, \partial T^*M) = \min\{|a - b| \mid \gamma(a) = \xi, \gamma(b) \in \partial T^*M\}$$

minimum taken over broken bicharacteristics γ (lack of continuity)

depth is the same as distance, but with a sign indicating whether ξ is inside or outside M

$$d^*_{T^*M}(\xi) = \begin{cases} +d(\xi, \,\partial T^*M), & \xi \in T^*M \\ -d(\xi, \,\partial T^*M), & \text{otherwise} \end{cases}$$

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microlocal almost direct transmission - definition

the *T*-sublevel set is $(T^*\Theta)_T = \{\xi \in T^*\Theta \setminus 0 \mid d^*_{T^*\Theta}(\xi) > T\}$

the microlocal almost direct transmission h_{MDT} of h_0 is a microlocal restriction of $R_T h_0$ to a neighborhood of $(T^*\Theta')_T$, $\Omega \subset \overline{\Theta'} \subset \Theta$



suppose $R_{2T}h_{\infty}|_{\Theta} = R_Th_{MDT}|_{\Theta}$ then h_{∞} satisfies microlocal scattering control equation

microlocal *T*-sublevel set

one fiber from $(T^*\Theta)_T$



microlocal *T*-sublevel set

schematic illustration of $(T^*\Theta)_T$



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constructive parametrix for $I - \sigma^* \widetilde{R} \sigma^* \widetilde{R}$

if *c* were known, can construct a microlocal inverse *A* for $I - \sigma^* \widetilde{R} \sigma^* \widetilde{R}$ valid for WF(h_0) in some conic $S \subseteq T^* \Theta' \setminus 0$

A works by constructing appropriate singularities in the tail $h_{\infty} - h_0$ to prevent outside singularities from entering the domain of influence of h_{MDT} :



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define (\pm) -escapability through mutual recursion:

$$\gamma\colon (t_-,t_+)\to T^*(\mathbb{R}^n\setminus\Gamma)$$

one of the following holds

- all of its connecting bicharacterisrics at t_{\pm} are (\pm) -escapable
- one of its connecting bicharacterisrics at t_± is (±)-escapable, the opposing bicharacteristic is (∓)-escapable; if this (±)-escapable connecting bicharacteristic is a reflection, c must be discontinuous at γ(t_±) (non-vanishing principal symbol)
- (base case) γ is defined at t = T ± T and γ(T ± T) ∉ T*Θ; γ reaches t = 0 (- case) or t = 2T (+ case) at a point outside Θ may produce a singularity along a (-)-escapable bicharacteristic

 $S \subset T^*\Theta'$: the set of ξ so that every returning bicharacteristic γ with $\gamma(0) = \xi$ is (+)-escapable

returning and (\pm) -escapable bicharacteristics



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convergence of Neumann iteration

Neumann iteration

let N_k be the Neumann series partial sum operators (order-0 FIOs)

$$N_k = \sum_{i=0}^k (\sigma^* \widetilde{R} \sigma^* \widetilde{R})^i$$

in general $\lim_{k\to\infty} N_k$ has no meaning \Rightarrow consider principal symbol convergence

principal symbols

- standard microlocal splitting into \pm : split initial data $\mathbb{R}^n \to \mathbb{R}^n = \mathbb{R}^n \sqcup \mathbb{R}^n$, etc
- define principal symbols σ_0 of each graph component of the Lagrangian (polar decomposition) (each sequence of reflections, transmissions, and time-reversal)
- restrict to fiber G_η: all covectors reachable
 from η with knowledge of the paths; principal symbols on G_η

convergence of Neumann iteration

Theorem

on S the principal symbols of the N_k converge in $\ell^2(\mathcal{G}_\eta)$ to some n_∞ ; furthermore $\sigma_0(\widetilde{R}N_k) \to \sigma_0(\widetilde{R}A)$ in $\ell^2(\mathcal{G}_\eta \cap S^*\Theta')$

- the space $\ell^2(\mathcal{G}_\eta)$ is microlocal analogue of bounded operators in energy space
- A reveals h_{MDT} in the sense that $\widetilde{R}_{2T}Ah_0 \equiv \widetilde{R}_T h_{MDT}$ in Θ'
- convergence of principal symbols implies N_k "reveals h_{MDT} in the limit" when possible

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proof: show composition with $\sigma^* \widetilde{R}$ is an $\ell^2(\mathcal{G}_\eta)$ -bounded operator of norm ≤ 1 (microlocal energy conservation); analyze convergence with spectral theorem

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we can use geometric results on boundary and lens rigidity due to Stefanov, Uhlmann, and Vasy to recover the smooth parts of c – this also gives stability

we need an extension of their convex foliation condition to our piecewise smooth setting

Assumption B: ρ : $\overline{\Omega} \to [0, T]$ is a (piecewise) convex foliation for (Ω, c) ,

- $\partial \Omega =
 ho^{-1}(0)$ and $ho^{-1}(T)$ has measure zero
- each level set $\rho^{-1}(t)$ is strictly convex when viewed from $\rho^{-1}((t, T))$, for $t \in [0, T)$
- the interfaces of c are level sets of ρ : $\Gamma_i = \rho^{-1}(t_i)$ for some t_i
- ρ is smooth and $d\rho \neq 0$ on $\rho^{-1}((0, T)) \setminus \Gamma$

singular part of the data, \mathcal{F} , determine c almost everywhere

on Ω there are two notions of depth: d^* , the Riemannian distance to the boundary, and ρ that is defined by the foliation

by Snell's law and uniqueness of geodesics for smooth metrics, for any $(x,\xi) \in T^*\Omega \setminus 0$ there is a unique maximal transmitted bicharacteristic $\gamma_{x,\xi}$ satisfying $\gamma_{x,\xi}(0) = (x,\xi)$

- suppose $c \neq \tilde{c}$, let $a = c \tilde{c}$; consider $S = \Omega_r \cap \text{supp } a$, and take $\tau = \min_S \rho$
- let Σ_τ = ρ⁻¹(τ) be the corresponding level set; let
 Ω_τ = ρ⁻¹((τ, T]) be the corresponding sublevel set: so c = c̃
 above Ω_τ, but by compactness there is a point x ∈ ρ⁻¹(τ) ∩ S

a foliation downward (resp. upward) covector (x, ξ) is one pointing in the direction of increasing (resp. decreasing) ρ

Definition

$$\mathcal{T}^*_{\pm}\Omega = \{ (x,\xi) \in \mathcal{T}^*\Omega_{\tau} \mid \pm \langle \xi, d\rho \rangle > 0 \}$$

upward-traveling geodesics are not trapped

layer stripping - interior lens relation

- let (x, ξ) ∈ T^{*}₊Ω \ 0, τ = ρ(x); if there exists a purely transmitted bicharacteristic γ with lim_{t→0+} γ(t) = (x, ξ), we define the *travel time* ℓ(x, ξ) as the unique ℓ > 0 for which γ(ℓ) ∈ T^{*}₋Ω ∩ T^{*}Σ_τ, the (interior) lens relation: L(x, ξ) = γ(ℓ)
- on the interfaces $\mathcal{T}^*\Omega|_{\Gamma}$, define L by continuity from below

Lemma

- (a) Let (x, ξ) ∈ ∂T*Ω_τ ∩ T^{*}₊Ω. Suppose c, č are smooth near x, and there are smooth bicharacteristics from (x, ξ) to ∂T*Ω_τ ∩ T^{*}₋Ω with respect to both wave speeds. If F = F̃ and c = c̃ outside Ω_τ, then c and c̃ have identical subsurface lens relations w.r.t. the leaf Σ_τ at (x, ξ).
- (b) Assume the same conditions as (a), except that c, \tilde{c} are discontinuous on Σ_{τ} near x, and $c \tilde{c}$ vanishes on both sides of Σ_{τ} near x. Then the same statement holds.

boundary rigidity, proof by contradiction

- x ∉ Γ: we use the fact that c, c̃ are equal above Ω_τ to show that they locally have the same lens relation on Σ_τ; the additional wrinkle is that we must ensure that c̃ is also smooth near x, which is where scattering control enters
- x ∈ Γ: c, č must have the same jump in wave speed at x because we can measure the transmission coefficient; once the jump is known (using convexity of Γ), the lens relation on the other side of the interface can be locally determined

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apply the local boundary rigidity theorem